

SLEEP-WAKE
Research in The Netherlands

Annual Proceedings of the NSWO
Volume 21, 2010

This publication was sponsored by **Merck Sharp & Dohme**

PrintPartners Ipskamp BV, Enschede

ISBN 978-90-73675-19-3

Board

G.A. Kerkhof	president
R.J.E.M. Raymann	secretary
A.M. Strijkstra	treasurer
T. de Boer	member, chair scientific committee
W.H.I.M. Drinkenburg	member
H.L. Hamburger	member, acting president
C. Klufft	member, chair PR committee
R.J. Schimsheimer	member, contact patient organisations
K.E. Schreuder	member

Scientific committee

T. de Boer	chair, coordinator spring meeting
V. van Kasteel	secretary
B. C. P. Koch	member
E.L.J.M. van Luijtelaar	member, co-coordinator autumn meeting
J. Verbraecken	member, co-coordinator autumn meeting

© 2010 Dutch Society for Sleep-Wake Research
Founded at Leiden, The Netherlands, June 7, 1990

ISBN 978-90-73675-19-3

SLEEP-WAKE
Research in The Netherlands

Annual Proceedings of the NSWO
Volume 21, 2010

Published by

Dutch Society for Sleep-Wake Research

Edited by

Tom de Boer

Leiden University Medical Center, Leiden

Viviane van Kasteel

MC Haaglanden, Den Haag

Birgit Koch

Erasmus MC, Rotterdam

Gilles van Luijtelaar

Radboud University, Nijmegen

Johan Verbraecken

University of Antwerp, Antwerp

CONTENTS

Preface <i>Gerard Kerkhof</i>	9
Editorial Note <i>Tom de Boer</i>	10
SPECIAL REVIEWS	
The marriage of sleep and rhythms research; A personal reflection on three decades of collaboration between Zürich and Groningen <i>Serge Daan</i>	12
Early days of sleep and breathing in the Netherlands <i>Hans Th M Folgering</i>	24
PHD THESES	
Mapping insomnia; Brain structure, function and sleep intervention <i>Ellemarije Altena</i>	34
Mapping insomnia - commentary on the dissertation by Ellemarije Altena <i>Pierre Maquet</i>	38
MINI REVIEW	
History of electroencephalography <i>Anton Coenen</i>	40
RESEARCH PAPERS	
R278995/CRA0450, a novel selective CRF1 receptor antagonist modulates REM sleep in rats: relevance for depression <i>A. Ahnaou, T. Steckler, A.M.L. Heylen, L. Kennis, A. Nakazato, S. Chaki, W.H.I.M. Drinkenburg</i>	50
Sleep deprivation by gentle handling in rats does not affect the physiological, behavioral and hormonal responses to novelty exposure <i>W. Beerling, J.M. Koolhaas, P. Meerlo, L.J. Raeymaekers, A.M.L. Heylen, A. Ahnaou, W.H.I.M. Drinkenburg</i>	55
Sleep features and nightly body motility in elderly people <i>Anton Coenen Margreet Kolff</i>	59
The influence of real – world stress on adolescents sleep <i>Julia F. Dewald, Anne M. Meijer, Frans J. Oort, Gerard A. Kerkhof, Susan M. Bögels</i>	63
Simultaneous assessment of changes in sleep, neurophysiology and neurochemistry following psychosocial stress in rats <i>W.H.I.M. Drinkenburg, B. Steiniger-Brach, K. Vaartjes, A. Ahnaou</i>	67

Time on task effect in reaction times during a simulated driving task <i>Maartje J. Graauwmans, Melinda L. Jackson, Gregory Belenky, Bryan Vila, Hans P. A. van Dongen</i>	73
Can slow melatonin metabolism be associated with a single nucleotide polymorphism in the CYP1A2 gene? – A pilot study - <i>H. Keijzer, S. C. Endenburg, M. G. Smits, M. Koopmann, J. M. T. Gunnewiek</i>	77
Effects of sleep-wake state on the N150 of the auditory evoked potential from the rat amygdala <i>Jeroen M. J. Knippenberg, Anton M. L. Coenen, Gilles van Luijtelaar</i>	81
The added value of dim light melatonin onset in diagnosing idiopathic delayed sleep phase syndrome <i>W. J. Kruithof, M. Smits, L. L. Teunissen</i>	85
Gradual termination of short term melatonin treatment in children with delayed dim light melatonin onset: Effects on sleep, health, behavior problems, and parenting <i>Annette van Maanen, Anne Marie Meijer, Marcel G. Smits, Frans J. Oort</i>	90
Spike-wave discharges and sleep-wake states in entrained and free-running conditions <i>Magdalena Smyk, Anton Coenen, Marian H. Lewandowski, Gilles van Luijtelaar</i>	94
Chemosensitivity in obstructive sleep apnea (OSA) according to gender and effect of longterm CPAP therapy <i>J. Verbraeken, M. Dieltjens, H. Vrints, E. Oostveen, E. Hamans, O. Vanderveken, P. Van de Heyning, W. De Backer</i>	98
Prevalence of complex sleep apnea (COMPSAS) and clinical and polysomnographic characteristics <i>J. Verbraecken, L. Schoonis, B. Verplancke, O. Vanderveken, E. Hamans, A. Boudewyns, P. Van de Heyning, W. de Backer</i>	102
Degree of sleepiness and cardiac alterations in obstructive sleep apnea (OSA) patients <i>Heleen Vrints, Bharati Shivalkar, Katrien Kluppels, Evert Hamans, Paul Van de Heyning, Olivier Vanderveken, Wilfried De Backer, Christiaan Vrints, Johan Verbraecken</i>	106
ABSTRACTS	
Modulation of group II metabotropic glutamate receptor (MGLU2) elicits common changes in rat and mice sleep-wake architecture <i>A. Ahnaou, F. M. Dautzinger, H. Geys, H. Imogai, A. Gibelin, D. Moechars, T. Steckler, W. H. I. M. Drinkenburg</i>	112
New light on leg movements without RLS, in insomnia: coincidence or useful information? <i>Willy Arends, Raffaele Ferri, Al de Weerd</i>	113
Chronic sleep disturbance impairs glucose homeostasis in rats <i>R. Paulien Barf, Peter Meerlo, Anton J. W. Scheurink</i>	114
Modelling human sleep propensity <i>Frederik W. Bes, Hartmut Schultz</i>	115
Sleep info system (SIS) automates workflow and data handling <i>Rob van den Bogerd, Bob Kemp</i>	116

Sleep disorders ten years after bacterial meningitis <i>E. J. de Bruin, W. F. Hofman, B. A. Schmand, D. van de Beek</i>	117
Skin temperature manipulations and sleep in rats <i>Tom Deboer</i>	118
The influence of sleep quality, sleep duration and sleepiness on school performance in children and adolescents: A meta-analytic review <i>Julia F. Dewald, Anne M. Meijer, Frans J. Oort, Gerard A. Kerkhof, Susan M. Bögels</i>	119
Pulse oximeter averaging time: Definition and effect of classification of obstructive sleep apnea-hypopnea syndrome (OSAHS) <i>Jonne Doorduyn, Michiel M. M. Eijsvogel, Frans H. C. de Jongh</i>	120
Sleep deprivation impairs spatial working memory and reduces hippocampal AMPA receptor phosphorylation <i>Roelina Hagewoud, Robbert Havekes, Arianne Novati, Jan N. Keijser, Eddy A. van der Zee, Peter Meerlo</i>	121
Coping with sleep deprivation: Shifts in regional brain activity and learning strategy <i>Roelina Hagewoud, Robbert Havekes Paula A. Tiba, Arianne Novati, Koen Hogenelst, Pim Weinreder, Eddy A. van der Zee, Peter Meerlo</i>	122
A time for learning and a time for sleep: The effects of sleep deprivation on contextual fear conditioning at different times of day <i>Roelina Hagewoud, Shamiso N. Whitcomb, Amarins N. Heeringa, Robbert Havekes, Jaap M. Koolhaas, Peter Meerlo</i>	123
How to keep the brain awake? The complex molecular pharmacogenetics of wake promotion <i>S. Hasan, S. Pradervand, A. Ahnaou, W. H. I. M. Drinkenburg, M. Tafti, P. Franken</i>	124
A new video actigraphy method for non-contact analysis of body movement during sleep <i>Adrienne Heinrich, Henriette van Vugt</i>	125
Time-of-day effects on cognition in preadolescents: A trails study <i>Kristiaan B. van der Heijden, Leo M. J. de Sonnevile, Monika Althaus</i>	126
Risk behavior in adolescents increases with sleep loss <i>Winni F. Hofman</i>	127
Effects of an emotional film on sleep EEG: Relation with emotional attenuation over sleep <i>Winni F. Hofman, Roy Cox, Lucia M. Talamini</i>	128
Success rate of salivary dim light melatonin onset measurements <i>H. Keijzer, T Peeters, C. W. N. Looman, C. Niederberger, S. C. Endenburg, M. G. Smits, J. M. T. Klein Gunnewick</i>	129
The interrelationship between sleep regulation and thermoregulation <i>Kurt Krauchi, Tom Deboer</i>	130
Cognitive behavioral self-help treatment for nightmares: A randomized controlled trial <i>J. Lancee, V. I. Spoormaker, J. van den Bout</i>	131

Prolonged sleep restriction affects glucose metabolism in healthy young men <i>Wessel M. A. van Leeuwen, Christer Hublin, Micael Sallinen, Miko Härmä, Ari Hirvonen, Tarja Porkka-Heiskanen</i>	132
Longitudinal relations between sleep quality, time in bed and adolescent problem behaviour <i>Anne Marie Meijer, Ellen Reitz, Maja Dekovic Godfried L. H. van den Wittenboer, Reinoud D. Stoel</i>	133
The acoustics of snoring <i>Dirk Pevernagie, Ronald M. Aarts, Micheline De Meyer</i>	134
Endogenous melatonin rhythm before and after kidney transplantation <i>Marije Russcher, Birgit C. P. Koch, Carlo A. Gaillard, J. Elsbeth Nagtegaal, Pieter M. ter Wee</i>	135
Daytime napping and emotional and declarative memory <i>Lucia M. Talamini, Carly C. Sweegers, Winni F. Hofman</i>	136
Adopting a user-centered design approach to design a product that informs parents of their baby's sleep <i>Maartje de Vries, Henriette van Vugt</i>	137
How to use actigraphy: Limitations and value in sleep medicine <i>Al de Weerd</i>	138
Periodic limb movements during sleep: Actor or bystander <i>Al de Weerd</i>	139
Sleep in very young children with Prader Willi syndrome. A Study before and during growth hormone substitution <i>Al de Weerd, Renilde van den Bossche</i>	140
Epilepsy in children...what about their sleep? <i>Al de Weerd, Esther van Golde</i>	141
Characteristics of near skin temperatures in bed <i>Tim E. J. Weysen, Dmitri A. Chestakov, Roy J. E. M. Raymann</i>	142
Differences in habitual bed temperatures of men and woman <i>Tim E. J. Weysen, Dmitri A. Chestakov, Roy J. E. M. Raymann</i>	143
Is the temperature in your bed related to sleep onset? <i>Tim E. J. Weysen, Dmitri A. Chestakov, Roy J. E. M. Raymann</i>	144
Correction for model selection bias using a modified model averaging approach for supervised learning methods applied to EEG experiments <i>K. Wouters, J. C. Abrahantes, G. Molenberghs, H. Geys, A. Ahnaou, W. H. I. M. Drinkenburg, L. Bijmens</i>	145
Circadian regulation of sleep and the sleep EEG under constant sleep pressure in the rat <i>Roman Yasenkov, Tom Deboer</i>	146
Interrelations of slow and high frequency activity in the NREM sleep EEG in the rat <i>Roman Yasenkov, Tom Deboer</i>	147

DUTCH SOCIETY FOR SLEEP-WAKE RESEARCH - MEMBERS

Honorary Members	150
Regular Members	151

PREFACE

Traditionally, the main focus of this preface is on some of last years' highlights among the activities of our society. However, since I have served my term and on March 26th this year stepped down as president (and thus am writing 'in spare time'), I have taken the liberty to deviate from this tradition and share some personal thoughts with you on the future of the NSWO.

More and more, the topic of Sleep is drawing the attention of already established fields of expertise, e.g. disciplines such as molecular genetics, cognitive sciences, dentistry, pulmonology, neurology and other medical sciences. Specific, discipline-oriented scientific journals publish increasing numbers of articles that report on sleep research. This is an encouraging development, as it reflects a growing broadening of the recognition that sleep has a major impact on many, indeed if not all, aspects of life.

In my opinion, there is reason for some concern, however. The study of Sleep, at all possible levels of analysis - from the dynamics of single cells, through the brain systems involved, the development and acquisition of human sleep behavior, its formal and computational modeling, to the pathological and therapeutic aspects of sleep disorders -, is of an intrinsically interdisciplinary nature. Major progress can only be made by integrating already existing fields of expertise into one interdisciplinary effort.

Lately, parallel to the recently increasing number of sleep-related papers in many different scientific journals, we witness the emergence of discipline-specific societies focusing on sleep. Examples are sleep medicine societies within the framework of disciplines like dentistry, neurology and pulmonology. Although I welcome the formation of such expert groups, there is a risk that the interdisciplinary nature of sleep research and sleep medicine is pushed into the background and does not get ample opportunity to develop itself into an interdisciplinary science.

The NSWO is in an excellent position to cross disciplinary borders and to offer an interdisciplinary, federative platform for all these discipline-specific sleep societies and their members. To this end, it should actively approach these disciplinary sleep groups and explore the possibility of a federal association. In concerted action it should define interdisciplinary themes and organize expert meetings and workshops, with the intention to stimulate the exchange of ideas, formulate research plans and grant proposals, draw up consensus reports for clinical applications, etc. In addition, the NSWO should actively involve the different disciplinary sleep groups in formulating programs for (inter-)national teaching courses. In this way the NSWO can stimulate the development of sleep research and sleep medicine into a truly interdisciplinary science of sleep.

Gerard A. Kerkhof, past-president

EDITORIAL NOTE

Before you lies the 21st edition of the proceedings of the Dutch Society for Sleep-Wake Research. In this edition two distinguished researchers, Serge Daan and Hans Folgering present their personal reflections on their contribution to sleep research.

Ellemarije Altena presents the content of her PhD thesis which she defended in the past year. We are much honored that Pierre Maquet was willing to provide a comment on the thesis of the new PhD.

From the beginning the proceedings were intended to provide young sleep researchers in the Netherlands an opportunity to publish their work in an easy accessible medium, and to give a broad overview of sleep research performed in our country. However, research is an international undertaking and this is reflected in the contributions. I am very happy that, already for several years, the proceedings also contain contributions from Dutch sleep researchers working abroad.

Similar to last year not only mini-papers, but also research abstracts of work of the past year, published or in press, are included. After a mini-review by Tom Coenen about the history of the electroencephalogram, you will find fourteen mini-papers, followed by 36 abstracts. On behalf of the scientific committee, I would like to thank all NSWO members for their contributions. In addition, from my side many thanks to my co-editors for reviewing the manuscripts, ensuring the highest quality possible.

The proceedings are completed by an updated member list. This list, together with a lot more information about sleep research and sleep medicine in the Netherlands is also available on the NSWO website (www.nswo.nl).

I wish you interesting reading!

Leiden, September 2010

Tom de Boer

Chair Scientific Committee
Chief Editor NSWO Proceedings

SLEEP-WAKE
Research in The Netherlands

Annual Proceedings of the NSWO
Volume 21, 2010

Special reviews

THE MARRIAGE OF SLEEP AND RHYTHMS RESEARCH

A personal reflection on three decades of collaboration between Zürich and Groningen

Serge Daan

Niko Tinbergen distinguished honorary chair, University of Groningen

Sleep research and the study of biological rhythms were once separate domains. The approaches and questions were very different. One research field focused on sleep, the other on wakefulness. Sleep research was organized around electroencephalography. The technique, introduced in the 1930's, had opened up the quantitative analysis of sleep. With the discovery of REM-sleep by (Aserinsky and Kleitman 1953), patterns within sleep became accessible. One asked questions on the fundamental processes within sleep – then and now the only dominant behaviour of which the primary function is unknown. In biological rhythms research, not the state of wakefulness or that of sleep, but the timing of their alternation was the key problem. The prominent technique was the recording of spontaneous activity in undisturbed isolation. The two research traditions, although concerned with intimately related phenomena, spoke in different tongues. The language of sleep stages and spectral EEG analysis in one, the language of oscillators, of phase and period and amplitude in the other. There was little crosstalk. Both fields were blossoming in the 1960's and '70's, but their flowers were not crossfertilized. This came to an end in 1980 at the Ringberg Conference on Vertebrate Circadian Systems.

Of course there had been overlap before. The sleep pioneer Nathaniel Kleitman himself had been interested in daily rhythms, and done pioneering experiments with humans living on a 28 h schedule for 32 days in the Mammoth Caves in Kentucky. In Jürgen Aschoff's lab at the Max Planck Institute for Behavioural Physiology in Andechs, Bavaria there were visitors from nearly every branch in the life sciences, including occasional sleep researchers. After my Ph.D. work on hibernation at the University of Amsterdam, I was a postdoc in that institute from 1971 till 1973. I recall participating in a sleep project as a subject. The study aimed to test if the first REM episode is tied to sleep onset and can be moved to different clock times by going to bed at different times. (There were no instructions concerning alcohol consumption, and I disqualified when after too much wine one night I fell asleep while the electrodes were mounted, and skipped the first REM episode). But no sleep researchers participated in any of the classic biological rhythms meetings at Cold Spring Harbor (1959), Feldafing (1965), and only one in Friday Harbor (1971).

In the late 1970's rhythms researchers slowly opened their eyes to sleep. This interest was stimulated by the development of human circadian rhythms research, in particular in Aschoff's own institute. 'Bernie' Webb contributed a chapter to the bible of rhythms research, the Handbook of Behavioural Neurobiology vol 4 (Aschoff 1981). When Jürgen Aschoff, Gerard Groos and I in 1980 planned the Ringberg meeting, we decided to invite Alexander Borbély to participate. That was a stroke of luck.

I had never met Alex before, but he and Anna Wirz-Justice had sought contact three years earlier, in 1977. They invited me to a meeting with a group of psychiatrists and sleep researchers in Romainmotier, Switzerland. My five papers with Colin Pittendrigh on ‘A functional analysis of circadian pacemakers in nocturnal rodents’ had appeared in 1976 (Pittendrigh and Daan 1976), and apparently made me a rhythms representative attractive to sleep researchers. But I was not yet ripe for the challenge. I had just returned to Holland from Stanford University and accepted a tenured position at the University of Groningen. I was building up a research program on the functional significance and fitness consequences of timing, and wanted to initially retain my focus. So I declined Alex’ invitation. Inviting him to Ringberg three years later was the best way to make up for this foolish mistake.

Ringberg is a peculiar castle in the foothills of the Alps in southern Bavaria. It is a both ugly and romantic fortress, built in the 20th century by the duke Luitpold of Bavaria and his intimate friend Friedrich Attenhuber. When the duke died in 1973, he left it – still unfinished - to the Max Planck Society. It was decided to turn Ringberg into a meeting place for scientists. Aschoff was among the first to use it as such. He had tried out the castle in the summer of 1976 with a “*Sängerfest*” (Singing party) for the members of his institute, and thought it convenient for another international meeting on biological rhythms. The meeting was restricted to Vertebrate Circadian Systems, and included a substantial number of students of human rhythms.

In those days, internal desynchronization of circadian rhythms in humans (Aschoff 1965) had become well established. In prolonged isolation from time cues a subjects often displayed a rhythm of sleep and wakefulness with a period much longer than usual, varying around 34 hours (Wever 1979). This rhythm shows relative coordination with the circadian body temperature rhythm that retains its common cycle length of slightly over 24 h. The generally accepted conclusion was that there must be two endogenous circadian oscillators or pacemakers in the body with different functions (e.g., (Wever 1979), (Kronauer 1982), (Gander et al. 1984)). Only one was known, the *suprachiasmatic nucleus*. Yet some explicit hypotheses on misalignment of the two oscillators in mood disorders were considered (e.g., (Wehr and Wirz-Justice 1981)).

I remember vividly what happened during those early October days of 1981 at the Ringberg Schloss. I had given the opening lecture on functional significance of circadian rhythms, and quite relaxed enjoyed the other talks. The first thing that happened was Charmane Eastman presenting her unorthodox view on the dual pacemaker system (Eastman 1982). She proposed that internal desynchronisation could be explained with a single pacemaker controlling body temperature as well as sleep, but with a periodically skipped sleep episode. The idea was good, but her

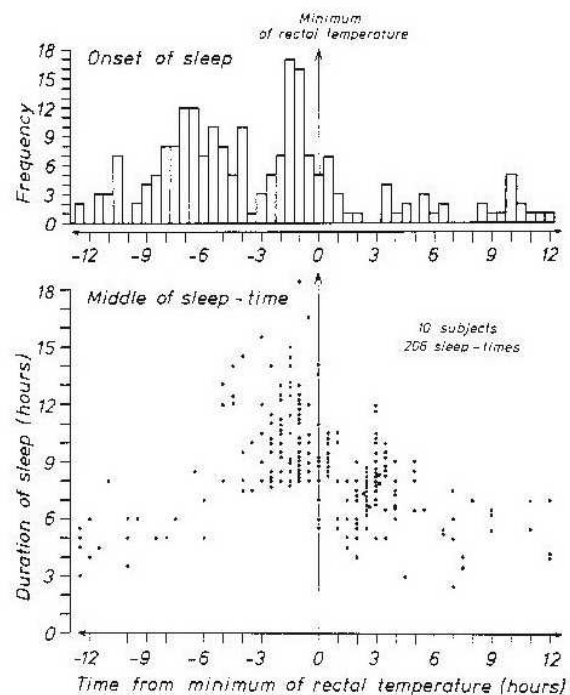


Figure 1. Circadian distributions of sleep onset in subjects with internal desynchronization (upper panel) and of subsequent sleep durations (lower panel). From (Zulley and Wever 1982).

proposition did not specify why sleeps were skipped.

The next event was the talk of Jürgen Zulley, then collaborator of Rütger Wever at the Max Planck Institute in Andechs. Zulley had analysed the duration of sleep episodes in a series of subjects who had shown internal desynchronization in the bunker. He plotted these as a function of the phase in the circadian temperature cycle when the sleep episode started. The curious graph showed two concentrations of points, one with sleep starting about 6 h, the other about 1 h before the minimal body temperature. The first cluster led to long sleeps (ca 10 h), the second one to ca 8 h sleeps (Figure 1). It was as though there were two preferred circadian phases for the onset of sleep. The longer sleep in the first group might be due to long preceding wake times (skipped sleeps) and also to the influence of the circadian system that would terminate both sleeps at about the same time and phase. An intriguing pattern that remained unresolved.

Then came the presentation of Alex Borbély. He introduced a model of a sleep dependent – homeostatic - process that was based on the EEG slow wave power density and that interacted with a threshold with rectangular daily variations controlled by a circadian oscillator (Figure 2). The timing of sleep onset and end in this model were always dictated by the instantaneous drop and rise of the threshold. Listening to Alex’ inspiring talk it suddenly dawned upon me that if the threshold was sinusoidal rather than rectangular and of lower amplitude, his model might explain internal desynchronization: In constant conditions, the sleep onset might occasionally be missed when the rising curve remained below a threshold. The subject would then expose itself to spontaneous sleep deprivation. This could be Eastman’s missing sleep phase, and it would immediately explain Zulley’s curious clusters of sleep duration. I was very excited and immediately discussed the possibility with Alex and Anna Wirz-Justice over lunch. I had never met either of them before, but there was an immediate feeling of mutual interest, indeed of friendship. A friendship that would not abate for the rest of our life.

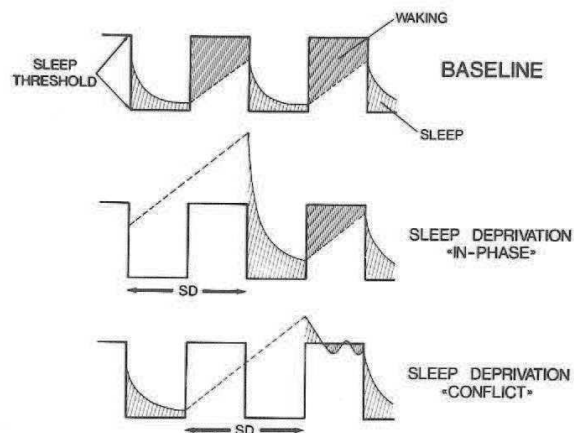


Figure 2. The preliminary version of the two-process model as discussed by Borbély at the Ringberg meeting. From (Borbély 1982b).

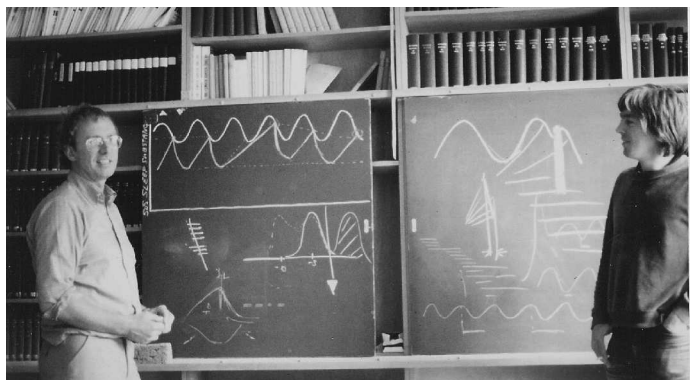


Figure 3. Serge Daan (left) and Gerard Groos with the upper threshold model. Library of the MPI Verhaltensphysiologie, Andechs 4.10.1980. (Photograph Anna Wirz-Justice).

After the Ringberg meeting several of the attendees moved to the Max Planck Institute in Andechs. I asked Aschoff to allow me to give a seminar on a new model for internal desynchronization the next day, October 4, 1980. Ben Rusak was there, Charmane Eastman, Rütger Wever, Gerard Groos, and Anna Wirz-Justice, who took a picture of Gerard and myself outlining the model in the library of the institute (Fig.3).

I went home greatly excited. Eastman was right: internal desynchronization did not require a second pacemaker. It required only the much simpler and natural need-need reduction process proposed by Borbély. I was also excited because at Ringberg I had met Ruth Hohe, my later wife. I had missed my friend Joost Tinbergen's Ph.D. thesis defence in Groningen, but the meeting had been worth the loss in many ways.

Back home, things developed fast. Two weeks after Ringberg I received a phone call from Domien Beersma, a biophysicist working in Biological Psychiatry, Rudi van den Hoofdakker's department in Groningen. He had attended, a week after Ringberg, the European Sleep Research Conference in Munich, where Anna Wirz had told him that "*Serge Daan has a model that explains everything*" (Domien later gave me his sticky note with this text). We got along well, and decided to generate

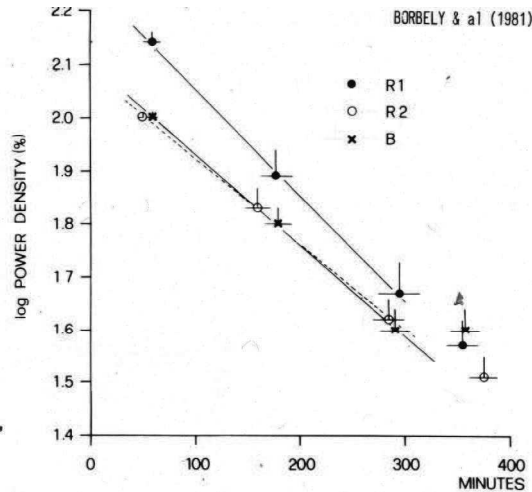


Figure 4. The exponential decay of slow wave activity during sleep. From (Borbély et al 1981).

computer simulations to show that one could indeed simulate internal desynchronization. Alex Borbély

generously sent his then unpublished data on the effect of sleep deprivation in human subjects on the EEG power density (Figure 4). These were crucial to estimate the rate constants of the buildup and breakdown of process S. (Åkerstedt and Gillberg 1981) had measured spontaneous sleep duration after 4, 8, 12 ... 24 hrs of wakefulness in 6 Swedish subjects and these yielded the circadian threshold variations.

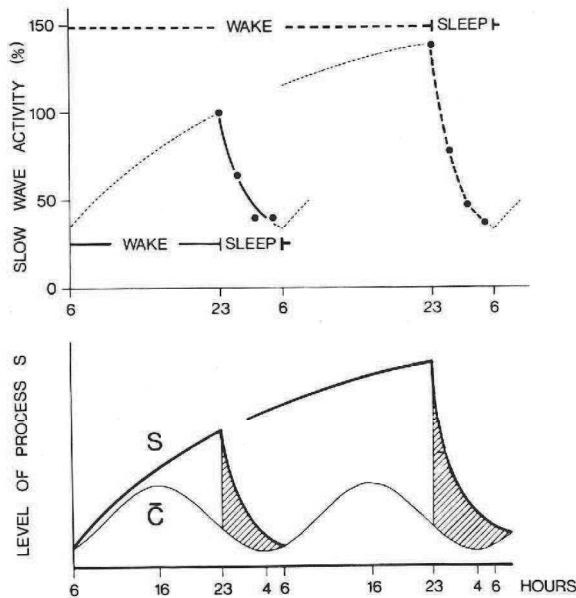


Figure 5. The two process model as presented by Alex Borbély in Hyannis, June 1981. From (Borbély 1982a).

The rumour of the new model spread. In October I received an invitation from Martin Moore-Ede and Charles Czeisler to attend a meeting in June 1981 on Mathematical Models of the Circadian Sleep-Wake Cycle. The condition was that I should submit a finished manuscript before the meeting. This would be published that same year in a symposium volume by Raven Press. Domien and I worked hard that winter, and I did bring the manuscript to Hyannis, Cape Cod in June. It seemed the optimal place to get the paper out fast. In Cape Cod, I met Alex Borbély again. He gave an impressive keynote lecture to the APSS on his model. The model was much advanced compared to the Ringberg version. By now he used a sinusoidal threshold, and called this process C (for circadian). We had lots to discuss. Process C in Alex'

model at that stage was the lower threshold, which would predict variations in wake-up, not in sleep onset (Fig. 5). In my original version drawn on the blackboard in Andechs in 1980

(Fig.3), I was concerned with an upper threshold, which would predict the onset, not the end of sleep. To model circadian behaviour in a time-free environment, we needed both thresholds, an upper and a lower one. That was realized in the computer model written up with Domien Beersma.

Alex told me in Cape Cod that he was preparing a manuscript based on his lecture, and we were confident that both our versions of the two-process model would appear soon and simultaneously. His work was published in the first volume of the new Springer journal *Human Neurobiology* (Borbély 1982a). Our manuscript rested with the editors of the modeling symposium, Moore-Ede and Czeisler, for two years. When at long last it appeared in print (Daan and Beersma 1983), in November 1983, Raven Press stamped 1984 on it as the year of publication – to make the book look more recent, although the text was three years older and totally out of date.

Following the Cape Cod meeting, Alex and I intensified contact. Anna Wirz-Justice strongly stimulated and participated in the collaboration. In the weekend of September 5, 1981 she organized a busy and inspiring little workshop in Nothweiler, the cottage of the Aschoffs in the Pfalz, right on the French border. It was a beautiful Indian summer weekend. The Aschoffs, as always, splendidly hosted us, Alex, Anna, Domien, Gerard Groos, and me. We realized that the complete, two-threshold model was applicable to many situations outside the realm of the bunker and of internal desynchronization. Alex and Anna extended the model to the special situation of depressive illness in a second paper (Borbély and Wirz-Justice 1982). But there was more: shiftwork, continuous bedrest, self ratings of fatigue, *etc.* Hence Alex, Domien and I decided to integrate all of these datasets around in the literature, simulate them with our model on the computer, and write a comprehensive essay on gating of sleep, that covered a broader and more general area of both chronobiology and sleep research. Alex came to Frankfurt on January 2, 1982 where he and I spent a day together outlining the new paper. A new burst of programming and simulation by Domien started. In March 1982 Alex hosted the European Sleep Research Conference in Zürich. It was preceded by a satellite symposium on models, and followed by an informal workshop, run by Anna Wirz-Justice in another romantic country setting, in Romainmotier, Switzerland. Alex and Anna invited me to both. It was a thrill to experience the great interest the model by now attracted. And a bit of irritation: in Zürich Ian Feinberg told the audience that he had to exhume his old model (Feinberg 1974) which, he said, had preceded Borbély's.

I drafted the joint paper in Seattle in the fall of 1982. I was there both for a sabbatical, with Jim Kenagy, thanks to a Fulbright fellowship and on honeymoon. We submitted the manuscript to the *American Journal of Physiology* in 1983, and it appeared the next year (Daan et al. 1984). It is interesting to see what happened to these papers. The Web of Science finds 1086 citations of the (Borbély 1982a) paper (June 2010). It surely is one of the most cited papers in sleep research, with the annual number of citations still growing every year. The Cape Cod paper (Daan and Beersma 1983), with the first full version of the model, is reported to have been cited 46 times. The *AJP* publication in 1984 (Daan et al. 1984) made it to 516 citations. The lesson is clear: never entrust one of your lifetime ideas to a symposium volume. But Alex and I were never so much interested in priority and citations. We felt that both of us had contributed to the marriage of sleep research and chronobiology. He had contributed the idea of sleep as a homeostatic process reflected in the EEG, and the proposition that this is somehow controlled by the circadian system. I had suggested the idea of a sleep onset threshold that would explain bedtimes in the bunker experiments. Domien Beersma and I developed the rigorous quantification of the interaction, and showed that there is no need for a second circadian pacemaker. The three of us were excited about the elegance of the theory, and its applicability to understanding what may be the most prominent and

robust human behaviour, the alternation of sleep and wakefulness. We went on researching this, in close collaboration.

The theory met with great enthusiasm of some researchers, and with resistance from others. Art Winfree belonged to the first group. Art had been at the Cape Cod meeting in 1981, and presented a model of a circadian wake-up threshold to explain the bimodality of preferred circadian phases of awakening. Shortly afterwards, he was asked by the United States Air Force to provide a detailed report on available theories of human circadian sleep regulation. This request followed the debacle of the Operation Eagle Claw on April 24, 1980. This was the attempt to free 52 US hostages in the American Embassy in Teheran. After a series of mistakes made by the liberators in the deep of the night, president Carter had to cancel the mission. The army became curious about the circadian regulation of human sleepiness and efficiency. Winfree compared all available theories and summarized: "*Daan and Beersma's two-threshold model is the best product on the market at present*" (A.T. Winfree, private communication, November 1982). It felt as though we might have successfully liberated the hostages.

Others were less positive. In 1982 several papers from the Harvard group appeared with elaborations of what may be called Kronauer's two pacemaker model. Martin Moore-Ede published a study with the title: "... *Two pacemakers preside over many secondary oscillators*" (Moore-Ede 1983). In 1984, Alex Borbély received funds from the Neuroscience Institute to set up a meeting between the mainly European proponents of the two-process model and the Harvard group. He asked me to coorganize this. We met in New York at Rockefeller University on February 21, 1985. Dick Kronauer, Charles Czeisler, Philippa Gander, Steve Strogatz, sat at one side of the table, Alex Borbély, Domien Beersma, myself at the other side. A few others without stakes in the debate also attended: Tom Wehr, Chris Gillin, and Michael Terman. We discussed the question of one or two pacemakers essentially for a whole day. The somewhat nebulous picture, taken on the roof of the building (Fig. 6) does not reveal that neither party became convinced by the other. Nonetheless, a publication of the Harvard group appeared a year later entitled "*Bright light resets the human circadian pacemaker*" (Czeisler et al. 1986). It did not mention the possibility of a second pacemaker, or acknowledge the discussion we had had. Later publications from Harvard never referred to the elusive second pacemaker anymore.



Figure 6. Participants of the meeting in New York, February 21, 1985: from left: Dick Kronauer, Chris Gillin, Michael Terman, Alex Borbély, Charles Czeisler, Philippa Gander, Tom Wehr, Serge Daan, Steve Strogatz, Domien Beersma.

The model became exceedingly popular. I was invited to countless seminars and meetings to talk about it, such as the Gordon conference on Chronobiology (1983, 1985), the World Congress of Psychiatry (Vienna 1983), the International Ethological Conference (Toulouse 1985), the Sapporo Symposia on Biological Rhythms (1986, 1991), the First International Congress of Neuroethology (Tokyo 1986). Meanwhile at home, we were not idly enjoying the success. In Zürich, the model became the

main source of inspiration, and further research of both Alex Borbély and Irene Tobler and their students soon made their lab the leading place for sleep research in Europe, if not the world. In the summer of 1982, preceding my sabbatical, Domien Beersma and I wrote a large grant proposal to actively test a series of predictions that emerged from the model. I submitted that grant to BION, the branch of NWO that covered the life sciences. In those days, BION was organized in ‘werkgemeenschappen’ (working communities). In these bodies the priority of proposals was decided collectively. Mine was in the werkgemeenschap Ethology. After my presentation in Utrecht on April 6, 1982 my ethological colleagues hammered the proposal down, with the main argument that this was an interesting idea, but did not belong in ethology. When the verdict was almost clear, Professor Gerard Baerends arose. He was the grand old man of ethology in the Netherlands. He never said much in



Figure 7. Alex Borbély at the party following the thesis defence of Derk Jan Dijk (left), June 22, 1988.

meetings, but sat listening silently in the back row. But this time his fury was unleashed. What he said in essence was that ethology would not be worth its existence if we thought that the most prominent of human behaviours were not our concern. Silence. In the subsequent vote, the proposal came out with top priority. The grant was awarded. Rudi van den Hoofdakker, professor of Biological Psychiatry, in whose department Domien worked at that time, would act as the thesis supervisor. Rudi was working intensely on sleep deprivation therapy in mood

disorders. He was a cofounder of the European Sleep Research Society, and strong supporter of our endeavour. We

hired Derk Jan Dijk to make a Ph.D. out of it, Gerda Bloem to assist him technically. Derk Jan set out towards a splendid thesis, defended in 1988 with the highest honours: *cum laude* (Dijk 1988). He was the first progeny of the marriage. Alex Borbély was on the committee (Fig. 7).

Groningen and Zürich further tightened the bond. Alex and I received a grant from the ETP-BBR (European Training Program in Brain & Behaviour Research). This started a series of mutual two-day visits, initially twice per year, in which our groups exchanged and discussed our work with each other. Collaboration and friendship between the Groningen and Zürich/Basel groups became ever more intense and rewarding. This continued all through the 1980's and 90's. After his successful thesis defence, Derk Jan Dijk went for a postdoctoral fellowship to Zürich. Later two of our undergraduate students in Groningen went to the Borbély lab for their thesis research: Paul Franken, who extended the quantitative modeling work to rats, and Tom de Boer, who was involved in an important functional sideline of circadian sleep research: the relation between sleep and torpor. Both have meanwhile made a career of their own.

I had not touched the problem of torpor anymore since my own Ph.D. thesis (Daan 1973). That thesis was focused on the question why hibernators periodically warm up from hibernation during the winter. That was an important biological problem. Many hibernators do not eat or drink during the winter, but live on a massive fat resource laid down during the summer months. They use nearly all of this fat in the periodic rewarming for about 10 hours once every 10 days or so. I had found that bats use these so-called ‘arousals’ to relocate in

their hibernacula, selecting the coldest spots: deep in the cave in the fall, in the front sections later in winter. But this could not be a general function since most other hibernators stay where they are for six months. On May 5, 1989 Jim Kenagy from at the University of Washington, who had hosted my sabbatical 6 years before, visited us in Groningen together with a former and a current Ph.D. student, Brian Barnes and Sarah Hiebert. Sarah gave a beautiful seminar on her work on hummingbirds. She showed daily profiles of their body temperature, and it struck me that hummingbirds always warmed up from nocturnal torpor an hour or so before lights-on. This seemed a waste of energy for such a small creature. That night, sipping wine on our terrace with our american visitors, I suggested that hummingbirds might warm up from torpor in order to sleep before becoming active. Perhaps hibernators too would periodically warm up to sleep. This would solve the question I had left unanswered two decades earlier.

Another wave of excitement started. We had the EEG equipment for small mammals. Brian Barnes, at the Institute of Arctic Biology in Fairbanks, Alaska, had the animals. He sent a batch of Arctic ground squirrels over to Holland. My student Arjen Strijkstra recorded their EEG during hibernation. Indeed the squirrels slept soundly during every “arousal”, with high-intensity slow wave sleep. When we had written up the first part of the story, it turned out that Lorenz Trachsel had found the same result in a close relative, the Golden-mantled ground squirrel. Lorenz was a former Ph.D. student of Irene Tobler and Alex Borbély, holding a postdoctoral fellowship in Craig Heller’s lab at Stanford University. The publications came out simultaneously (Daan et al. 1991), (Trachsel et al. 1991). We were in high spirits indeed. Not because the old riddle was finally solved, but since the result was of major significance for the elusive function of sleep. If animals decided to systematically interrupt their major energy saving and life-prolonging strategy of hibernation in order to sleep, and indeed burn their fat for sleeping, sleep could not have an energy saving function as was a popular speculation (Berger and Phillips 1988).

Arjen Strijkstra embarked on a Ph.D. project on this phenomenon (Strijkstra 1999). He used European ground squirrels, or susliks, as they are called in Hungary. We had access to them through collaboration with John Dittami and Eva Milleli at the University of Vienna. We further revived active collaboration with Zürich (Irene Tobler) and with an old friend from the Andechs days: Gerhard Heldmaier, who carried on the torch of Max Rubner at the University of Marburg. A new grant from ETP-BBR supported our collaboration and exchange, and a series of meetings in Groningen, Zürich and Marburg. Tom de Boer did his Ph.D. research with Irene Tobler in Zürich on sleep and torpor in the Siberian hamster, and eventually defended his thesis in Groningen in 1996 (De Boer 1996). Arjen showed for hibernation, and Tom for daily torpor, that brain temperatures below 30°C constitute sleep deprivation and have to be compensated by slow-wave sleep afterwards. This was a very important sequel to the two-process model, since it demonstrated that Borbély’s sleep need builds up not only during wakefulness but also during low brain temperatures. The torpor work also invigorated the interaction between the two labs in its second decade.

On neither side the collaboration was our only activity. Alex and Irene, with the help of Peter Achermann went on refining the model (Achermann et al. 1993; Borbély and Achermann 1999) and had a very active crew strongly focused on the sleep EEG. Their many Ph.D. students have spread over the world and changed the face of sleep research. Among them was an other Groningen student, Paul Franken, who was the first to apply the two-process model systematically to an animal species, the rat (Franken 1993). In Groningen, I was appointed in 1996 in Gerard Baerends’ chair of Ethology, soon afterwards relabeled as Behavioural Biology. There were 3.5 tenured scientist positions in the group, and our work covered a broad variety of topics, including energetics, reproductive timing, sex ratio

optimization, perinatal effects on adult behaviour. Circadian rhythms and their entrainment remained a strong focus especially after the recruitment of Domien Beersma (1997) and Martha Merrow (2004) to the tenured staff. It will remain even more so with the recent appointment (2010) of Roelof Hut. In 2005, when I stepped down as group leader, the unit had grown to 7 tenured scientists and we decided to split it into Behavioural Biology, headed by Ton Groothuis and Chronobiology, lead by Domien Beersma.



Figure 8. Alex Borbély (left), Serge Daan (right).

While our labs in Zürich and Groningen continued the interaction in the last decade, both Alex and I were called upon for administrative duties. Alex was dean of the Medical Faculty (1998-2000) and vice-rector for research at the University of Zürich from 2002 to 2006. I was vice-dean (2001-2004) and dean (2007-2009) of the Faculty of Mathematics and Natural Sciences at the University of Groningen. While we were fading out, the integration of sleep and rhythms research still flourished. Sleep had become a topic in most of the leading biannual conferences (SRBR, ESRS, SLTBR, Gordon Conference on Chronobiology). Our labs continued to interact. Our students settled in leading positions. Derk Jan Dijk, in his Harvard time with Charles Czeisler, had beautifully shown by forced internal desynchronization how the contributions of the two processes to psychophysiological rhythms can be disentangled (Dijk et al. 1992). Special symposia were dedicated to our contributions, in Tokyo 2006, in Ittingen 2007. A new generation of researchers has started to fill in what exactly the two processes are. Great strides have been made in unraveling the molecular mechanism of circadian oscillations. For the homeostatic process a challenging new hypothesis is now pursued: the synaptic downscaling role of sleep proposed by (Tononi and Cirelli 2006). What more could we have wished to happen ?

REFERENCES

- Achermann P, Dijk DJ, Brunner D and Borbély AA (1993) A model of human sleep homeostasis based on EEG slow-wave activity: quantitative comparison of data and simulations. *Brain Res Bulletin* 31:97-113.
- Åkerstedt T and Gillberg M (1981) The circadian variation of experimentally displaced sleep. *Sleep* 4 159-169
- Aschoff J (1965) Circadian Rhythms in Man - a Self-Sustained Oscillator with an Inherent Frequency Underlies Human 24-Hour Periodicity. *Science* 148:1427-1432.
- Aschoff J, ed. (1981) *Handbook of Behavioral Neurobiology 4. Biological Rhythms*, Plenum Press, New York.
- Aserinsky E and Kleitman N (1953) Regularly occurring periods of eye motility, and concomitant phenomena, during sleep. *Science* 118:273-274.
- Berger RJ and Phillips NH (1988) Comparative aspects of energy metabolism, body temperature and sleep. *Acta PhysiolScand* 133 21-27
- Borbély AA (1982a) A two-process model of sleep regulation: I. Physiological basis and outline. *Human Neurobiol* 1:195-204.
- Borbély AA (1982b) Circadian and sleep-dependent processes in sleep regulation. In *Vertebrate Circadian Systems*, J Aschoff, S Daan and GA Groos, eds, pp 237-242 Springer-Verlag Berlin-Heidelberg
- Borbély AA and Achermann P (1999) Sleep homeostasis and models of sleep regulation. *J Biol Rhythms* 14:557-568.
- Borbély AA, Baumann F, Brandeis D, Strauch I and Lehmann D (1981) Sleep deprivation - Effect on sleep stages and EEG power density in man. *Electroencephalography and Clinical Neurophysiology* 51:483-493.
- Borbély AA and Wirz-Justice A (1982) Sleep, sleep deprivation and depression. A hypothesis derived from a model of sleep regulation. *Human Neurobiol* 1:205-210.
- Czeisler CA, Allan JS, Strogatz SH, Ronda JM, Sanchez R, Rios CD, Freitag WO, Richardson GS and Kronauer RE (1986) Bright light resets the human circadian pacemaker independent of the timing of the sleep-wake cycle. *Science* 333:667-671.
- Daan S (1973) Activity and Lethargy in hibernating mammals. Two studies on ecological and physiological aspects of the alternation of normothermic and hypothermic states in bats and dormice. Ph.D.thesis. University of Amsterdam.
- Daan S, Barnes BM and Strijkstra AM (1991) Warming up for sleep? - Ground squirrels sleep during arousals from hibernation. *Neuroscience Letters* 128:265-268.

Daan S and Beersma DGM (1983) Circadian gating of human sleep-wake cycles. In *Mathematical models of the circadian sleep-wake cycle*, MC Moore-Ede and CA Czeisler, eds, pp 129-158, Raven Press, New York.

Daan S, Beersma DGM and Borbély AA (1984) Timing of human sleep: recovery process gated by a circadian pacemaker. *Am J Physiol* 246:R161-R178.

De Boer T (1996) Sleep regulation in the Djungarian hamster. The effects of temperature, photoperiod and daily torpor. Ph.D.thesis. University of Groningen.

Dijk DJ (1988) Spectral analysis of the sleep EEG. Experiments inspired by the two-process model of sleep regulation. Ph.D.thesis. University of Groningen.

Dijk DJ, Duffy JF and Czeisler CA (1992) Circadian and sleep/wake dependent aspects of subjective alertness and cognitive performance. *Journal of Sleep Research* 1:112-117.

Eastman C (1982) The phase-shift model of spontaneous internal desynchronization in humans. In *Vertebrate Circadian Systems*, J Aschoff, S Daan and GA Groos, eds, pp 262-267 Springer-Verlag Berlin-Heidelberg

Feinberg I (1974) Changes in sleep cycle patterns with age. *JpsychiatRes* 10 283-306

Franken PCJ (1993) Sleep homeostasis and brain temperature. Experimental and simulation studies in the rat. Ph.D.thesis. University of Groningen.

Gander PH, Kronauer RE, Czeisler CA and Moore-Ede MC (1984) Simulating the action of zeitgebers on a coupled two-oscillator model of the human circadian system. *Am J Physiol* 247 R418-R426

Kronauer RE (1982) Mathematical model of the human circadian system with two interacting oscillators. *AmJPhysiol* 242 R3-R17

Moore-Ede MC (1983) The circadian timing system in mammals: two pacemakers preside over many secondary oscillators. *Federation Proceedings* 42:2802-2808.

Pittendrigh CS and Daan S (1976) A functional analysis of circadian pacemakers in nocturnal rodents I-V. *JcompPhysiol* 106:223-355.

Strijkstra AM (1999) Periodic euthermia during hibernation in the European ground squirrel: causes and consequences. Ph.D.thesis. University of Groningen.

Tononi G and Cirelli C (2006) Sleep function and synaptic homeostasis. *Sleep Med Rev* 10:49-62.

Trachsel L, Edgar DM and Heller HC (1991) Are ground squirrels sleep deprived during hibernation? *American Journal of Physiology* 260:R1123-R1129.

Wehr TA and Wirz-Justice A (1981) Internal coincidence model of sleep deprivation and depression. In *Sleep 1980*, WP Koella, ed, p 26, Karger, Basel.

Wever R (1979) *The circadian system of man*, Springer, Berlin.

Zulley J and Wever RA (1982) Interaction between the sleep-wake cycle and the rhythm of rectal temperature. In *Vertebrate Circadian Systems*, J Aschoff, S Daan and GA Groos, eds, pp 253-261 Springer-Verlag Berlin-Heidelberg

EARLY DAYS OF SLEEP AND BREATHING IN THE NETHERLANDS

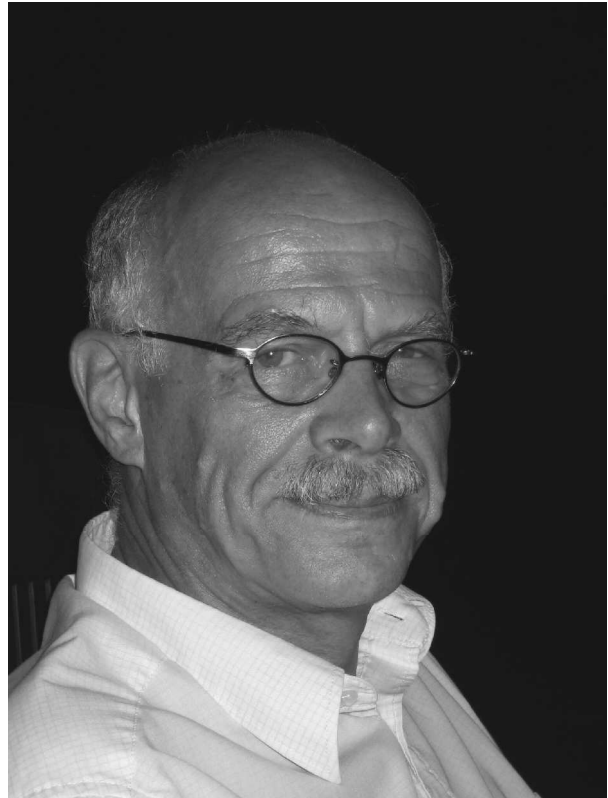
Some personal experiences

Hans Th M Folgering

Emeritus Professor Pulmonary Pathophysiology University of Nijmegen The Netherlands

SUMMARY CURRICULUM.

As a medical student, I was invited to work in the department of medical physics for three years (1963-1966) on oximetry in hemoglobin solutions (Prof A.Vendrik). In 1964 I visited a summer research institute of the New York university in Saranac Lake, and worked on experimental emphysema in rats. After finishing medical training and military service, I started in the department of physiology of the university of Nijmegen in 1973 (Prof.F.Keuzer). The subject was control of breathing in humans and anesthetized cats. Human pathophysiology of breathing was studied in patients with a hyperventilation syndrome, and in newborns with near sudden infant death syndrome. The latter was also studied in an experimental animal model in a ten-year collaboration with the university of Bochum (Germany) Prof. H.Loeschke and Prof. M.Schlaefke. I wrote a thesis on neurophysiology of the control of breathing in the animal studies, together with a bio-electric engineer (Dr.F.Smolders). I worked at the



University of Bristol UK for some time in 1979-1980 (Prof.M.Purves) on peripheral chemoreceptors and measuring respiratory oscillations in blood gas values. In 1983 I was invited to head the lung function department of the department of pulmonology . Since I had got familiarized with several basic techniques and ideas about sleep and breathing, it was not very difficult to start recording respiration in sleeping COPD-patients, and I performed a number of studies for various therapies for hypoventilating COPD-patients. Obstructive sleep apnea could not be ignored. This resulted in the first sleep laboratory in a pulmonology department in the Netherlands. Apart from that, exercise physiology, pulmonary function measurements by general practitioners, and pharmacological stimulation of breathing in COPD-patients, pulmonary diseases and work, were important topics of research. I was (co-) author in almost 300 papers in various journals, I had 35 Ph-D students, and finally, two years before retirement, was awarded in Princeton USA for my work on the hyperventilation syndrome. I retired at the end of 2004.

HISTORY

Being the eldest son of a General Practitioner, I studied medicine in Nijmegen, intending to follow my father and eventually take over his practice. This was not to be. In the 3rd year of

my medical studies (1963), I apparently performed well in an exam on medical physics, and got invited to work for three months in the department of medical physics on the in vivo measurement of oxygen saturation in the solutions with cell-free hemoglobin. Eventually, I stayed for three years. This was so challenging and interesting, that it made me decide to give up my ideas of becoming a general practitioner. Physiological research was to be my future. The principle of oximetry was known by that time, but only in-vitro, in a sample of hemoglobin in a cuvette, in a spectro-photometer. In-vivo measurements of oxygen saturation in intact humans, which we now take for granted was unheard of. At that time I also met Ton Coenen for the first time; he was working in the same Department of medical physics

Medical physics is a profession of physicists, working on problems in medicine. Rather complicated for a medical student and a future doctor. Realising this, I contacted prof F.Kreuzer in the department of physiology, enquiring about possibilities for working in his lab after finishing my medical studies. His positive response resulted in a visit to a summer research institute in Saranac Lake (NY) in the USA. I participated in a project on a pathophysiological model of emphysema in rats. These animals were made to inhale an aerosol of a surfactant. The idea was, to disturb the normal physiological surfactant, and to wash this surfactant away from the animal's lungs. This was broncho-alveolar lavage 'avant la lettre'. The lungs were excised after one week. The compliance of these isolated lungs were measured, and tissue slides were made to study the ratio of tissue versus air in the treated and untreated lungs, in order to quantify possible emphysema. The results were not staggering, but the process of thinking about making a pathophysiological model, about ways of measurements for testing one's hypothesis, process data with statistics (in the pre-computer era) working in an international group of students, using a foreign language for communicating about science and for every-day needs, travelling abroad and finding one's way, was invaluable for my later work.

After graduating from medical school and after military service, I started as a Ph D student in the department of physiology in Nijmegen. At that time, one of the major questions in control of breathing was the (mathematical) description of ventilatory responses to increased levels of CO₂ in the arterial blood (hypercapnia) and to lowered levels of O₂ in the blood (hypoxia), as well as the responses to combined hypoxia and hypercapnia. Dr Smolders, bioelectric engineer, Dr Bernards, physiologist, and myself started to work on this matter in awake humans. Automated systems to change blood gas levels in a closed spirometric system were built. Volunteers, i.e. co-workers, medical students, family and friends were recruited to be subjected to these experiments. Ethical committees did not exist in those days, so every experiment that could be conceived, could also be realized in an extremely short time. I always made a point of being the first human subject in any experiment I ever did on human subjects. The experiments in intact awake humans did give good overall descriptions and mathematical equations about the behavior of the 'black box' of control of breathing. In order to get an insight in the subsystem of this black box of the overall response, we had to perform experiments on anesthetized cats that could be operated upon, and thus opened possibilities to study subsystems within the control system of breathing. For instance: can the neural activity in the efferent phrenic nerve be used as a measure of minute ventilation in anesthetized and paralysed cats? How do respiratory neurons in the brain-stem react to hypoxia, hypercapnia and asphyxia? How do the medullary respiratory neurons generate an increase in ventilation when chemoreceptors are stimulated? What is the effect of selectively eliminating either the central chemoreceptors at the ventral surface of the medulla oblongata, or the peripheral chemoreceptors in the carotid and aortic bodies? The techniques for these studies were operations on anesthetized, paralysed and ventilated cats, where one was surgeon, anesthesiologist, and neurophysiologist at the same time. Recording electrical

activity from the phrenic nerve or from single respiratory neurons in the brain stem was greatly supported by Frans Smolders, the biomedical engineer whom I worked with for many years, and who taught me about input impedance of amplifiers, about microelectrodes with tips of one micron for recording single unit activity of respiratory neurons, about quantifying the electrical output of the respiratory centres, and about mathematical models and curve fittings. This might have been the period of my life where I learned the most in the shortest time, about things that I did not learn in my medical education. Dr Smolders and I together wrote one thesis, with different responsibilities for different chapters, and defended this thesis in 1976.

After the steady state studies, i.e. give some CO₂ to breathe and wait until the response of the ventilatory system is completely stable after 5 or more minutes, we were also interested in the dynamics of the responses of the ventilatory system. Generate a step-change in CO₂, and measure the time constant of the ventilatory system i.e. the speed of the response. What made the ventilatory response as slow as 3 to 6 minutes: was it the chemoreceptors, the respiratory centres, the blood-brain barrier, the response of the cerebral blood flow? All these subsystems had to be isolated and measured separately in anesthetized cats, in order to understand the speed of response of the total system.

The sudden infant death syndrome (SIDS) was described around 1975. Newborn infants, usually less than one year old, who were apparently completely healthy, were found dead in their cots. One child was admitted to the department of neonatology in Nijmegen, as a so-called near-miss SIDS. Suspecting a failure in respiratory drive during sleep in this infant, we measured the ventilatory response to hypercapnia. This was not an easy thing to do in a newborn. We were struggling with the basic physical law that one cannot take measurements from a physical system without influencing this system at the same time. Applying a face mask to an infant would undoubtedly have a profound effect on the sleep-stage of the child, and consequently also on breathing. A wakefulness drive would stimulate and maintain breathing during such an intervention. No instruments that would measure ventilation and end-tidal CO₂ were commercially available. Thus we had to build our own instruments. A three centimeter long accordion-shaped balloon as used in bicycle brakes was connected to a pressure monitor, and strapped around the thorax with a white shoelace. This was our respiratory movement monitor. A small tube (inner diameter 0.5 millimeter) was stuck under the nose with adhesive plaster, and a sample of expiratory air was led to a capnograph adapted to small flow rates of 50 ml/min. We applied a CO₂-enriched air-mixture in a small oxygen-tent. It appeared that this child's ventilation hardly responded to an increase in end-tidal CO₂. Thus, it seemed that there was something seriously wrong with the chemoreceptors of this child. Consequently, its nocturnal breathing was monitored (with an alarm system for apnea) at home. In spite of this monitoring, the child succumbed one month later. Autopsy revealed that structures of the external arcuate nucleus at the ventral surface of the medulla were absent. These structures are at the location of the central chemoreceptors for CO₂! At that time this problem was sometimes called: 'Ondine's curse syndrome'. Ondine was a water nymph in ancient German mythology. Her lover Hans was not so monogamous as she would have liked. So, she cursed him that he would have to stay awake in order to keep breathing. If he would fall asleep, breathing would stop, and Hans would die. It was also claimed that Solomon's judgment on the two mothers, one of them having lost a child during the night, was the first description of the Sudden Infant Death syndrome.

In cooperation with the University of Bochum in Germany (Prof. Schlaefke) we developed an animal model in cats for this SIDS-problem. We were able to coagulate the central chemoreceptors in cats in a chronic model. When these cats fell asleep, they either strongly hypoventilated or showed apneas.

In 1983, I changed jobs from the department of physiology at the University of Nijmegen, to the department of pulmonology and became head of the lung function department. Breathing during sleep hardly was a scientific topic at that time. There was an early paper of Von Bülow, mainly descriptive about breathing and sleep (about 1950's). There was important work from John Remmers at Dartmouth medical college USA in sleeping dogs. He stated that during slow wave sleep, ventilation was driven by chemoreceptors. Ventilatory responses to CO₂ were intact during slow wave sleep. However, during REM-sleep, these ventilatory responses were virtually absent. Also in awake subjects that were reading aloud from a book, he found no ventilatory responses to CO₂. He claimed that the REM sleep breathing was driven by 'behavioral mechanisms' as in the awake reading subjects. John Stradling in Oxford UK found divergent respiratory phenomena during sleep and disagreed with Remmers. In Australia, Collin Sullivan (1981) hosted his more or less overweight mother-in-law. He observed that this woman often fell asleep during the day, and often stopped breathing and snored considerably during these naps. She seemed to make respiratory efforts, but no air came out of nose or mouth. Obstructive sleep apnea was born. Collin Sullivan also started the first continuous positive airway pressure (CPAP) treatment on his own mother-in-law, and succeeded.

The problem of obstructive sleep apnea gradually became wider known and was recognized more often. Having had experience in measuring breathing during sleep in newborn, it was not really difficult to set up facilities for doing the same in sleeping adults. The things that one would like to measure in these patients were: Airflow at the nose and mouth, oxygen saturation in the arterial blood, respiratory movements of the chest, EEG and EOG, eye-movements, heart rate, and leg movements (restless legs syndrome).

As inspiratory air has a temperature of 20 degrees and expiratory air is 37 degrees, one only needs a small fast responding thermometer, in order to qualitatively measure airflow at nose and mouth. Thermistors could be bought easily and when soldered to a battery and a writing recorder, gave a nice and easy instrument to assess oronasal airflow, in a qualitative way. Some investigators later claimed that this could be a way to diagnose nocturnal hypoventilation. As the temperature changes due to breathing could never exceed the ranges from room-air to body temperature, these thermistors could by definition never be quantitative. So, hypoventilation (which would become important in diagnosing nocturnal breathing in patients with COPD) could never be diagnosed with thermistors only. Oxygen saturation was initially measured with an ear-oximeter developed by Hewlett and Packard. A bulky instrument with even a bulkier earlobe sensor measuring 12 by 12 by 3 cm, connected to the amplifier with a finger-thick cable with optic fibres. Again, one cannot measure from a physical system without disturbing the system: patients slept badly with such an oximeter strapped to their heads. From the start, heart rate was also provided by the oximeter. Thoracic movements were measured with the pneumatic transducer made from little balloons from bicycle brakes, and strapped around the thorax. Respiratory inductance plethysmography (respiTrace) was to come on the market only at the end of the 1980's. EOG and EMG was measured with second-hand monochannel ECG-amplifiers, and recorded directly on a writing paper recorder. End tidal CO₂ was sampled with a narrow tube taped on the upper lip, and sampling from one nostril. No CO₂ signal meant apnea. A rise in end-tidal CO₂ was the ultimate proof of nocturnal hypoventilation. Video recording was made when other problems were suspected such as restless legs syndrome. Patients did not like this video recording; they felt their privacy was invaded too much.

Studying obstructive sleep apnea syndrome (OSAS) was not our primary interest. It was only a byproduct from our main interest: sleep and hypoventilation in patients with COPD. However, as we had the means to study OSAS as well, this was a nice way to be able to help many non-COPD-patients. The therapies for OSAS were abundant: weight reduction, tennis

balls in the back of the pyjama, snore-alarm with electric stimuli, tongue retainers, CPAP and an Ear-Nose-Throat (ENT)-surgical procedure: uvulo-palato-pharyngo plasty (UPPP), and sclerosing the soft palate with microwave needles. Initially these therapies were not very well validated. Therefore, the Rotterdam ENT group (Prof. F. van der Meché) started a multicentre trial around 1992, paid for by the health care insurance providers ('ontwikkelingsgeneeskunde'), to evaluate CPAP versus UPPP therapies. The Nijmegen group consisted of my department and of the ENT-department (Prof. H. Manni). Seventy-five patients were randomized for each treatment. Before the data were eventually processed and published, it had already become clear in the literature, that CPAP was the first choice therapy for OSAS.

At that time there was also a discussion about who's territory was this OSAS problem. Directly connected was the problem for general practitioners about the referral pattern for their patients. Neurologists and neurophysiologists traditionally were the group of doctors who knew about sleeping problems. Pulmonologists were the doctors with expertise on breathing. ENT specialists were the experts on the problems of large tonsils, large tongue, narrow oropharynx etc. Vested interests of specialist doctors in the various hospitals also were a component in this 'battle for OSAS-patients'. Many hospitals were able to form a multi disciplinary team of Pulmonologists, ENT specialists and neurologists, but in several hospitals there was a severe competition between the various specialists.

As stated above, in a chest-clinic, our main interest was in nocturnal hypoventilation in COPD patients, especially in the category of the 'blue and bloating' type. The Netherland's Asthma Foundation (NAF) provided a grant for a study on the prevalence of this problem, predictive value of daytime measurements of ventilatory responses to CO₂, daytime blood gas values, and therapeutic possibilities of stimulating nocturnal ventilation with the acidifying diuretic acetazolamide or with the progestative hormone chlormedionacetate. Dr. P. Vos wrote an outstanding thesis on this subject (1992). Both drugs did stimulate nocturnal breathing, resulting in a decrease in nocturnal PaCO₂ by approximately 0.5 kPa, and nocturnal oxygen saturation increased by 4% in patients with COPD. The progestative chlormedionacetate was somewhat less effective than the diuretic acetazolamide.

The respiratory muscles of COPD patients are weakened and dysfunctional because of the continuous state of hyperinflation and continuous contraction of the diaphragm. This means that these patients use their intercostals muscles as main breathing muscles. During sleep, especially during REM sleep, the intercostals muscles are subject to REM sleep paralysis, leaving the diaphragm as the only respiratory muscles to sustain ventilation. This will lead to hypoventilation and nocturnal hypoxemia in these patients. We reasoned that respiratory muscle training might improve the function of this diaphragm, and thus alleviate this nocturnal hypoxemia in COPD. Dr. Y. Heydra studied this hypothesis and reported in her thesis in 1995. A new way of respiratory muscle training was devised: Target flow inspiratory muscle training. A 10-week training program resulted in an increase in diaphragmatic strength by 3 kPa, and a mean improvement of nocturnal oxygen saturation of 1.9%.

Some patients with COPD hypoventilate and become hypoxemic during day and night. They are called the blue and bloating type of COPD. Others fight and struggle to maintain the levels of oxygen and CO₂ in their blood at normal levels. They are called the pink and puffing type of COPD. The severity of the COPD, as expressed by the degree of airway obstruction does not explain this difference in the two subtypes of COPD patients. The level of CO₂ in the body is measured by the peripheral chemoreceptors in the carotid bodies and by the central chemoreceptors at the ventral surface of the brainstem. Actually, these central chemoreceptors measure CO₂ in the cerebrospinal fluid. The CO₂ is also controlled by the autoregulation of the cerebral blood flow. The higher the CO₂ in the cerebro-spinal fluid, the

greater the cerebral blood flow to carry the excess of CO₂, away from the brain. We hypothesized that the blue and bloating COPD patients, that are hypoxic and hypercapnic during the day but especially during the night, might have an overactive autoregulation of the cerebral blood flow. This problem was studied by Dr. M. van de Ven who wrote her thesis in 2001. She was able to measure cerebral blood flow, and its reaction to changes in CO₂, noninvasively in intact human subjects, using the near infra-red spectroscopy method. COPD patients did have a lower cerebral blood flow, and a lower reaction of the blood flow to increases in arterial CO₂. However, no differences were found between the normocapnic COPD patients and the hypercapnic ones.

COPD patients often need treatment with diuretics, because of edema and overfilling of the circulation. The first drug of choice is the loop-diuretic furosemide. However, this drug causes a metabolic alkalosis and therefore, it can be expected that this drug will cause a greater or lesser degree of ventilatory depression. Dr F.Brijker studied this problem in a group of COPD patients who were on furosemide (2001). After inclusion, they were randomised either to continue with the furosemide, or receive placebo for one week. After that week, the drug regimen was changed again, in a double blind fashion. During the placebo treatment, the arterial CO₂ decreased significantly by 0.5 kPa. Mean nocturnal oxygen saturation did not change significantly. Consequently, it was concluded that furosemide depresses ventilation in COPD patients.

Chemical stimulation of ventilation by either inducing a metabolic acidosis with the diuretic acetazolamide, or by giving progesterone acetate, was revisited in a study by Dr. M. Wagenaar. He elaborated on the underlying mechanisms of these drugs, in a study on cats and on humans. This study was supported again by the Netherland's Asthma Foundation, and was performed in close cooperation with the Department of Physiology of the University of Leiden (Dr.L.Teppema). The study focused on stimulating the central or peripheral chemoreceptors by both drugs. The methods used, were developed in Leiden: the dynamic end-tidal forcing technique. Not only were both drugs studied separately, but also in a combined treatment regimen (hoping for a positive interaction between the drugs). Especially the nocturnal PCO₂ values benefited from the combined therapy of two weeks: a decrease of 1.4 kPa. The nocturnal oxygen saturation increased during combined therapy by 4.7%. The effects of the combined therapy were significantly better than both drugs alone. The cat-experiments showed that there was a true interaction between the drugs: the effect of the combined therapy was more than the added effects of both single therapies. Dr. Wagenaar defended his thesis in 2003.

Around 1995, Henk Hassink pulmonologist in Venlo and myself, started a working group "Sleep and Breathing" within the Dutch Pulmonologist's association: NVALT. From the start, this group was open to other specialists. Exchange of information, reviewing the literature, exchanging clinical experiences, relationships with health care insurances and with commercial firms was discussed in twice-yearly meetings in the various clinics. I was to preside this working group for about 5 years. In 2004 we wrote a standard guide on nocturnal oxygen supplementation in COPD-patients with nocturnal hypoventilation and hypoxemia. It was not clear whether such patients, being hypoxemic only during the night, were at risk for developing pulmonary hypertension. Careful scanning of a wide range of literature (Dr. K.Orbon) provided no evidence of pulmonary hypertension in this category of patients. Consequently, the relevant clinical conclusion was that COPD patients with isolated nocturnal hypoxemia did not need treatment with nocturnal oxygen supplementation via a nasal canula or face mask.

The early members, or 'founding fathers' of the working group 'Sleep and breathing' were pulmonologists, ENT-specialists, neurologists, oro-facial surgeons of the clinics of

Nijmegen, Amsterdam, Heeze, Venlo, Arnhem, Groningen, Leeuwarden and Rotterdam. At later stages many more clinics from all over the country joined.

In 2001, I was to head an expertise centre for Work and Pulmonary disease. The minister of health had provided funding for four of such expertise centres for work and: lungs, skin, musculo-skeletal system, and psychological system, in order to reduce sick-leave and to reduce disability due to diseases of these organs. One could apply and write a business plan for such a centre. The Nijmegen business proposal for a centre for work and pulmonary diseases ended on the first place. My directors asked me to head this centre, as I also had written the business plan. I did so, until my retirement in 2004. Therefore the Nijmegen sleep centre was to be headed by someone else: my first PhDstudent Dr.P.Vos with whom I had worked on sleep and breathing, for almost 15 years.

LIST OF PUBLICATIONS FROM NIJMEGEN ON SLEEP AND BREATHING

Wouters HJ, Manni JJ, Folgering HTh, van der Ham-Veltman PHM. Het obstructieve slaap apnoe syndroom en snurken; de uvulopalatopharyngoplastiek als operatieve therapie. Ned Tijdschr Geneesk 1986; 130:1237-1240.

Wouters HJ, Manni JJ, Folgering H. Het obstructief slaap apnoe syndroom in kinderen; een indicatie voor tonsillectomie en adenotomie. Tijdschrift voor Kindergeneeskunde 1986;54:154-157.

Manni JJ, Wouters HJ, Folgering HTh. Das Schlaf-apnoe-syndrom, das Schnarchen und die Uvulopalatopharyngoplastik. Laryng Rhinol Otol 1986; 65:566-569.

Vos PJE, van Herwaarden CLA, Folgering H. Nocturnal end-tidal PCO₂ recordings in patients with chronic obstructive pulmonary disease or sleep apnea syndrome. Physiol Meas 1993; 14:433-439.

Vos PJE, van Herwaarden CLA, de Boo Th, Lemmens W, Folgering H. Effects of acetazolamide, chlormadinone acetate, and oxygen on awake and asleep gas exchange in COPDpatients. Eur Respir J 1994; 7: 850-855.

Heijdra YF, Dekhuijzen PNR, van Herwaarden CLA, Folgering H. Effects of body position hyperinflation, and blood gas tensions on maximal respiratory pressures in patients with chronic obstructive pulmonary disease. Thorax 1994; 49:453-458.

Vos PJE, Folgering H, van Herwaarden CLA. Predictors for nocturnal hypoxaemia (mean SaO₂ , 90%) in normoxic and mildly hypoxic patients with COPD. Eur Respir J 1995; 8 :74-77.

Heijdra Y, Dekhuijzen PNR, van Herwaarden CLA, Folgering H. Nocturnal saturation and respiratory muscle function in patients with chronic obstructive pulmonary disease Thorax 1995; 50:610-612.

Vos PJE, van Herwaarden CLA, Folgering H. Sufficient indication of nocturnal oxygen saturation and breathing pattern in COPD patients, from a single night's study. Respir Med 1995; 89:615-616.

Heijdra Y, DekhuijzenP, Vos PJE, Folgering H, van Herwaarden CLA. Nocturnal hypoxaemia in patients with chronic obstructive pulmonary disease: who should be treated and how? Neth J Med 1995; 47:296-301.

Vos PJE, Folgering HTh, van Herwaarden CLA. Visual attention in patients with chronic obstructive pulmonary disease. Biol Psychol 1995; 41:295-305.

Heijdra Y, Dekhuijzen P, van Herwaarden CLA, Folgering H. Target flow inspiratory muscle training improves nocturnal saturation in patients with COPD. Am J Respir Crit Care Med 1996; 153:260-265.

Wagenaar M, Teppema L, Berkenbosch A, Olievier K , Folgering H. The effect of low doses of acetazolamide on the ventilatory CO₂-response curve in the anaesthetized cat. J Physiol Lond 1996; 495:227-237.

Wagenaar M, Teppema LJ, Berkenbosch A, Olievier C, Folgering H. The effects of low-dose acetazolamide on the ventilatory CO₂-response during hypoxia in the anesthetised cat. *Eur Respir J* 1998; 12:1271-1278.

Boos MMJL, Folgering THM. Obstructief slaap apnoe bij beroepschauffeurs in Nederland. *T Soc Geneesk* 1999; 77:193-5.

Folgering H, Vos PJE. Sleep and breathing in chronic obstructive pulmonary disease. *Eur Respir Mon* 1998; 10:303-323.

Brijker F, van den Elshout FJJ, de Rijk A, Folgering HTM, Bosch FH. Niet-invasieve beademing ter voorkoming van intubatie tijdens acute respiratoire insufficiëntie. *Ned Tijdschr Geneesk* 1999; 143:1819-1823.

Folgering H. Supplemental oxygen for COPD-patients with nocturnal desaturations ? *Eur Respir J* 1999; 14:997-1000.

Van de Ven MJT, Colier WNJM, Kersten BTP, Oeseburg B, Folgering HThM. Cerebral blood flow in humans measured with near infrared spectroscopy is not reproducible. *Adv Exp Med Biol* 1999; 471:749-58.

Van de Ven MJT, Colier WNJM, Kersten BTP, Oeseburg B, Folgering HThM. Cerebral blood volume responses to acute PaCO₂ changes in humans, assessed with near infrared spectroscopy. *Adv Exp Med Biol* 1999; 471:199-208.

Brijker F, Heijdra YF, van den Elshout FJJ, Bosch FH, Folgering HTM. Volumetric measurements of peripheral edema in clinical conditions. *Clinical physiology* 2000; 20:56-61.

Broeders MEAC, Heijdra YF, Smits P, Folgering HTM, Kramers C. Verapamil decreases diaphragmatic endurance but nocturnal O₂-saturation is stable in patients with chronic obstructive pulmonary disease. *Eur J Exptl Pharm* 2000; 55:729-732.

Wagenaar M, Teppema LJ, Berkenbosch A, Olievier CN, Folgering HTM. Medroxyprogesterone acetate with acetazolamide stimulates breathing in cats. *Respir Physiol* 2000; 119:19-29.

Van de Ven MJT, Collier WNJM, van der Sluijs MC, Oeseburg B, Folgering H. Ventilatory response in metabolic acidosis and cerebral blood volume in humans. *Respir Physiol* 2001; 124:105-115.

Brijker F, van den Elshout FJJ, Heijdra YF, Bosch FH, Folgering HTM. Effect of acute metabolic acid-base shifts on the human airway calibre. *Respir Physiol* 2001; 124:151-158.

Brijker F, van den Elshout FJJ, Heijdra YF, Folgering HTM. Underestimation of nocturnal hypoxaemia due to monitoring equipment in patients with COPD. *Chest* 2001; 119:1820-1826.

Van de Ven MJT, Colier WNJM, van der Sluis MC, Kersten BTP, Oeseburg B, Folgering H. Ventilatory and cerebrovascular responses in normocapnic and in hypercapnic COPD patients. *Eur Respir J* 2001; 18:61-68.

Van de Ven MJ, Colier WN, Van der Sluis MC, Walraven D, Oeseburg B, Folgering H. Can cerebral blood volume be measured reproducibly with an improved near Infra Red Spectroscopy system ? *J Cerebr Blood Flow Metab* 2001; 21:110-113.

Van de Ven MJT, Colier WNJM, van der Sluijs MC, Oeseburg B, Vis P, Folgering H. Effects of acetazolamide and furosemide on ventilation and cerebral blood volume in normocapnic and hypercapnic patients with COPD. *Chest* 2002; 121:383-392.

Brijker F, Heijdra YF, van den Elshout F, Folgering H. Discontinuation of furosemide decreases arterial carbon dioxide tensions in patients with COPD. *Chest* 2002 ; 121 :377-382.

Wagenaar M, Vos PJ, Heijdra YF, Teppema LJ, Folgering HTM. Combined treatment with acetazolamide and medroxyprogesterone in chronic obstructive pulmonary disease patients. *Eur Respir J* 2002 ; 20:1130-1137.

SLEEP-WAKE
Research in The Netherlands

Annual Proceedings of the NSWO
Volume 21, 2010

PhD Theses

MAPPING INSOMNIA BRAIN STRUCTURE, FUNCTION AND SLEEP INTERVENTION

Ellemarije Altena

Netherlands Institute for Neuroscience, Sleep and Cognition, Amsterdam, The Netherlands

THE RESEARCH PROJECT

A sleepless night (sleep deprivation) may hamper task execution of tasks requiring concentration, attention and working memory the next day. Such problems were thus far not found unequivocally in people who reported chronic sleep problems. In this thesis we investigated if and in what way cognitive performance (memory, attention and working memory tasks) and brain activity are different between people with chronic sleep problems (chronic insomnia) and normal sleepers. We also investigated whether any deviations in behavior and brain activity as a result of insomnia normalize after sleep therapy without medication. Lastly, we tried to find a better model than total sleep deprivation in good sleepers to investigate deviations in cognitive performance and brain activity in insomnia. For this we applied a method that makes the sleep more shallow but does not change total sleep duration.

We limited our study to older adults, as insomnia mainly occurs in people over 50 years of age. Sleep disorders as a result of (other) physical or mental disorders (so-called secondary insomnia) as well as sleeping disorders resulting from respiratory problems (sleep apnea) or restless legs during nighttime were further excluded. Any results could thus be directly related to sleep problems, and confounding factors such as breathing difficulties or mental problems could be excluded.

Subjects were selected by means of sleep registration, among other methods. During nighttime, an electro-encephalogram (EEG) was administered, leg movements were measured by means of electrodes and possible breathing difficulties were detected through a flow meter and an oxygen saturation meter. In addition, questionnaires were administered. From over four-hundred candidates a group of older adults was selected who only suffered from chronic sleep problems - primary insomnia. We compared this group to a group of normal sleepers, who we also subjected to sleep measurements and questionnaires to exclude sleep disorders, mental problems and other factors that might bias the study.

Both groups underwent brain scans that measured brain activity during task performance (functional magnetic resonance imaging, fMRI). By means of double-pulse transcranial magnetic stimulation (TMS) we attempted to gain an insight in the balance between the excitatory (stimulating) and inhibitory (repressing) brain cells in the neuronal network of the cortex. The participants were also asked to perform computer tasks that measured, amongst others, the level of attention (vigilance).

A second, identical session took place after six weeks of sleep therapy (for half of the group of insomnia patients) or after a similar time interval without sleep therapy (for the other half of the group of insomnia patients). This sleep therapy consisted of a combination of methods known to be effective for treating insomnia. The time that patients could spend in bed was for instance limited (sleep restriction), patients were exposed to 30 minutes of bright light every morning and evening to regulate melatonin production (light therapy), and they took a hot bath two to three hours before going to bed alternated with fairly intensive bodily

exercise (body temperature regulation). The intake of coffee and alcohol was reduced and activities allowed in bed were restricted; e.g. they were not allowed to eat or watch TV in bed (sleep hygiene).

To find a better model than total sleep deprivation for the deviations in cognitive performance and brain activity in insomnia we applied a method that induces shallow sleep, but does not affect total sleep duration, to a group of persons without sleep complaints. We achieved this by suppressing the slow brain waves that are characteristic of sleep (slow wave suppression). During one of the two sessions, participants were exposed to beeping noises which started as they entered deep sleep and which volume increased with continuous deep sleep; the beeping noises only stopped when the subjects' EEG indicated that they entered more shallow sleep. Cognitive performance and brain activity were measured the next day to evaluate whether the effects of this intervention showed a similar profile to that of the insomnia group.

SUMMARY OF THE INDIVIDUAL CHAPTERS

Chapter 1 provides an overview of the scope of the thesis and summarizes what is known thus far about the cognitive performance and brain activity in insomnia and after sleep deprivation, and what the treatment of insomnia involves. One third of all adults, in particular older adults, complain of suffering from insomnia. Although task performance is not affected in laboratory settings, insomnia is related to an increased number of industrial accidents and absenteeism from work. Insomnia is characterized by a state of elevated “arousal” (hyperarousal), which is shown from different physiological measures and which can be detected in the brain through increased brain activity before sleep onset and during sleep. Several factors can be distinguished that (1) make someone vulnerable for insomnia, (2) could lead to insomnia and (3) maintain insomnia. An increased sensitivity to the activating function of stress hormones could play a role in the onset and maintenance of insomnia. Insomnia is also known to be related to problems with emotion regulation: emotions are internalized instead of expressed or acted upon. Non-pharmacological sleep therapy may, particularly in the long term, be more effective than sleep medication for the treatment of insomnia.

Chapter 2 further elucidates the specific research questions featured in the various chapters.

Chapter 3 describes the performance of insomnia patients on two attention tasks. In one task, participants were required to press a button as soon as an asterisk appeared on a computer screen (the simple vigilance task). Intervals between asterisk appearances varied. Duration of the task was approximately 12 minutes. In the second, more complex task, participants were asked to press a button as soon as they saw the letter ‘p’ but not when the letter ‘d’ appeared (the complex vigilance task). Here, too, the intervals were variable and task duration was about 12 minutes. Compared to normal sleepers, insomnia patients were faster on the simple, but slower on the complex vigilance task. After sleep therapy this effect was restored; they became slower on the simple, but faster on the more complex task. This may be explained by the 'hyperarousal' effect, which influences the reaction time as long as the task involved is simple, but does not last on a more complex task which requires decision-making abilities. Sleep therapy may 'normalize' the insomnia patients so that their performance is similar to that of normal sleepers.

Chapter 4 describes the performance of both groups on a task that involved generating as many words as possible; in a particular category, for instance animals (categorical fluency

task) or generating words starting with a particular letter (letter fluency task). Insomnia patients show decreased brain activity in the frontal parts of the brain that are normally activated during this task (the lower winding of the frontal cortex -the inferior frontal gyrus) and the more centrally located frontal cortex (medial prefrontal cortex). After sleep therapy the brain activity in these regions partly recovers. Even on baseline, insomnia patients score better on both tasks than normal sleepers, possibly due to hyperarousal. Sleep therapy even improves their performance, particularly on the more complex task, the letter fluency task. It is possible that here, too, hyperarousal plays a role.

Chapter 5 describes how insomnia patients have lower grey matter density in three brain regions, namely the orbitofrontal cortex (in the frontal part of the brain, above the eye socket), and two regions in the precuneus (located in a higher, rear and more central part of the brain). Grey matter density in the orbitofrontal cortex has a strong correlation with insomnia severity: the more a patient suffers from insomnia, the lower the density. This area is often active during decision making. The precuneus is involved in a network of areas activated when the brain is at rest, the so-called 'default network'. It may be important in future research to evaluate whether insomnia patients show less strong brain waves during wakefulness and sleep.

Chapter 6 describes a double-pulse transcranial magnetic stimulation (TMS) experiment. With this method it is possible to measure the occurrence of inhibition or facilitation of the evoked muscle response, the so-called motor evoked potential (MEP), when a stimulus with a variable interval is preceded by a prior and weaker stimulus. Compared to normal sleepers the insomnia patients showed a strong MEP after a double pulse, but also after a single-pulse stimulus. This led to a relatively decreased facilitation effect compared to normal sleepers. Sleep therapy did not normalize this effect. The results suggest that, here too, hyperarousal may play a role.

Chapter 7 describes how slow wave suppression of normal sleepers may influence performance on attention tasks. Slow wave suppression led to lapses, moments of total lack of attention, in which no responses are generated. These lapses occurred during the simple as well as during the complex attention tasks. The performance after slow wave suppression very much resembles the performance after total sleep deprivation, as described by others, but does not resemble the performance of insomnia patients as described in chapter 3.

Chapter 8 discusses the influence of slow wave suppression on memory performance and brain activity. After slow wave suppression, the activity of the hippocampus, a brain area important for memory, was less strong than after a normal night of sleep. Participants could also remember less well which pictures they had or had not seen previously.

In **Chapter 9** results are summarized and discussed in the context of what is known about insomnia thus far. Cognitive performance in insomnia seems particularly affected in tasks with longer duration which involve a decision-making process. Even when task performance is not affected, brain activity of insomnia patients may be different from that of normal sleepers; sleep therapy may partly reverse this. Grey matter differences are found in different regions than the regions where functional differences are found between insomnia patients and normal sleepers. The regions where grey matter differences are found are strongly correlated to functions found to be affected in sleep deprivation and insomnia, such as decision-making. The involvement of these areas in the occurrence of spontaneous oscillations (brain waves)

during rest are an indication of the importance of mapping the default network in insomnia. The irreversibility of deviant intracortical facilitation in insomnia patients further suggests a possible risk factor for developing insomnia. Studies mapping the long-term effects of sleep therapy are required to determine which structural and functional differences are reversible and which are not. We did not find support for our hypothesis that slow wave suppression in normal sleepers might offer a more valid experimental model for insomnia than total sleep deprivation.

On the basis of these results a model for the development of insomnia is proposed in which combinations of (perhaps unalterable) factors, such as genetic factors, with secondary factors, such as stressful events, might lead to insomnia and/or psychiatric complaints. An unalterable factor could be a factor that makes a person vulnerable to develop a particular condition. Such factor could be grey matter density differences or a difference in sensitivity for intracortical facilitation. Secondary factors, such as a traumatic event, would then determine whether the vulnerability factor develops into a disorder or not. Here, too, longitudinal studies can offer a better insight into the tenability of this model.

The current results give reason to detect and start treatment for insomnia earlier in the future. Improvement is possible at many levels: from a more frequent referral of the general physician to sleep clinics to availability of non-pharmacological sleep therapy and reimbursement of this treatment by health insurances. Future longitudinal research could shed more light on the reversible and non-reversible effects of insomnia. More research is also needed to investigate the relationship between insomnia, decision-making skills and emotion regulation; suggestions for questionnaires and tasks are given. Setting up a large-scale registry of people with unexplained sleep problems whose symptoms are further mapped by measuring performance and brain activity may result in a definition of the various subtypes of primary insomnia. This could lead to quicker recognition and treatment of this frequently occurring, limiting but treatable condition.

Commentary on the dissertation by Ellemarije Altena

MAPPING INSOMNIA

Pierre Maquet

Centre de Reserches du Cyclotron, Liege, Belgium

Sleep is commonly considered to exert a life-sustaining function maintaining the integrity of brain and body physiology. Still, the prevalence of insomnia in the general population raises the issue of how these patients sustain chronic sleep disruption and restriction, and how insomnia impacts on cognitive performance and even alter the underlying brain structure and function.

To address these issues, Dr Ellemarije Altena resorted to modern techniques of neuroimaging to probe brain structure and function in carefully selected populations of insomniac patients. She first analyzed structural brain scans in insomnia patients and normal controls. She showed that patients had a lower grey matter density in the orbito-frontal areas, involved in decision making and the evaluation of affective stimuli.

She used functional MRI to assess the neural correlates of executive functions in insomniacs. She shows that, relative to normal matched controls without sleep complaints, the insomniacs recruit frontal areas to a lesser extent during a verbal fluency task. These reduced responses were observed despite a superior performance of insomniac patients. Even more intriguingly, sleep therapy resulted in a partial recovery of these functional abnormalities.

She also used double pulse transcranial magnetic stimulation and showed that intracortical facilitation is reduced in insomnia patients, possibly due to a higher baseline response.

Finally, in order to investigate the consequences of poor sleep in normal volunteers without sleep complaints, she evaluated the effects of slow wave sleep suppression by auditory stimuli. In collaboration with Dr Van Der Werf, she was able to show that slow wave sleep suppression was associate with a deficit in hippocampal responses during subsequent encoding of novel pictures and their recognition was impaired during later retest.

These studies pave the way for the detailed assessment of the structural, functional and cognitive aftermath of chronic insomnia and open new avenues to better understand both the mechanisms of insomnia and its detrimental consequences on brain function. The main challenge of future research will be to try to tease apart causes from consequences, genetic from environmental factors, as well as the relations between insomnia and psychiatric disorders.

SLEEP-WAKE
Research in The Netherlands

Annual Proceedings of the NSWO
Volume 21, 2010

Mini review

HISTORY OF ELECTROENCEPHALOGRAPHY

Anton M.L. Coenen

Department of Biological Psychology, Donders Centre for Cognition, Radboud University Nijmegen

PROLOGUE

An important year in the history of electroencephalography was 1890. In that year an article appeared in the authoritative scientific journal 'Centralblatt für Physiologie' about the recording of electrical waves from the brains of animals ¹. The paper was written by the Polish neurophysiologist Adolf Beck, a young assistant affiliated with the Department of Physiology of the Jagiellonian University of Kraków (Poland), guided by the famous physiologist Napoleon Cybulski. It was a short summary of research which Beck had performed on the electrical brain activity of rabbits and dogs. Following this attention-drawing publication in the Centralblatt, a violent polemic arose between brain physiologists in this journal. The issue concerned the claim about the discovery of electrical brain activity.

RICHARD CATON (1842-1926)

The discussion was abruptly ended by a letter from Professor Richard Caton (Figure 1) of the School of Medicine at Liverpool (UK) ², who referred to a brief abstract of about 10 sentences, published 15 years earlier in 1875 ³. In this abstract, which appeared on the occasion of a meeting of the British Medical Association in February 1875, Caton described the spontaneous waxing and waning of the electrical waves recorded from the brains of rabbits and monkeys. Caton expressed his findings as following: 'In every brain of monkey or rabbit hitherto examined the galvanometer has indicated the existence of electric currents. The external surface of the gray matter is usually positive in relation to the surface of a section through it. Feeble currents of varying direction pass through the multiplier when the electrodes are placed on two points of the external surface, or one electrode on the gray matter and one on the surface of the skull. The electric currents of the gray matter appear to have a relation to its function'. In a longer paper published two years later in 1877 ⁴, Caton more extensively described identical experiments with a larger number of animals with generally the same results. Caton's claim was convincing and indisputable and nowadays it is generally accepted that his abstract from 1875 contains the first description of the electroencephalogram.

Richard Caton was an Edinburgh graduate in 1867. A year later he settled in Liverpool, and became physician and lecturer in physiology at the Royal Infirmary School of Medicine in Liverpool. Caton was inspired by du Bois-Reymond's book 'Untersuchungen über thierische Elektrizität' and started with work on the animal brain, using non-polarisable electrodes and a string galvanometer. After defending his priority in having made the discovery of electrical brain waves, he did no further work on the brain. For many years his family and colleagues were unaware of his discovery. This was possible partly because of many other things that he did in his long life, but also because he took deliberate steps to hide the fact that he had worked on the brain of animals. The most important of these other activities was a study of the treatment of rheumatic heart disease. The basis of his treatment, complete bed rest, is still recommended today. Caton's interest in his university never waned, and he reached the

high office of pro-chancellor. Later, he became a city councillor and devoted much time to the promotion of public health. In 1907 he was elected Lord Mayor of Liverpool and, as such, became more well-known than as a brain scientist. This does not exclude the fact that Richard Caton is presently recognized as the discoverer of electrical brain waves, which form the basis of electroencephalography.



Figure 1. Richard Caton, shown in his thirties when he was working on electrophysiology.

MORE DISCOVERY CLAIMS

Before Caton finished the discussion in the *Centralblatt*, some submitted letters gained extra attention. Physiology professor Ernst Fleischl von Marxow from the University of Vienna wrote that he had already, seven years earlier, deposited a covert letter at the Imperial Academy of Sciences in Vienna containing claims about electrical brain activity⁵. Indeed, in this sealed letter, indications of electrical brain activity based on experiments carried out in Vienna were made, but his observation missed crucial points. The response to Fleischl's paper was rather laconically: 'Nature held and still holds innumerable riddles under the seal of secrecy. It makes no difference for science whether these riddles are kept secret under the seal of Nature herself or under that of the Imperial Academy of Sciences in Vienna'⁶. A second response about Beck's paper in the *Centralblatt* of 1890 came from Francis Gotch and Victor Horsley⁷. Although they referred to papers slightly related to the subject, it was notable that they mentioned the electrical response to sensory stimulation. Gotch, a direct colleague of Caton and the descriptor of the refractory phase that takes place between nerve impulses, performed experiments showing the electrical responses of the mammalian spinal cord to cortical stimulation. He did this together with his brother in law, the famous Horsley, the designer of the stereotactic apparatus for brain research. Just as Fleischl, however, Gotch and Horsley overlooked essential elements, such as the spontaneous oscillations and the cessation of these fluctuations after stimulation.

The most interesting response, however, came from Wassili Y. Danilewsky (Figure 2), a scientist working at the University of Charkow (Russia, now Ukraine)⁸. Danilewsky studied at the University of Kazan in Russia, together with Wladimir Ulyanov, later known as Lenin, and he finished his study at the University of Charkow in 1877. His doctoral thesis dating from that year was entitled, 'Investigations into the physiology of the brain', and was written in Russian. In his letter to the *Centralblatt*, Danilewsky mentioned his non-published doctoral

thesis. Indeed in this manuscript a description of the spontaneously fluctuating brain potentials of a dog's brain could be found and also indications for the changes in brain potentials after stimulation. Unfortunately, Danilewsky published a summary of his thesis in his response-publication to Beck not earlier than 1891. Nevertheless, what remains after the melee of claims to the *Centralblatt* is that it is almost certain that Danilewsky was the first scientist after Caton to observe electrical potentials of the brain.

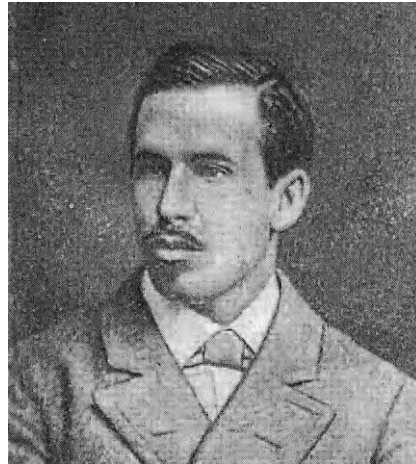


Figure 2. Wassili Yakovlevich Danilewsky (1852-1939) in 1879, shortly after he finished his thesis.

ADOLF BECK (1863-1942)

Beck was not aware of Caton's work, but he explored electrical brain activity, together with his supervisor Napoleon Cybulski (Figure 3), much more extensively and detailed than did Caton. Beck delivered important contributions to the nature of electrical brain activity. He accurately described the localization of sensory modalities on the cerebral cortex by electrical and sensory stimulation and by recording the electrical activities with clay electrodes and a string galvanometer. The abstract he sent to the *Centralblatt* in 1890 was a summary of his extensive thesis which was published one year later in the Polish language⁹, and later, at the initiative of the expert in the history of neuroscience, Mary Brazier, was translated into English¹⁰. Beck explored, in frogs as well as in paralyzed dogs and rabbits, the parts of the cortex that reacted upon stimulation with electronegativity. This was done for several sensory modalities and in fact this was the first description of 'evoked potentials'. In doing this, Beck also found the spontaneous oscillations of brain potentials and showed that these fluctuations were not related to heart and breathing rhythms. Moreover, Beck brought up a new element: the potential decrease upon sensory stimulation. He observed a cessation in the fluctuations of the electrical waves as a consequence of afferent stimulation, either by electrical stimulation of the *nervus ischiadicus* or by peripheral stimulation of the eyes with light flashes. Thus, he was the first to describe the desynchronization in the EEG following stimulation^{11, 12}. For all his work Beck was thrice nominated for the Nobel Prize, but never received that high honour.

In 1895 Beck left Kraków and moved to the University of Lwów, at that time a sister university of the Jagiellonian University in Kraków. Nowadays, Lwów, previously called Lemberg, is a city in the west of the Ukraine, known under the native name Lwiw. At an age of 32, Beck was appointed professor in physiology and founded, in Lwów, the Department of

Physiology under the Medical Faculty. Beck continued research on the nervous system, but also started research in general physiology and wrote a textbook on human physiology together with Cybulski. During 1904 and 1905, Beck served the Faculty as Dean and in 1912 he was nominated as Rector of the university and again in 1913. During World War I, Beck was a prisoner of the Russian army and was released with the help of Pavlov. Beck retired in 1932. In World War II, Lwów was occupied by the Nazis, who started to imprison all Jewish inhabitants. Beck was of Jewish origin. To avoid ending up in the gas chamber of the Janowska concentration camp, Adolf Beck committed suicide, with poison he got from his son Henryk, a medical doctor, who shortly after the war died in Breslau (Wrocław) in 1946. The last sentences that Beck's daughter Jadwiga, whose husband Kazimierz Zakrzewski was executed by the Nazi's in 1941, wrote about her father were: 'His death was painfully tragic: in 1942 in Lwów, when this magnificent, strong man had reached the age of 80, after a beautiful and dedicated life, he took poison when the Germans came for him'¹³. Born on 1 January 1863 in Kraków, Beck died on an unknown day in August 1942 in Lwów.



Figure 2. Adolf Beck (left) and Napoleon Cybulski (right), writing the textbook 'Fizjologia człowieka' ('Human physiology') in 1915.

FIRST ELECTROENCEPHALOGRAPHIC REGISTRATIONS

Wladimir Wladimirovich Práwdicz-Neminski (also called Nemminski) was the researcher who in 1913 published the first photographs of electrical brain potentials of dogs¹⁴. He did this with a mirror galvanometer and could register the movements of a beam of light on photographic paper (Figure 3). Before that time, researchers were not able to register electrical activity on paper. They were just looking at the moving coil of the galvanometer. Beck, for example, measured the size of the deflections by visual observations with a millimetre scale, and noted them by hand (on paper) as fast as possible.

Práwdicz-Neminski who worked at the Ukrainian Academy of Sciences in Kiev was the first who could distinguish two different rhythms in the electrical brain waves of dogs. Initially, he denoted these rhythms as 'waves of the first order' and 'waves of the second order' (Práwdicz-Neminski, 1913). These waves were later called A waves and B waves, and nowadays respectively alpha and beta waves. It was also Práwdicz-Neminski who coined the German term 'Elektrocerebrogramm' for the electrical brain activity¹⁵.

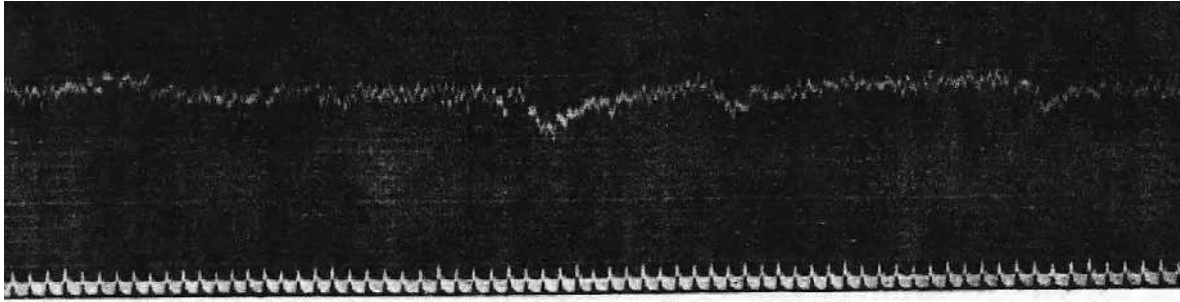


Figure 3. The first registration of the electrical brain activity (Elektrocerebrogramm) of dogs made by Nemminski in 1913 ¹⁴. The lower trace is the time in seconds (5 units is 1 sec.). The recording has a dominant frequency of 12 to 14 Hz.

HANS BERGER (1873-1941)

In 1929, almost forty years after Beck, Hans Berger published his first paper about recordings of electrical activity from the surface of the human brain ¹⁶. His children, especially son Klaus and daughter Ilse, were obedient, but also often unwilling subjects. On 14 October 1927, Berger exclaimed: 'Eureka! The waves of Klaus are identical to the intracerebral recorded waves. I am able to record the electroencephalogram of an intact skull!' (Figure 4). Berger was the first to record the electrical activity of the human brain and so promoted the technique into being a non-invasive one. Moreover, it appeared from his first publication in 1929, that Berger was well aware of studies published earlier. In an interesting historical introduction in his lengthy paper, he gave full credit to all researchers who had already described main phenomena, such as the spontaneous fluctuations, the blocking after sensory stimulation and the existence of two pattern rhythms ¹⁶. Moreover, Berger described the conditions under which the two rhythms, alpha and beta rhythm, appeared in humans. After having registered the changes in the electrical wave pattern during sleep and narcosis, and the aberrant activities during epileptic attacks ¹⁷, Berger came to the conclusion that the discovery of the EEG was not only a major breakthrough in neurophysiology, but also that this technology was of outstanding importance for its diagnostic value. Finally, Berger changed the term 'Elektrocerebrogramm' into 'Elektrenkephalogramm', which for linguistic reasons in English became 'electroencephalogram', abbreviated to EEG.

Born in 1873 in the town of Neuses, near Coburg, in the south of Germany, Berger earned a doctorate of medicine at the University of Jena in 1897. In 1901 he became lecturer and staff member in psychiatry and neurology at the Psychiatric Clinic of this university. Berger was interested in the relation of brain activity and 'psychic' activity. His original view was that he could measure this psychic activity with the electroencephalogram, but early on, around 1910, gave up this idea and pursued another line of inquiry, taking a more pragmatic approach. Berger became interested in clinical applications of electrical brain activity, and he reactivated his brain studies by making recordings of humans. In 1919 Berger succeeded Otto Ludwig Binswanger as Chairman of the Psychiatric Clinic of the University. With his strict nature, however, every day he found time from 5 to 8 pm to continue his experiments, even in the academic year 1927-1928 when he served his university as Rector. Berger had a more sensitive double-coil galvanometer than his predecessors, while more powerful amplifiers came on the scene. In 1924 he was already able to record the electrical brain activity in patients with skull defects in an intracerebral way, but it wasn't until 1927 that he could

make recordings directly from the intact skull. Berger faced an analogous problem as Beck: the ‘waves of the first order’ (the A or alpha waves) appearing under low active brain states, were larger than the ‘waves of the second order’, (the B or beta waves), arising under higher mental activities (Figure 5). Since high frequencies waves were masked due to the galvanometer slowness, this counterintuitive finding was not understood for a long time.

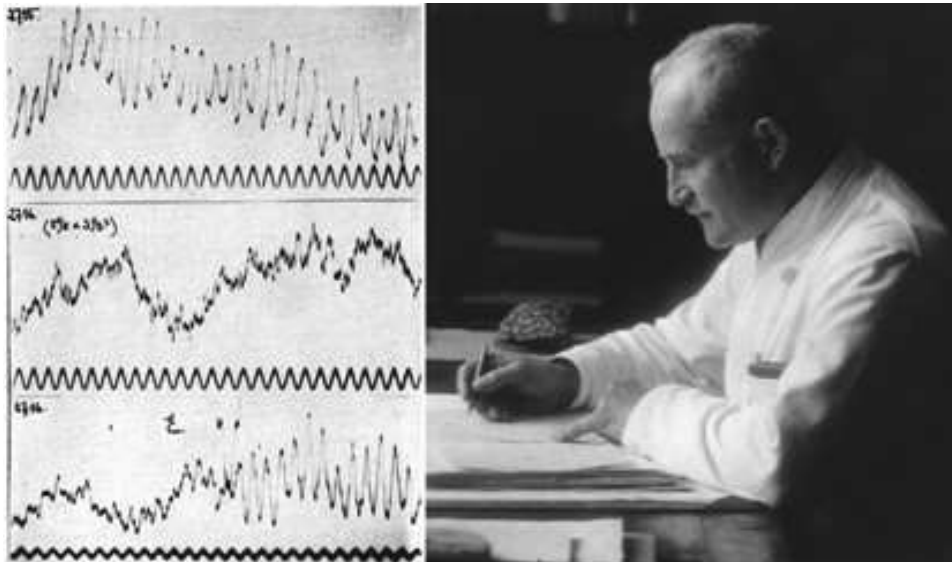


Figure 4. Hans Berger in 1927, with the ‘Elektrenkephalogramm’ of his daughter Ilse. Upper trace: Ilse in rest (alpha waves), middle trace: in calculating a sum (beta waves), and lower trace: in giving the outcome of the sum (mixed waves).

The important findings of Berger were largely ignored and neglected by the scientific community, but his international reputation was slowly growing. This brought the modest Berger to the International Congress in Psychology in Paris in 1938, where he was almost recognized as a celebrity. Back in Germany he found, however, only humiliation especially by the Nazi regime, who distrusted his work. The Nazis also forced him to give up his Chair at the Psychiatry Clinic in 1938 and closed down his laboratory. They even did not allow him to receive the Nobel Prize in Stockholm for which he was nominated. Berger fell into a severe and long depression and on 1st of June 1941 he took his life by hanging. Berger’s wife, Freiin Ursula von Bülow, had a hard time, also since son Klaus fell on the battlefield in Russia half a year later. Many similarities can be seen in life and work of the two great pioneers of electroencephalography: Adolf Beck and Hans Berger.

ACCEPTANCE OF THE ELECTROENCEPHALOGRAM

The intensive disputes in the Centralblatt starting in 1890 made clear that the question of electrical brain activity was a lively issue of various researchers in Western, Central and Eastern Europe, including Russia and the Ukraine. It also accentuated the main role which researchers from Eastern Europe played at that time in this scientific field. The leading role for these scientists in neurophysiology was, however, abruptly ended by the Soviet regime, that made a choice for the Pavlovian concept, better fitting into the ideas of this dogmatic regime. This overshadowed all neurophysiology, which as a field of research, quickly disappeared.

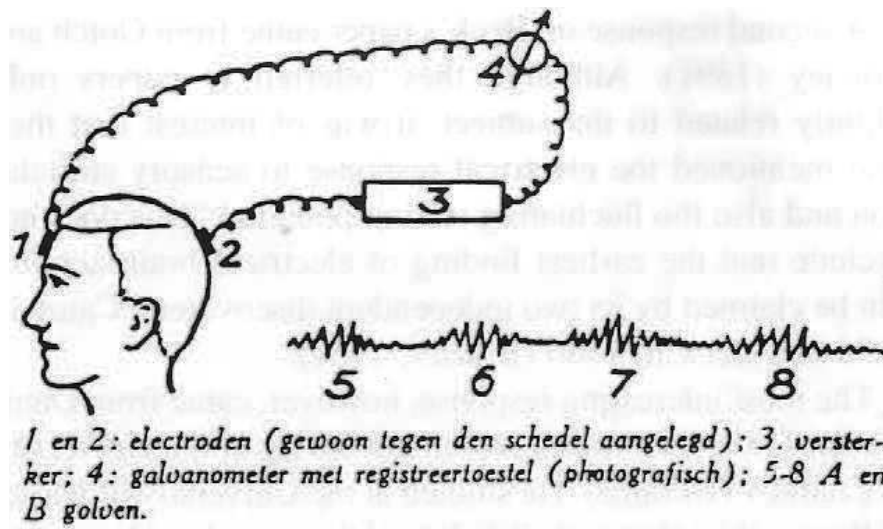


Figure 5. The first drawing in a Dutch newspaper (*‘De Haarlemsche Courant’*, from 1930) referring to the measurement of electrical brain activity. A and B waves are visible and desynchronizations are shown. The recording is from Berger’s son Klaus ¹⁶.

Until the Thirties, the value of electrical brain waves was not appreciated and this explains why many researchers ignored publications appearing before and during Berger's work. This kind of research came too early; the time was not yet ripe to see the importance of the curious electrical activity directly measured from the skull, very different from the well-known action potentials from nerve cells and axons. An additional reason might be that the majority of reports were published in languages not well accessible to a large scientific audience. Nevertheless, an assistant of the American neurophysiologist Hallowell Davis noticed Berger’s 1929 paper in 1933 by accident. Davis could not believe that the rhythmical alpha waves originated from the brain and considered this strange and global electrical activity as an artefact. His colleagues Edgar Douglas Adrian and Bryan Matthews of the University of Cambridge (UK) were also sceptical, but these recognized neurophysiologists working on action potentials from large nerves, started in 1934 to replicate the recordings of the obscure German psychiatrist ¹⁸. Lord Adrian appeared to have a beautiful alpha rhythm and was so fair to propose this rhythm being named as the ‘Berger rhythm’, but this honour was too much for the reserved Berger.

EPILOGUE

After repeated replications, Berger’s results were taken seriously, and he was able to convince people of the value of the new method. Many scientists, such as the Gibbs couple, Wilder Penfield, Herbert Jasper, Frédéric Bremer and Nathaniel Kleitman, began to use the electroencephalographic technique, mainly in epilepsy and sleep-wake research ¹⁹. Since that time this oldest brain imaging method became a valued tool in fundamental and clinical neurophysiology, and was even later introduced in cognitive research. The first international conference on electroencephalography was held in London in 1947, while the journal ‘Electroencephalography and clinical Neurophysiology’ (‘the EEG journal’) was founded in 1949. For the reason that Hans Berger published the first human recordings of the electroencephalogram and made this tool indispensable in neurophysiological and psychophysiological research, he is now considered as the father of electroencephalography.

REFERENCES

- ¹ Beck, A. Die Bestimmung der Localisation der Gehirn- und Rückenmarksfunctionen vermittelt der elektrischen Erscheinungen. *Centralbl. Physiol.* 4: 473–476, 1890
- ² Caton, R.: Die Ströme des Centralnervensystems. *Centralbl. Physiol.* 4: 785–786, 1891
- ³ Caton, R.: The electric currents of the brain. *Br. Med. J.* 2: 278, 1875
- ⁴ Caton, R.: Interim report on investigations of the electric currents of the brain. *Br. Med. J. Suppl. L:* 62–65, 1877
- ⁵ Fleischl von Marxow, E.: Mittheilung, betreffend die Physiologie der Hirnrinde. *Centralbl. Physiol.* 4: 537–540, 1890
- ⁶ Beck, A. Die Ströme der Nervencentren. *Centralbl. Physiol.* 4: 572–573, 1890
- ⁷ Gotch, F., Horsley, V.: Über den Gebrauch der Elektrizität für die Lokalisierung der Erregungserscheinungen im Centralnervensystem. *Centralbl. Physiol.* 4: 649–651, 1891
- ⁸ Danilewsky, W.Y.: Zür Frage über die elektromotorischen Vorgänge im Gehirn als Ausdruck seines Thätigkeitszustandes. *Centralbl. Physiol.* 4: 473–476, 1891
- ⁹ Beck, A.: Oznaczenie lokalizacyi w mózgu i rdzeniu za pomoczjawisk elektrycznych. *Rozpr. Akad. Um. Wyzd. Mat.-Przyr., Ser. II,* 1:187-232, 1891
- ¹⁰ Beck, A.: The determination of localizations in the brain and spinal cord with the aid of electrical phenomena. In: Brazier, M.A.B. (ed.) *Acta Neurobiol. Exp. Suppl.* 3: 1–55, 1973
- ¹¹ Beck, A., Cybulski, N.: Weitere Untersuchungen über die elektrischen Erscheinungen in der Hirnrinde der Affen und Hunde. *Centralbl. Physiol.* 6: 1-6, 1892
- ¹² Coenen, A., Zayachkivsky, O., Bilski, R.: In the footsteps of Beck: the desynchronization of the electroencephalogram. *Electroenceph. clin. Neurophysiol.* 106: 330–335, 1998
- ¹³ Beck Zakrzewska, J.: A daughter's memories of Adolf Beck. In: Brazier, M.A.B. (ed.) *Acta Neurobiol. Exp. Suppl.* 3: 57–59, 1973
- ¹⁴ Nemminski, W.W.: Ein Versuch der Registrierung der elektrischen Gehirnerscheinungen. *Zentralbl. Physiol.* 27: 951–960, 1913
- ¹⁵ Práwdicz-Neminski, W.W.: Zur Kenntnis der elektrischen und der Innervationsvorgänge in den funktionellen Elementen und Geweben des tierischen Organismus. *Elektrocerebrogramm der Säugetiere. Pflügers Arch. ges. Physiol.* 209: 362–382, 1925
- ¹⁶ Berger, H.: Über das Elektrenkephalogramm des Menschen. *Arch. Psychiatr. Nervenkr.* 87: 527-570, 1929
- ¹⁷ Berger, H.: On the electroencephalogram of man: the fourteen original reports on the human electroencephalogram. *Electroenceph. clin. Neurophysiol. Suppl.* 28: 1–350, 1969
- ¹⁸ Adrian, E.D., Matthews, B.H.C.: Berger rhythm: potential changes from the occipital lobes in man. *Brain* 57: 355–385, 1934
- ¹⁹ Finger, S.: *Origins of neuroscience.* Oxford University Press, 1994

**SLEEP-WAKE
Research in The Netherlands**

**Annual Proceedings of the NSWO
Volume 21, 2010**

Research papers

R278995/CRA0450, A NOVEL SELECTIVE CRF1 RECEPTOR ANTAGONIST MODULATES REM SLEEP IN RATS: RELEVANCE FOR DEPRESSION

A. Ahnaou¹, T. Steckler¹, A.M.L. Heylen¹, L. Kennis¹, A. Nakazato²,
S. Chaki² and W.H.I.M. Drinkenburg¹

1-Johnson & Johnson Pharmaceutical Research and Development, A Division of Janssen Pharmaceutica N.V., Turnhoutseweg 30, B-2340 Beerse, Belgium

2-Medical Research Laboratories, Taisho Pharmaceutical Co., Saitama, Japan.

INTRODUCTION

A marked deregulation of the hypothalamic-pituitary-adrenal (HPA) axis activity is found in depressed patients and other psychiatric diseases such as acute and chronic stress. Direct evidences relate abnormalities of the HPA axis functions with polysomnographic alterations in patients with depressive illness, in which sleep disturbances were reversed after corticosteroid replacement therapy. A decreased responsiveness to dexamethasone has been repeatedly found in depressed patients, it is considered as evidence for an increased activation in the pituitary on ACTH and cortisol secretion. The increased cortisol secretion was correlated to shortening of rapid eye movement (REM) sleep latency in patients¹⁻². Evidence from animal studies showed a reduction in waking and an increase of sleep time in a rat strain that synthesizes low levels of the corticotropin-releasing factor (CRF), or following administration of the CRF antagonists (α -hCRF) and astressin, or antisense of the CRF mRNA³. The CRF, a 41-amino acid peptide is one of the hypothalamic mediators, which can play a critical role in the proper functioning of the stress response by acting through two related CRF receptor subtypes CRFr1, CRFr2. The CRFr1 is widespread in the brain, whereas the expression of CRFr2 variants is limited to subcortical structures. The implication of the CRFr1 in the pathophysiology of depression and/or anxiety has been postulated. Additionally, the selective CRFr1 antagonist R121919 has been shown to effectively attenuate stress and normalize the sleep pattern in a rat line selectively bred for high innate anxiety⁴.

In the present study, we sought to further characterise in rats the profile of the selective CRFr1 antagonist R278995/CRA0450 on sleep-wake EEG behaviour. This compound displays also high affinity for the σ 1 receptor, and shows anxiolytic and antidepressant-like activities in rodents⁵.

METHODS

Animals and Surgery

Male adult Wistar rats (n=16), weighing 240-260g at the time of surgery and during recovery were individually housed in full-view Plexiglas cages (30 cm diameter, 40 cm high) and maintained in sound-attenuated room and controlled environmental conditions throughout the study: 22 \pm 2°C ambient temperature, the relative humidity at 60%, light-dark cycle (lights on from 6:30 to 18:30; light intensity ~100 lux). The animals have free access to standard laboratory food chow and tap water.

Surgery was carried out under deep anaesthesia (Pentobarbital 10 mg/kg i.p. and Thalamonal, 1ml s.c.). Two electrodes were placed stereotactically on each side of the sagittal suture for the recording of the frontal and parietal cortical electroencephalogram (EEG) (AP + 2 mm, L 2 mm, and AP - 6 mm, L 3 mm from Bregma, respectively). Stainless

steel wire electrodes were subcutaneously implanted on each side of the orbit and in the dorsal neck muscle recording of for monitoring the electro-oculogram (EOG) and the electro-myogram (EMG), respectively.

EEG recording, Pharmacological treatment and Sleep-wake organisation analysis

The animals were placed into the registration box and the acquisition of EEG, EOG and EMG signals was performed on-line through a bipolar recorder amplifier (Embla-Somnologica, Flaga Medical Devices, Iceland), using a sampling rate of 100 Hz. Two EEG recordings of 8 hours were performed in 16 animals following the first oral administration of vehicle (0.3 % Tween 80/saline solution), and the second was performed for the same duration following 3 and 10 mg/kg (n=8 each group).

Post-acquisition analysis of sleep-wake parameters were done per 2h periods and were compared to control values. The EEG signals were scored in 15 seconds epoch and classified as being either wake (W), light slow wave sleep (SWS1), deep slow wave sleep (SWS2), rapid eye movement sleep (REMS). Duration of vigilance states, latencies and other sleep-wake parameters were calculated.

EEG power spectral analysis

Spectral analysis of the EEG was performed separately during W and SWS2 in each period of 2-hours of the recording session. The EEG signal digitised at a sampling rate of 100 Hz with 16-bit resolution and artefact-free 15 sec epochs were analysed off-line by Fast Fourier Transformation and power spectra was in five different frequency bands: 0.4 to 4 Hz (δ), 4.2 to 8 Hz (θ), 8.2 to 12 Hz (α), 12.2 to 14 (σ) and 14.2 to 30 Hz (β).

Statistical analysis

Sleep-wake parameters were expressed in minutes (mean \pm S.E.M.). Power spectra were expressed as a percentage of control values in each frequency band separately during waking and deep sleep. A Wilcoxon sign-rank test was applied to assess significant differences values between drug and vehicle treatment.

RESULTS

The major changes in sleep behaviour were found during the first 2 hours following the oral administration of R278995/CRA0450 at 3 mg/kg i.e. a decrease of the amount of time spent in waking (-24%, $p < 0.01$), an increase in time spent in deep sleep (+30%) and REM sleep (+118%, $p < 0.05$). The time spent in light sleep was not

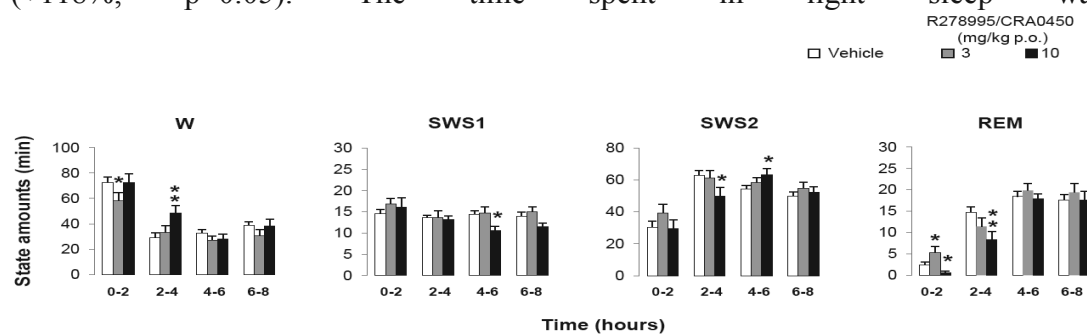


Figure 1: Effects of oral administration of R278995/CRA0450 (3 and 10 mg/kg) and vehicle on sleep-wake states during the four consecutive 2-h periods in rats. Data are mean values \pm S.E.M. expressed in minutes for the states of wake (W), light slow wave sleep (SWS1), deep slow wave sleep (SWS2), and rapid eye movement sleep (REMS). * $p < 0.05$; ** $p < 0.01$: Wilcoxon signed rank-test versus baseline values.

modified. R278995/CRA0450 at the dose of 10 mg/kg increased the amount of time spent in wakefulness was during the subsequent 4 first hours of the recording session (+32%, $p < 0.01$) relative to vehicle group. This increase was mirrored by a reduction of time spent in both deep sleep and REM sleep (-21%, -40%, $p < 0.01$). A decrease in time spent in light sleep was observed during the last 4 hours of the recording session (-25%, $p < 0.05$) (Figure 1). Examination of sleep latencies indicated that the R278995/CRA0450 lengthened specifically the latency of REM sleep onset (+64%, $p < 0.01$).

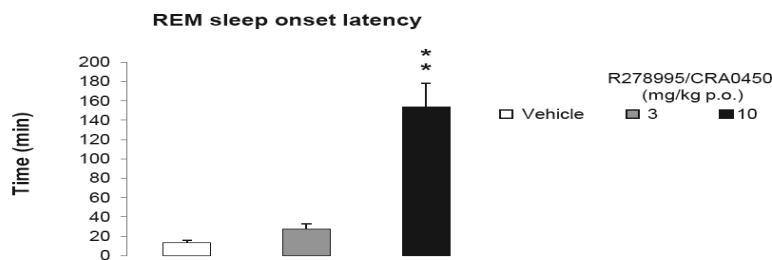


Figure 2: Effects of oral administration of R278995/CRA0450 (3 and 10 mg/kg) and vehicle on REM sleep onset latency. Data are mean values \pm S.E.M. expressed in minutes. ** $p < 0.01$ Wilcoxon signed rank-test versus baseline values.

R278995/CRA0450 at 3 mg/kg had no major effects on the sleep-EEG spectra during wakefulness; however a significant reduction in the power over all frequency bands was observed during deep sleep (Figure 3). Separate analysis demonstrated no consistent effect of R278995/CRA0450 at 10 mg/kg on power spectra in both vigilance states.

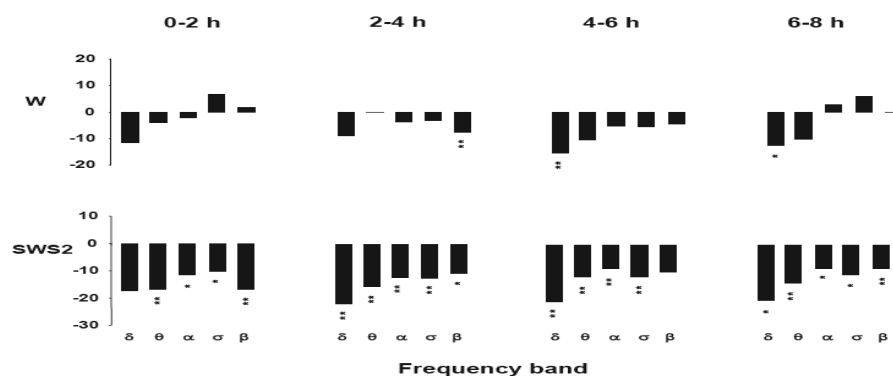


Figure 3: Changes in the EEG power spectral activity expressed in percent of baseline during wake (W) and deep slow sleep (SWS2) during four consecutive 2-h periods after oral administration of R278995 (3 mg/kg) in rats. * $p < 0.05$, ** $p < 0.01$, Wilcoxon signed rank-test versus baseline values.

DISCUSSION

In the present study, R278995/CRA0450 at lower dose reduced time of wakefulness and enhances deep slow wave sleep while decreased EEG power spectra in different frequency bands. At the higher dose, the compound produced a clear reduction in time spent in REM sleep and lengthening of REM sleep onset latency without any consistent effect on power spectra in both vigilance states. The current data, in particular at the lowest dose of CRF1r antagonist, are in agreement with earlier reports showing that either central or peripheral administration of specific CRF antagonists and CRF antisense DNA oligodeoxynucleotides in non-stressed rats reduced spontaneous wakefulness, however no conclusions were drawn in these studies regarding the effects on REM sleep stage³⁻⁶. The current findings in rat

demonstrate an important step in differentiating the contribution of CRFr1 in the modulation of REM sleep. Interestingly, these data are in line with antidepressant-like activity of R278995/CRA0450 at higher dose observed in animal models of depression such as learned helplessness and the olfactory bulbectomy models in the rat⁵.

A possible action of orally application of R278995/CRA0450 includes the locus coeruleus nucleus, the dorsal raphe (DR) and the laterodorsal or pedunculopontine tegmentum neurons located in the mesopontine area of the brainstem. Immunohistochemical and CRFr1 binding studies demonstrated the presence of CRF positive neurons in these brain nuclei involved in the control of sleep-waking cycle, emotion and cognition⁷. In addition, it has been shown that accumulation of extracellular 5-HT in the DR and forebrain area was imputable to CRF-induced increase in the firing activity of 5-HT neurons in the DR and mesolimbocortical serotonergic circuits⁸. This prolonged 5-HT release and neurons excitability may potentiate GABAergic inhibition by 5-HT, and contribute to disturbance in the cellular mechanism involved in the regulation of sleep as short latency to REM sleep, high density of REM as well as frequent awakening observed in sleep pattern of depressed patient. This hypothesis is further supported by the fact that agents that facilitate GABAergic neurotransmission are typically anxiolytic, while agents that inhibit GABAergic neurotransmission are anxiogenic. Therefore, our findings may suggest that the antidepressant like profile of R278995/CRA0450 observed in sleep architecture in rats and in behavioural studies⁵ could account in part for its effects in reducing the serotonin effects on GABAergic neurons transmission that are mainly affected following elevated CRF level to stress response.

R278995/CRA0450 also displayed potent antagonistic properties at σ 1 receptor⁵. Recent evidence indicated an implication of σ 1 receptor in neuropsychiatry disorders including anxiety and depression⁹. On one hand several clinically used antidepressants such as specific serotonin reuptake inhibitors fluoxetine and fluvoxamine as well as the monoamine reuptake inhibitor imipramine have been shown to bind this receptor with high affinity¹⁰. On the other hand, σ 1 ligands enhance the firing of serotonergic neurons in the DR¹¹, increase dopamine neuronal activity¹², enhance NMDA-induced noradrenaline release from the rat hippocampus slices¹³ and acetylcholine from rat frontal cortex¹⁴. Therefore, we suggest that the antidepressant and anxiolytic-like activities observed in earlier behavioural studies are likely associated with the potency of the compound to block the CRFr1 and in part to its σ 1 receptor component.

From a translational perspective it should be noted that sleep disturbances are commonly found in depressed patients i.e. disruption of sleep continuity resulting in reduced of total sleep time, lengthening of sleep onset, deficit of deep sleep, a great density of REM sleep with an earlier onset of this sleep stage and shift of REM sleep occurrence towards the beginning of the night. Interestingly, an association between CRF1 receptor and sleep disturbances has been reported in depressed patients¹⁵. In this later study, the administration of CRFr1 antagonist R121919 improved sleep, increased the amount of SWS and decreased REM sleep density in patients with major depression. Moreover, in preclinical studies R121919 showed high potency to attenuate stress-induced sleep alterations in rats with high innate anxiety behaviour⁴. Our present findings in this sleep rodent model showed a robust effect of R278995/CRA0450 on REM sleep variables i.e. significant reduction in REM sleep, lengthening of REM sleep latency, which fits the hypothetical role of CRFr1 antagonism antidepressant activity.

REFERENCES

- 1 Mendlewicz J, Kerkhofs M, Hoffmann G, Linkowski P. Dexamethasone suppression test and REM sleep in patients with major depressive disorder. *Br J Psychiatry* 1984; 145: 383-8.

- 2 Staner L, Duval F, Haba J, Mokrani MC, Macher JP. Disturbances in hypothalamo pituitary adrenal and thyroid axis identify different sleep EEG patterns in major depressed patients. *Journal of Psychiatric Research* 2003; 37: 1-8.
- 3 Chang FC, Opp MR. Corticotropin-releasing hormone (CRH) as a regulator of waking. *Neuroscience and Behavioral Reviews* 2001; 25: 445-56.
- 4 Lancel M, Muller-Preuss P, Wigger A, Landgraf R, Holsboer F. The CRH1 receptor antagonist R121919 attenuates stress-elicited sleep disturbances in rats, particularly those with high innate anxiety. *Journal of Psychiatry Research* 2002; 36: 197-208.
- 5 Chaki S, Nakazato A, Kennis L, Nakamura M, Mackie C, Sugiura M, Vinken P, Ashton D, Langlois X, Steckler T () Anxiolytic-and antidepressant-like profile of a new CRF1 receptor antagonist, R278995/CRA0450. *Eur J Pharmacol* 2004; 485: 145-58.
- 6 Chang FC, Opp MR. A corticotropin-releasing hormone antisense oligodeoxynucleotide reduces spontaneous waking in the rat. *Regulatory Peptides* 2004; 117: 43-52.
- 7 Valentino RJ, Page M, Van Bockstaele E, and Aston-Jones G. Corticotropin-releasing factor innervation of the locus coeruleus region: distribution of fibers and sources of input. *Neuroscience* 1992; 48: 689-705.
- 8 Lowry CA, CA, Rodda JE, Lightman SL, and Ingram CD. Corticotropin-releasing factor increases in vitro firing rates of serotonergic neurons in the rat dorsal raphe nucleus: evidence for activation of a topographically organized mesolimbocortical serotonergic system. *J Neurosci* 2000; 20: 7728-36.
- 9 Hayashi T and Su TP. σ 1 receptor ligands, potential in the treatment of neuropsychiatric disorders. *CNS Drugs* 2004; 18: 269-84.
- 10 Narita N, Hashimoto K, Tomitaka S, Minabe Y. Interactions of selective serotonin reuptake inhibitors with subtypes of sigma receptors in rat brain. *Eur J Pharmacol* 1996; 307:117-19.
- 11 Bermack JE, Debonnel G. Modulation of serotonergic neurotransmission by short- and long-term treatments with sigma ligands. *Br J Pharmacol* 2001; 134: 691-9.
- 12 Minabe Y, Matsuno K, Ashby Jr CR. Acute and chronic administration of the selective sigma1 receptor agonist SA4503 significantly alters the activity of midbrain dopamine neurons in rats: an in vivo electrophysiological study. *Synapse* 1999; 33: 129-40.
- 13 Maurice T, Lockhart BP. Neuroprotective and anti-amnesic potentials of sigma (sigma) receptor ligands *Prog Neuropsychopharmacol Biol Psychiatry* 1997; 21:69-102.
- 14 Kobayashi T, Matsuno K, Mita S. Regional differences of the effect of sigma receptor ligands on the acetylcholine release in the rat brain. *J Neural Transm* 1996; 103: 661-9.
- 15 Held K, Kunzel H, Ising M, Schmid DA, Zobel A, Murck H, Holsboer F and Steiger A. Treatment with the CRH1-receptor-antagonist R121919 improves sleep-EEG in patients with depression. *Journal of Psychiatry Research* 2004; 38: 129-36.

SLEEP DEPRIVATION BY GENTLE HANDLING IN RATS DOES NOT AFFECT THE PHYSIOLOGICAL, BEHAVIORAL AND HORMONAL RESPONSES TO NOVELTY EXPOSURE

W. Beerling^{a,b}, J.M. Koolhaas^b, P. Meerlo^b, L.J. Raeymaekers^a, A.M.L. Heylen^a,
A. Ahnaou^a and W.H.I.M. Drinkenburg^a

^a Neuroscience Systems Biology, Johnson & Johnson PRD, Janssen Pharmaceutica, Beerse, Belgium;

^b Department of Behavioral Physiology, University of Groningen, Haren, The Netherlands

INTRODUCTION

Even though the exact function of sleep is still unknown, it is common knowledge that sleep loss is detrimental to good health. The underlying mechanisms are however still poorly understood, but several hypotheses have been formulated. One of the hypotheses is that some of the proposed detrimental health consequences of sleep loss are mediated by changes in the activity of the two major stress systems; the hypothalamic-pituitary-adrenal (HPA) axis and the sympathico-adrenomedullary (SAM) system. Activation of these systems results in the release of the glucocorticoid corticosterone and the catecholamines adrenalin and noradrenalin, which are known to have many different effects. Existing evidence supports the hypothesis that sleep loss activates these systems and that the released stress hormones are responsible for some of the detrimental health consequences (for review see¹). Moreover, sleep deprivation (SD) may not only affect the basal activity of the stress systems, but can also affect the reactivity of these systems to other stressors and/or challenges¹⁻⁴. Yet, few studies examined how sleep loss affects the activated stress response. This study aimed to assess how short-term, 4-h gentle handling sleep deprivation (SD), affects the physiological (heart rate and body temperature), behavioral (locomotor activity) and hormonal (corticosterone) responses to novelty exposure in rats. Novelty exposure has a long history in rodent stress research and is generally considered to be a minor stressor. It is however unknown whether minor stress responses are affected by sleep deprivation. This study also aimed to take measurements in a way that would minimize possible confounding stress effects of the sampling and of the sleep deprivation procedure.

METHODS

Animals and surgery

Male Sprague-Dawley rats (Harlan, The Netherlands), weighing 310-330 g at the time of surgery were used as subjects. The animals were individually housed under 12 : 12 h light/dark regime with a 30 min dim/rise period. Food and water were available *ad libitum*. Rats were implanted with a biopotential transmitter (DataSciences International) to record heart rate, body temperature and locomotor activity. In addition, a dual tubing jugular vein catheter was implanted. During the two weeks of recovery, the animals were daily handled and habituated to the sampling procedures. During the subsequent experimental period, potentially disturbing stimuli were carefully avoided.

Set-up and measurements

Recovery and adaptation started immediately following surgery when animals were placed in the experimental set-up. This set-up allowed assessment of different parameters simultaneously from the same freely moving rat under strictly controlled, undisturbed, home-cage conditions with minimized confounding stress factors of the sampling procedures. It consisted of a telemetry set-up (DataSciences Int.) for continuous and automatic measurement of physiological and behavioral parameters using the implanted transmitter. Concomitantly, blood was sampled automatically and repeatedly through the jugular vein catheter using an automated blood sampling system (AccuSampler® Micro; DiLab®). Blood samples were stored at -80°C until assayed for corticosterone by radioimmunoassay (125I RIA Kit, MP Biomedicals, LLC).

Sleep deprivation and novelty exposure

A total of 8 animals were subjected to either SD or control conditions, and either with or without exposure to novelty, using a cross-over Williams design. This resulted in the following conditions: control + no novelty ($n=8$); SD + no novelty ($n=6$); control + novelty ($n=6$); SD + novelty ($n=8$). SD was induced during the first 4h of the light phase (resting phase) by using gentle handling⁵. Whenever the animal showed behavioral signs of sleep, it was stroked on its back or moved and it was occasionally handled briefly. This method has shown to effectively induce wakefulness for at least 80% of the SD period⁵. After 1.5 h recovery, the animals were exposed to novelty for 15-min according to the protocol described by de Boer and co-workers⁶. The experimenter entered the room and gently picked the rat up from its home cage and placed it individually in a new, clean, empty cage. After 15 min, the rats were returned to their home cages and a 2-h recovery period followed. Under control conditions, animals were left undisturbed in their home cages in the same experimental room.

Statistical analyses

Statistical significance was evaluated using the repeated measures ANOVA with treatment and time as factor variables, and the Greenhouse-Geisser correction for homogeneity in variance. *Post-hoc t*-tests were performed to determine the time points at which the groups differed. The statistics are expressed together for the same treatments (either SD or control) during the SD and 1.5 recovery period, and also together for the same treatments (exposure to novelty or not) during the 15-min novelty exposure and 2-h recovery period, since no significant differences between these conditions were found. All tests were performed at a significance level of 0.05.

RESULTS

Under control conditions, heart rate and body temperature gradually declined at the start of the light period, whereas locomotor activity declined rapidly. Plasma corticosterone was not significantly affected by the lights-on stimulus. As compared to the control conditions, SD by gentle handling was associated with a significant increase in heart rate and body temperature during the last 1.5 h of the SD period. Locomotor activity levels were significantly increased throughout the SD period. Plasma corticosterone was only significantly increased during the first 5 min of the SD. In comparison to the last 30 min of the dark phase immediately preceding SD, the levels of heart rate, body temperature and locomotor activity were not increased. This suggests that the levels were maintained at the levels seen during the active phase. At termination of SD, levels of all variables immediately returned to undisturbed control values. During the SD and the 1.5 h period thereafter, there was no significant difference between the two SD and also not between the two control conditions. Exposing the control and sleep-deprived animals to novelty induced a fast increase in heart rate, a tendency for a delayed increase in body temperature, a fast increase in locomotor activity, and a delayed increase in plasma corticosterone as compared to animals not exposed to

novelty. After termination of novelty, the time courses of the responses varied but all reached control levels within 30-min. During the 15-min novelty exposure and the 2 h period thereafter, there were no significant differences between the two groups exposed to novelty and also not between the two groups not exposed to novelty. A small tendency for a faster recovery to novelty seems however visible for the sleep-deprived animals.

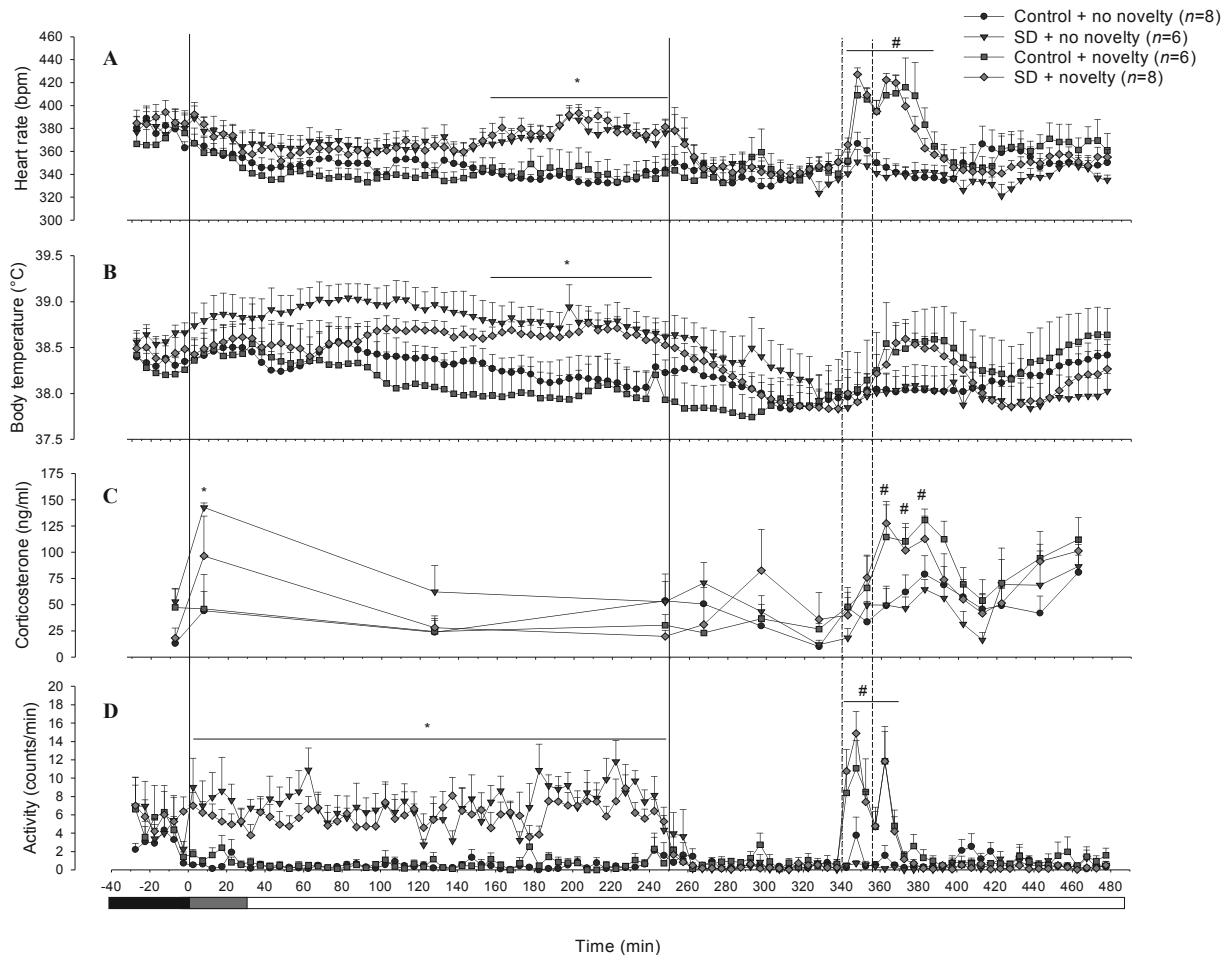


Figure 1. Time course of the changes in heart rate (A), body temperature (B), plasma corticosterone (C), and locomotor activity (D) for the animals exposed to 4-h SD and the control animals with or without exposure to novelty. Data are expressed as 5-min averages + SEM for the physiological measurements and for every 10-60 min + SEM for the corticosterone measurements. The responses are shown for the last 10 - 30 min of the dark period, the 4 h (+ 5 min) SD period (indicated by area between the solid lines) starting at lights-on followed by the 1.5 h post-SD period and the 15-min exposure to novelty (indicated by the area between the dashed lines), and the 2-h post-novelty period. The bars underneath the graph indicate the dark (black), the 30-min rise (gray) and light (white) period. *Type effect: SD versus control during SD and 1.5-h recovery period, $p < 0.05$. #Type effect: novelty exposure versus no novelty exposure during 15-min novelty exposure and 2-h recovery period. All parameters showed significant time treatment interaction.

DISCUSSION

Exposing animals to 4-h SD by gentle handling at the beginning of the light phase caused levels locomotor activity, heart rate and body temperature to stay above those of the undisturbed predominantly sleeping controls, but below levels as reached during the end of the dark period. Plasma corticosterone was only increased during the first 5-min of the SD and reached levels above those at the end of the dark period, but the levels were below those seen during mild stress^{4,7}. Furthermore, stable control values were reached immediately upon termination of the SD. This shows that the HPA axis and SAM system were activated in response to 4-h gentle handling SD, but only mildly. The 15-min novelty exposure also induced activation of the stress systems as was shown by the increases in heart rate, body temperature, locomotor activity and plasma corticosterone. There were no significant differences in the responses to novelty between the control animals and the sleep-deprived animals. Thus, the reactivity of the stress systems to novelty was not affected. This is in agreement with other studies showing that changes in physiological stress reactivity may occur with chronic sleep restriction but not with acute, short-lasting SD (for review see¹). The activation of the stress systems by SD and by novelty exposure was not affected by confounding stress factors of the applied sampling procedures. This is confirmed by the normal and stable measured physiological values together with the low plasma corticosterone levels under control conditions. The used SD method also minimized possible confounding stress effects, as is shown by the mild activation of the stress systems in response to the SD, which is mostly activity-related. Thus, stress does not appear to be a confounding factor in our method of gentle handling SD and in the used sampling methods. In conclusion, based on our measured parameters, 4-h SD by gentle handling does not affect the reactivity of the stress systems to novelty exposure. This indicates that rats exposed to acute SD can still deal adequately with a minor stressor.

REFERENCES

- ¹ Meerlo P, Sgoifo A, Suchecki D. Restricted and disrupted sleep: effects on autonomic function, neuroendocrine stress systems and stress reactivity. *Sleep Med Rev* 2008; 12:197-210.
- ² Meerlo P, Koehl M, Van der BK, Turek FW. Sleep restriction alters the hypothalamic-pituitary-adrenal response to stress. *J Neuroendocrinol* 2002; 14:397-402.
- ³ Sgoifo A, Buwalda B, Roos M, Costoli T, Merati G, Meerlo P. Effects of sleep deprivation on cardiac autonomic and pituitary-adrenocortical stress reactivity in rats. *Psychoneuroendocrinology* 2006; 31:197-208.
- ⁴ Suchecki D, Tiba PA, Tufik S. Paradoxical sleep deprivation facilitates subsequent corticosterone response to a mild stressor in rats. *Neurosci Lett* 2002; 320:45-8.
- ⁵ Grassi-Zucconi G, Menegazzi M, De Prati AC, et al. c-fos mRNA is spontaneously induced in the rat brain during the activity period of the circadian cycle. *Eur J Neurosci* 1993; 5:1071-8.
- ⁶ De Boer SF, Koopmans SJ, Slangen JL, van der GJ. Plasma catecholamine, corticosterone and glucose responses to repeated stress in rats: effect of interstressor interval length. *Physiol Behav* 1990; 47:1117-24.
- ⁷ Buwalda B, Nyakas C, Koolhaas JM, Bohus B. Neuroendocrine and behavioral effects of vasopressin in resting and mild stress conditions. *Physiol Behav* 1993; 54:947-53.

SLEEP FEATURES AND NIGHTLY BODY MOTILITY IN ELDERLY PEOPLE

Anton Coenen and Margreet Kolff

Biological Psychology, Donders Centre for Cognition, Radboud University Nijmegen

INTRODUCTION

Sleep architecture changes with age. Elderly sleep is characterized by an increased sleep latency, by a decreased total sleep time, caused by a reduction in slow wave sleep as well as in REM sleep, by more light sleep, and, in particular, by nighttime awakenings¹. All these changes seem to indicate a weaker circadian regulation of the sleep-wake cycle in aged people, also when they are completely healthy. Nocturnal awakenings leading to sleep fragmentation, commonly not occurring in young people, are a typical characteristic of elderly sleep.

A question addressed in the present study is whether the fragmented sleep of elderly people is associated with a change in nightly motility. Gori and colleagues found in a polygraphic sleep study in elderly subjects a decrease of gross body movements, suggesting dissociation between sleep fragmentation and body motility². A decrease in sleep position shifts in older people was also described by De Koninck and coworkers³. On the other hand, an increase in nocturnal activity, measured by actigraphy, was established in the heavily fragmented sleep of Parkinson patients⁴. Thus, the question remains what kinds of changes occur in sleep motility with ageing and how they are related to sleep fragmentation. To approach these issues in more detail, the motility structure of nocturnal sleep was investigated in elderly subjects. This was performed by measuring changes in body position in sleep by a body position meter and by establishing the overall nightly activity by actigraphic measurements.

METHODS

A total of 20 participants (8 men and 12 women) were recruited from two nursery institutions for elderly people in Amsterdam: Beth Shalom (6 subjects) and Amsta (14 subjects). The age range of people was 66 to 92 years, with a mean age of 83 years. Before participation, subjects got an intake in which their physical condition as well their sleep behavior was discussed. Exclusion criteria were sleep medication, misuse of drugs and alcohol, and psychiatric problems. All subjects declared themselves to be healthy. The subjects were informed that their sleep movements, in relation to the quality of sleep, should be monitored. Subjects signed an informed consent, under the condition that they could stop at any time with the experiment. After the complete study all participants received € 100.

Subjects were monitored for two successive nights in their home situation, being a room in the institution. Subjects went to bed and got up just to their own needs and wishes, on their own preferential times. Two couples slept in separate beds in the same room, and all other subjects slept alone. Before the start of the experiment subjects were provided with an actigraph (Actiwatch), worn around the non-dominant wrist, measuring all physical activity of the subjects, expressed in a number of counts. Subjects got also a belt around the chest containing a body position sensor (Pro-Tech Embla). Positions in bed, distinguishing supine, prone, and left and right lateral body positions, were measured continuously. Turnings in bed

could be easily determined in this way. After a shift in body position, thus a complete or half turn, no measurements were performed during the following two minutes.

Just after final awakening in the morning, the Amsterdam Sleep Quality Scale, with some additional questions, was filled in, in order to get a subjective impression of sleep ⁵. Temperature in the sleeping room was measured continuously and was approximately 20 degrees Celsius. The sleep of the second night was analysed in order to avoid eventual first night effects. With a t-test (SPSS version 11.5) putative differences between the data of this experiment with data of a previous analogue experiment with the same design, but with young subjects (mean age 31 years with a range between 23 and 46 years), were established ⁶.

RESULTS AND DISCUSSION

Subjects of the present study with a mean age of 83 years (range between 66 and 92) go, on the average, to bed at 23.06 (range 20.34–0.40) and get up at 7.24 (range 6.36-8.12), thus having a mean total bed time of 8.18 hours. The subjectively estimated mean sleep time is 7.26 hours and the objectively mean sleep time of such a night, estimated by actigraphy, is 7.23 hours. Table 1 shows the data of sleep features of the analyzed night.

Sleep efficiency	73.8 ± 2.5	n = 17
Fragmentation index (%)	37.4 ± 5.2	n = 17
Sleep quality (scale 0-14)	8.6 ± 1.0	n = 20
Body position shifts	14.1 ± 2.0	n = 18
Nightly activity (counts x 1000)	13.5 ± 2.9	n = 17

Table 1. Data (mean and SEM) of the body position sensor (body position shifts) , the Actiwatch (nightly activity, sleep efficiency and fragmentation index) and the sleep quality scale. Due to incidental failures of body position sensor or actigraph not all n's are 20.

Sleep efficiency is approximately 74. In the literature, this is reported to be approximately 80 for a mean age of over 70 ^{1, 7}. This fits well for this age group, with a mean age above 80 years. In a previous study approximately 94 was found for young subjects, which is significantly higher ($p < 0.05$) ⁶. Sleep fragmentation is considerable with aged people. The fragmentation index is approximately 37, while the normative score for middle aged people is about 15 ⁸; a figure which is confirmed by a previous study ⁶. Mean sleep quality is approximately 9, which is lower compared to young people with a score of 12 ($p < 0.05$) ⁶. A score of 9 can be regarded at best as moderate sleep quality. Despite the objectively fairly low quality scores for their nocturnal sleep, subjects did not complain about their sleep.

The number of body shifts, determined by the body position sensor, was established for the whole night of the subjects, consisting of the time running between the subjectively indicated time of falling asleep till the subjectively indicated time of waking up in the next morning. The average for the investigated nights was a mean of approximately 14 body shifts per night. Compared to the number of body shifts per night for younger people ⁶, this points to a reduction in gross body movements in aged people. The conclusion that old people make less gross movements expressed in shifts in body position and turnings during sleep, is also

supported by literature data ^{2, 3}. This might be related to the lowered quality of elderly sleep with increased fragmentation, although the causal connection of movement reduction is still not completely clear.

All kinds of motor activity were determined by the Actiwatch during the entire night. The objectively indicated 'sleep start' and 'sleep stop' by the actigraph is fully comparable to the upper mentioned subjectively obtained start-stop data. Viewed against the perspective that gross movement, such as body position shifts and turnings, tend to decrease with age, it is remarkable to notice a higher degree in actigraphically determined motor activity in elderly people. Although the individual data show a high variance, the difference between old and young subjects is significant ($p < 0.05$); approximately mean 13 500 counts per night for old subjects and approximately mean 4 000 counts per night for young people ⁶. Obviously, elderly people show more small movements than young people. This counterintuitive finding can be explained by the process of a significantly increased fragmentation in aged subjects, with more periods of awakenings and arousals, by less deep sleep and by more light sleep, together with a lowering in sleep efficiency and quality. Movements commonly consist of small shifts of head and extremities with stretching or bending arms or legs, and all kinds of other small muscle movements. All these movements are not resulting in shifts in body position and in body turnings, and are thus not seen by the body position sensor. In Parkinson patients the same phenomena can be seen, even to a greater extend. Parkinson patients have motor disorders which are associated with painful postures and with problems with turnings in bed. In these patients, a decreased mobility is expected, but due to the serious sleep fragmentation together with the typical Parkinson tremors and movements, an increase in nocturnal counts and motility by actigraphic measures has been found ⁴. Thus also in these patients a decrease in body shifts and turns is associated with an increase in small movements, and with a low sleep quality.

Obviously, nocturnal movements in elderly people split up in two categories: movements related to gross body position shifts and turnings, presumably related to physiological needs, and movements related to unrest and fragmentation. Movements of the first category, associated with a high quality sleep, are reduced in aged people, while movements of the second category, associated with a lower quality of sleep, are increased.

CONCLUSIONS

The number of body shifts and turnings in nocturnal sleep tend to decrease in the, more fragmented, sleep of elderly people. On the other hand, small movements are increased, presumably due to the decrease in quality of sleep, expressed in lower sleep efficiency and in an increased sleep fragmentation.

REFERENCES

- ¹ Bliwise, D., L.: Normal aging. In: Kryger, M.H., Roth, T., Dement, W.C.: 'Principles and practice of sleep medicine' (4th Ed.), Elsevier Saunders, Philadelphia, pp. 24-38, 2005
- ² Gori, S., Fica, G., Giganti, F., Di Nasso, I., Murri, L., Salzarulo, P.: Body movements during night sleep in healthy elderly subjects and their relationships with sleep stages. *Brain Research Bulletin* 63: 393-397, 2004
- ³ De Koninck, J., Lorrain, D., Gagnon, P.: Sleep position shifts in five age groups: an ontogenetic picture. *Sleep* 15: 143-149, 1992
- ⁴ Perez-Lloret, S., Rossi, M., Nouzeilles, M., Trenkwalder, C., Cardinali, D., Merello, M.: Parkinson's disease sleep scale, sleep logs, and actigraphy in the evaluation of sleep in parkinsonian patients. *Journal of Neurology* 256: 1480-1484, 2009

- ⁵ Visser, P., Hofman, W., Kumar, A., Cluydts, R., de Diana, I., Bakker, H., van Diest, R., Poelstra, P.: Sleep and mood: measuring of the sleep quality. In R. Priest, A. Pletscher, J. Ward (Eds.): 'Sleep Research', M.T.P. Press, Lancaster, pp. 135-145, 1979
- ⁶ Coenen, A., Kolff, M.: Sleep quality and body motility of healthy subjects sleeping on two types of mattresses. *Sleep-Wake Research in The Netherlands* 20: 57-60, 2009
- ⁷ Kryger, M.H., Roth, T., Dement, W.C.: 'Principles and practice of sleep medicine' (4th Ed.), Elsevier Saunders, Philadelphia, 2005
- ⁸ Rotem, A.Y., Sperber, A.D., Krugliak, P., Freidman, B., Tal, A., Tarasiuk, A.: Polysomnographic and actigraphic evidence of sleep fragmentation of patients with irritable bowel syndrome. *Sleep* 26: 747-752, 2003

THE INFLUENCE OF REAL - WORLD STRESS ON ADOLESCENTS' SLEEP

Julia F. Dewald^a, Anne M. Meijer^a, Frans J. Oort^a, Gerard A. Kerkhof^b, Susan M. Bögels^a

^a Department of Education, Faculty of Social and Behavior Science, Research Institute of Child Development and Education, University of Amsterdam, Nieuwe Prinsengracht 130, 1018 VZ Amsterdam, The Netherlands

^b Department of Psychology, Faculty of Social and Behavioral Science, University of Amsterdam, Roetersstraat 15, 1018 WB Amsterdam, The Netherlands

INTRODUCTION

Insufficient and poor sleep are common problems during adolescence. In the adolescent population the prevalence of sleep quality or insomnia range from 11% to 47%^{1,2}. Concerning sleep duration or Time in Bed empirical evidence demonstrates that approximately 45% of adolescents sleeps less than the required nine hours per night³.

Previous research demonstrates that sleep and the endocrine stress system influence each other. From animal studies comes evidence that reduced sleep over a longer time period (chronic sleep reduction) increases the activity of the major neuroendocrine stress systems, such as the autonomic sympatho-adrenal system and the hypothalamic-pituitary-adrenal (HPA) axis⁴. Chronic sleep reduction not only affects the baseline activity of the stress system, but it also alters its response to a subsequent stressor⁵. Chronic sleep reduction in animals leads to gradual changes in brain systems that are involved in the regulation of stress responses^{4,5}.

Findings from human studies are in line with the above described animal studies as they show that higher cortisol levels, a HPA axis marker, are related to shorter subjective and objective sleep durations and poorer sleep quality⁶. Individuals' perceived stress is found to be associated with an increased risk of experiencing poor sleep⁷. Moreover, results, coming from a study that examined the influence of real-world stress on the restorative function of sleep in college students, revealed that anxiety about examination, which can be seen as a stressful situation, is accompanied by suppression of the cardiorespiratory resting function during sleep⁸.

Adolescents are often confronted with high demands concerning cognitive and school performance causing daily life stress especially before and during exam weeks. However, to date no study has been conducted investigating adolescents' objectively measured sleep patterns in stressful situations and situations without stress. This study examines the influence of real-world situations that are characterized by low (week without exams) and high stress (week prior to the exams/ exam week) on adolescents' sleep.

METHOD

Procedure

Participants were recruited in June and September 2009 from two different schools in Amsterdam, the Netherlands. Active informed consent from schools, parents, and participants were obtained. Adolescents filled in questionnaires about demographic data and general stress level in a non-demanding situation at school. Each individual received an actiwatch and a personal account for an online sleep diary. The sleep was monitored three

weeks long for school nights using AW4 actiwatches. Participants registered each day their bedtimes and getup times in the online sleep diary. The third week was the exam week, which is assumed to be characterized by more stress than the first (baseline) week.

Measurements

Sleep. Actigraphy involves use of a wristwatch-like portable device that can record movements over an extended period of time (e.g. a few weeks). Actigraphy is known to be a reliable and valid measure to study sleep parameters in a natural environment⁹. Participants were instructed to wear the actigraph on their nondominant wrist when preparing for sleep and remove it in the morning after they got up. Two different dimensions of sleep were examined: (a) sleep duration: Difference between sleep end and sleep onset, and (b) sleep efficiency: Percent of uninterrupted night sleep, reflecting an objective aspect of sleep quality. In order to get as reliable data as possible, we visually examined the actigraph data. If the data received from the sleep diaries did not match the visual inspection we applied the following general rule: If the bedtime from the sleep diary was set at a time point at which it was obvious that the individual was already sleeping we set it back to the first peak before the dropoff. If the bedtime from the sleep diary is earlier than expected from the actiwatch data we would only correct in cases in which it is clear that the actiwatch is not worn at this time point. Otherwise we would not correct the actiwatch data as somebody could also be lying in bed without moving. If the getting up time was set at a time point at which it was obvious that the individual was still sleeping we corrected the data by changing the getting up time to the following peak. If the getting up time from the sleep diary was later than the objective data we only corrected the data in cases in which it was obvious that the actiwatch was not worn any more.

Stress Level. General stress level was measured with the Stress Questionnaire for Children¹⁰. This questionnaire consists of 17 items (e.g. I am often in a hurry; I am tensed; I get easily upset) being rated on 4-point Likert scales (1 = *this is not at all true for me*; 4 = *this is true for me*). Cronbach's alpha was .77.

Statistical analysis

All data were analyzed with the statistical package SPSS 15.0. Linear Mixed Models were used in order to investigate differences in sleep duration and sleep efficiency across time. Main and interaction effects for gender and general stress level were investigated by adding these variables to the model.

RESULTS

Participants

76 adolescents (31.4 % boys; mean age: 15.28 years) from two different schools in Amsterdam participated in the study. Participants from the two schools did not significantly differ with regard to age, gender and sleep variables (all $p > .05$).

Sleep and stress

Preliminary results reveal that in comparison to the first (baseline) week adolescents slept worse during week two ($B = -.72$, $SE = .37$, $p < .05$) and three ($B = -.80$, $SE = .38$, $p < .05$), indicating that stressful times negatively influenced their sleep efficiency. Sleep efficiency was reduced from 81.04 % at baseline to 79.56 % during the second and to 79.60 % during the third week. Sleep duration was extended from 8:08 hours/night during the first to 8:23 hours/night during the second ($B = .15$, $SE = .08$, $p < .05$) and 8:26 hours/night during the

third week ($B = .19$, $SE = .08$, $p < .05$). Means and standard deviations for the sleep characteristics for all three weeks are given in **Table 1**. The effects during the second week were larger for students with high baseline stress levels ($B = .03$, $SE = .01$, $p < .05$). No gender effects were found.

Table 1. Means (standard deviation) of sleep characteristics across time

	week 1	week 2	week 3
sleep efficiency (%)	81.04 (5.98)	79.56 (6.76)	79.60 (6.14)
sleep duration (hours/night)	08:08 (1.92)	08:23 (1.21)	08:26 (1.15)

Note. $N = 76$

DISCUSSION AND CONCLUSION

This study shows that stressful times had a negative influence on adolescents' sleep efficiency. The reduction in sleep efficiency combined with the extended sleep duration during the stressful weeks suggest that individuals experienced more intermittent wakefulness, meaning that their sleep was more fragmented during the more stressful weeks. Longer sleep durations during week two and three could result from a different school start time schedule during this time period being supported by the finding that differences were found in sleep end rather than in sleep onset. Future research should be done in a larger sample in order to support the findings of this study. Furthermore, results from sleep diaries could give more important insight into the effects of stress on participants' subjective sleep experience.

REFERENCES

- ¹ Liu X, Zhou H. Sleep duration, insomnia and behavioral problems among Chinese adolescents. *Psychiatry Research* 2002; 111: 75-85.
- ² Russo PM, Bruni O, Lucidi F, Ferri R, Violani C. Sleep habits and circadian preference in Italian children and adolescents. *Journal of Sleep Research* 2007; 16: 163-9.
- ³ Pagel JF, Forister N, Kwiatkowski C. Adolescent sleep disturbance and school performance: the confounding variable of socioeconomics. *Journal of Clinical Sleep Medicine* 2007; 3: 19-23.
- ⁴ Meerlo P, Sgoifo A., Suchecki, D., 2008 Restricted and disrupted sleep: Effects on autonomic function, neuroendocrine stress systems and stress responsivity. *Sleep Medicine Reviews*; 2008; 12, 197–210
- ⁵ Meerlo P, Koehl M, van der Borght K., Turek, FW. Sleep Restriction Alters the Hypothalamic-Pituitary Adrenal Response to Stress. *Journal of Neuroendocrinology* 2002; 14: 397 -402.
- ⁶ El-Sheikh M, Buckhalt JA, Keller PS, Granger DA. Children's Objective and Subjective Sleep Disruptions: Links With Afternoon Cortisol Levels *Health Psychology* 2008; 27, 26–33.
- ⁷ Tworoger SS, Davis S, Vitiello MV, Lentz MJ, McTiernan A. Factors associated with objective (actigraphic) and subjective sleep quality in young adult women: *Journal of Psychosomatic Research* 2005; 59:11-19
- ⁸ Sakakibara M, Kanematsu T, Yasuma F, Hayano J. Impact of real-world stress on cardiorespiratory resting function during sleep in daily life. *Psychophysiology* 2008; 45 667- 670.

- ⁹ Morgenthaler T., Alessi C., Friedman A., Owens J., Kapur V, Boehlecke, B., et al., Practice Parameters for the Use of Actigraphy in the Assessment of Sleep and Sleep Disorders: An Update for 2007 2007: *Sleep*; 30 : 519-537
- ¹⁰ Hartong I, Krol M, Maaskant A, Plate A, Schuszler D, editors. *Psst... Are you asleep? Study on the quality of sleep*. Amsterdam: University of Amsterdam; 2003 (in Dutch).

SIMULTANEOUS ASSESSMENT OF CHANGES IN SLEEP, NEUROPHYSIOLOGY AND NEUROCHEMISTRY FOLLOWING PSYCHOSOCIAL STRESS IN RATS

W.H.I.M. Drinkenburg^a, B. Steiniger-Brach^{a,b}, K. Vaartjes^a and A. Ahnaou^a

^aJohnson & Johnson Pharmaceutical Research and Development, A Division of Janssen Pharmaceutica N.V., Turnhoutseweg 30, B-2340 Beerse, Belgium
^bpresently at Lundbeck A/S, Copenhagen-Valby, Denmark

INTRODUCTION

Depression is a heterogeneous disorder often manifested by symptoms at psychological, behavioural, neurophysiological and neurochemical levels. Affective disorders are known to be potentially developed and aggravated when humans are subjected to uncontrollable, psychological factors related to social stress of varying severity and nature (e.g., lack of social support). Animal models using various (more physical) stressors, such as footshock, restraint or forced swim have been developed to study the mechanisms underlying stress-induced neurobiological disturbances. However, these stressors bear little resemblance with natural stress conditions and the validity of these animal models of depression has been questioned. Manipulations of social factors in socially living animals may strongly impact the physiology and behaviour in rats or mice. Consequently, several animal models have been developed that use social stress to investigate questions related to the aetiology, treatment and prevention of depression in mice¹, rats^{2,3}, tree shrews⁴ and non-human primates⁵. On one hand, some of the rodent models use the social status of an individual rat within an established group of rats housed together as an index of social defeat⁶, however a major concern with this social status model is the variability inherent to complex social interactions⁷. On the other hand, a resident-intruder protocol based on the interaction of 2 rats i.e. a dominant male defeating an unfamiliar male intruder seems better to control³. The resident-intruder paradigm have repeatedly shown to produce behavioral, physiological and endocrinal alterations in the subordinate animal alike those observed in depression: including a loss of hedonic responsiveness⁸, changes in the daily rhythm of temperature, heart rate and activity⁹, decreased social interaction and weight loss, as well as alterations in the reactivity of the hypothalamic-pituitary-adrenal axis, the major endocrine component of the stress response¹⁰.

In our experimental approach, we first established that the social defeat procedure induced a classical stress response on various behavioral and physiological parameters. Next, we focused on concomitant changes in sleep-wake architecture, electroencephalographic dynamics, behavioural, endocrinal and extracellular levels of monoamines in prefrontal cortex and hippocampus nuclei in subordinate defeated 'stressed' rats during the conditions of repeated social stress caused by a dominant conspecific.

METHODS

Animal and Surgery

Adult male Sprague-Dawley (SD) rats obtained from Charles River (Sulzfeld, Germany) and weighing 347 ± 23 g at the beginning of the experiment, were used as intruder rats. Animals were individually housed in Macrolon cages type II, which were placed in individually,

ventilated cage racks. Male Brown Norway rats, supplied by Janvier (Le Genest-St-Ilse, France), weighing at least 400 grams at the time of the experiment, were used as dominant, resident rats. Each dominant male was housed together with a female in IVC racks holding Macrolon type III cages. Housing and experiments were done in a controlled environment with room temperature at $22 \pm 2^\circ\text{C}$ and relative humidity at 60%, a reversed 12:12 light-dark cycle (lights on from 06:00 p.m. to 06:00 a.m.; light intensity: ~ 100 lux) and food and water available ad libitum. All animal procedures were approved by the institutional animal care and use committee.

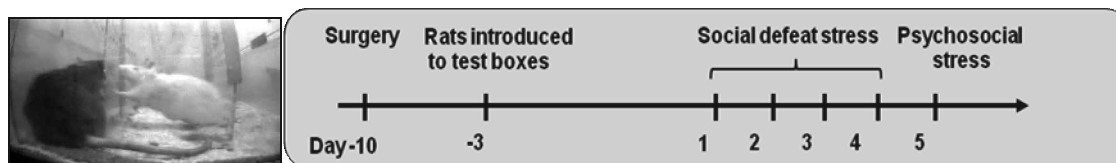
Under isoflurane inhalation anaesthesia, intruder rats were instrumented with a biopotential telemetry probe (Data Sciences International) with a catheter inserted in the descending aorta for recording cardiovascular parameters and EEG leads placed at AP + 0.2, L -0.2 and AP -0.6, L - 0.3, from bregma for recording the fronto-hippocampal EEG. Neurochemical variables were measured via a guide cannulae implanted unilaterally into the PFC (+ 3.0 mm rostral, - 0.75 mm lateral, - 0.75 ventral from bregma) and HIP (-5.25 mm caudal, - 5.0 mm lateral, - 3.5 mm ventral to bregma)

Apparatus

The experiment was performed in a sound-attenuated box equipped with two digital cameras for continuous behavioral recordings, a microinjection pump and liquid swivel mounted to a counterbalanced arm for microdialysis sampling as well as a receiver for telemetric recordings. White and dim red lights were controlled according to the light-dark cycle in the holding room (red light on from 06:00 a.m. to 06:00 p.m. and white lights from 06:00 p.m. to 06:00 a.m.).

Experimental design

The repeated social stress condition consists in social stress interaction for 1 hr during five times a week (study design below). The control animals were placed in the novel clean cage, while the intruder animals were placed in the cage of a resident aggressive conspecific Brown Norway rats. During the 4 consecutive days of the experimental procedure, the defeated animal had an olfactory and sensory contact through a wire mesh insert grid that was removed for 5 minutes to allow confrontation (social defeat stress) at random time within the 1 h interaction. On day 5 the intruder rat remains 1 h in the insert cage to allow visual, olfactory and acoustic, but no physical contact (psychosocial stress). After each stress session, animal were weighed to evaluate the impact of successive stress exposure. As a control procedure, Sprague Dawley rats were placed in novel empty cages and followed similar experimental conditions to those of the defeated rats.



On day 5 (psychosocial stress), microdialysis probes were introduced into the prefrontal cortex (PFC) and hippocampus (HIP) of rats to collect samples each 30 min. The average of the first three samples was taken as baseline samples. The next three samples were referred to stress phase, and three additional samples were collected under baseline conditions (post stress phase). From the HIP samples, 15 μl were considered for electrochemical analysis of serotonin (5-HT); the remaining 35 μl were later subjected to radioimmunoassay for corticosterone analysis. 15 μl from the PFC were used for electrochemical analysis of dopamine (DA) and norepinephrine (NE).

Sleep and EEG variables

For sleep-wake cycle recordings the continuous 24-h EEG signals over 6 days of the experimental procedure were scored per 10-second epochs averaged to 30 minute periods as being active wakefulness (AW), quiet wakefulness (QW), light slow wave sleep (LSWS), deep slow wave sleep (dSWS), or rapid eye movement (REM) sleep.

Absolute EEG power spectra dynamics that occur when an intruder animal is confronted with a dominant resident rat were calculated as a total score for five different frequency bands: 0.4 to 4.0 Hz (δ), 4.2 to 8.0 Hz (θ), 8.2 to 12.0 Hz (α), 12.2 to 14.0 (σ), 14.2 to 30.0 (β) and 30.2 to 60 Hz (γ).

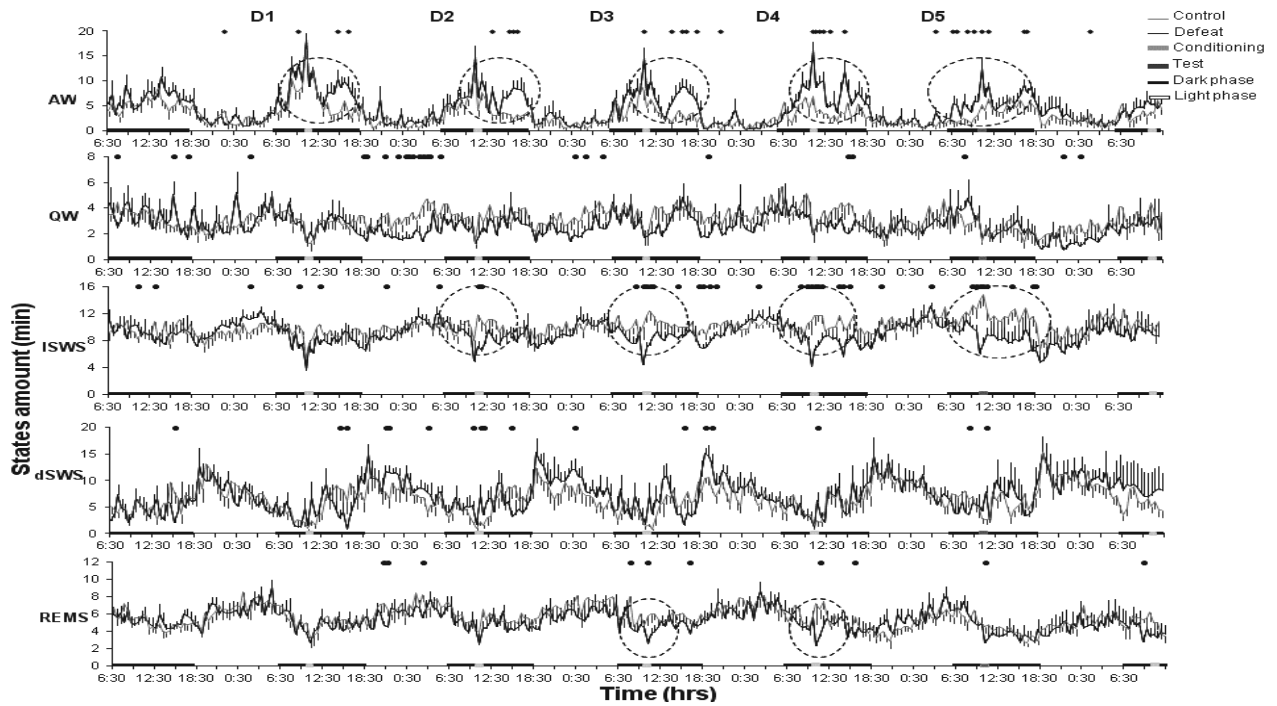
Statistical analysis

Values of different vigilance states were averaged into 30 min periods over the dark and light period. The EEG power spectra are expressed as mean absolute power in different frequency ranges and presented as mean values for each group. A Wilcoxon-Mann Whitney rank sum test was applied and value of $p < 0.05$ is considered to be significant. DA and 5-HT levels are expressed % changes of baseline in prefrontal cortex and hippocampus, during or after psychological or novelty stress. All data were expressed as mean values \pm S.E.M.. A Friedman repeated measures followed by Dunn's test were applied to assess significant differences between groups.

RESULTS

Sleep-wake architecture

Defeated rats spent significantly more time in quiet wakefulness at the expense of reduction in time spent in active wakefulness during the interaction period as well as during the second half of the dark period. Concomitantly, a significant enhancement in time spent in light sleep during and immediately following the stress procedure was found in stressed animals. Additionally, a tendency for an increase in REM sleep was observed with a difference found in the number of periods being significantly higher for defeated rats during the dark and light phase (Figure 1).



1: Effects of repeated exposure to social defeat stress (days D1 to D4) and psychosocial stress (D5) on sleep-wake architecture in rats. Data are mean values \pm S.E.M. expressed in minutes for the states of active wake (AW), wake (QW), light slow wave sleep (ISWS), deep slow wave sleep (dSWS), and rapid eye movement sleep (REMS). * $p < 0.05$ significance between control ($n=8$) and defeated animals ($n=7$).

Effects of social stress on EEG dynamics

In the control group, an overall increase in the EEG power was found when animals were introduced into novel cages with a marked increase in body temperature and a decrease in locomotor activity. Whereas in subordinate animals, alterations in brain activity were associated with a decrease in the EEG power over the frequency range 0.5-60 Hz and a sharp transient increase in slow delta wave activity.

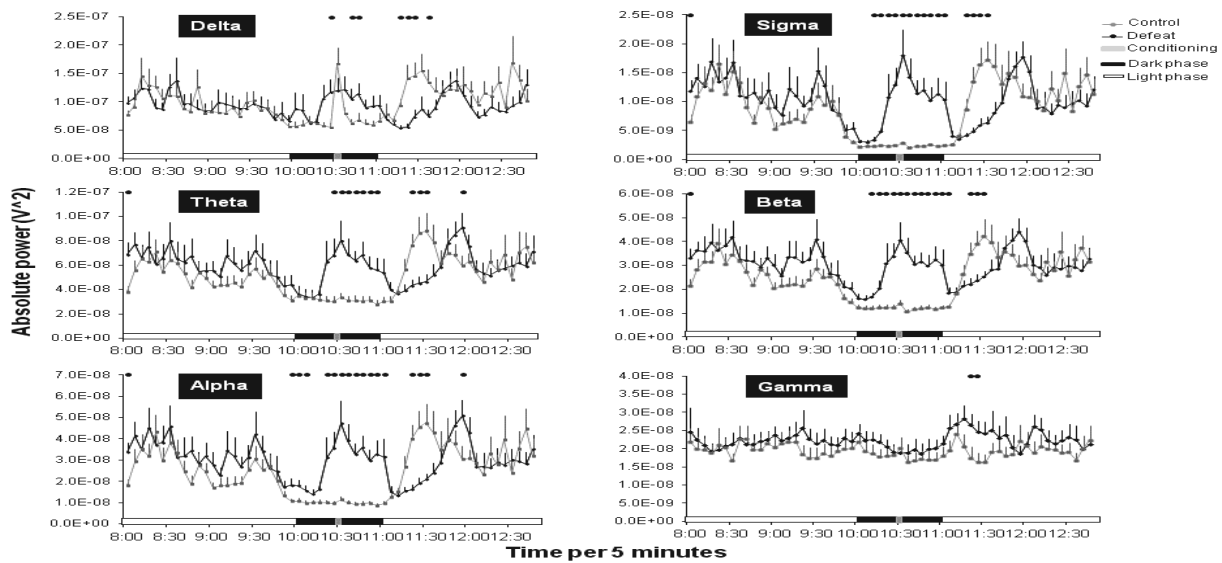


Figure 2: Changes in the absolute EEG power activity during exposure to social defeat stress in rats. * $p < 0.05$ significance between control ($n=8$) and defeated animals ($n=7$).

Effects of psychosocial stress on monoamine levels

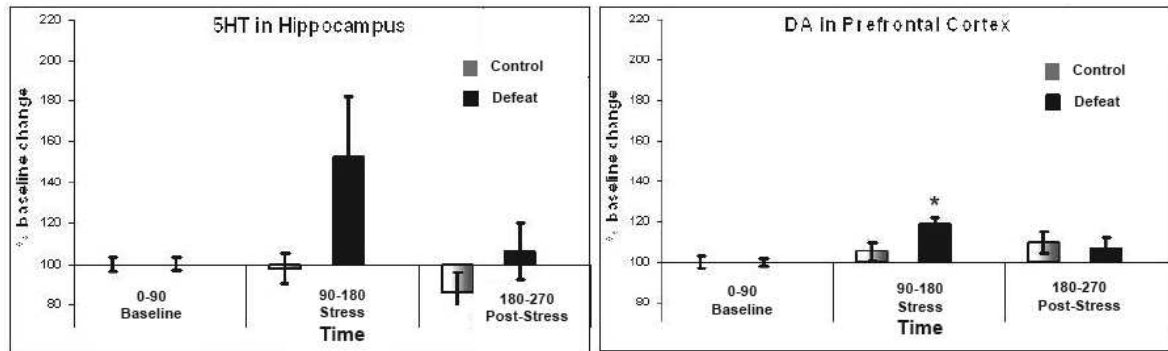


Figure 3: 5-HT and DA release before (baseline conditions), during and after psychosocial stress (n=7) or novelty for controls (n=8). Data are expressed as % changes of baseline, in hippocampus and prefrontal cortex, respectively and presented as mean values \pm S.E.M.

Psychosocial stress consistently enhanced the release of 5-HT in the hippocampus (left panel) and DA in PFC (right panel) (Figure 3).

DISCUSSION

The present study showed that repeated exposure to social stress procedure induced consistent changes in a wide range of physiological and behavioural parameters, including activation of hemodynamic parameters, decreases in general body activity, hyperthermia, increased corticosterone level, freezing and submissive behaviour (data not shown). Most of these responses were short lasting and returned to basal levels after termination of social interaction. In addition, defeated animals exhibited an important anticipatory rise in body temperature that is dissociated from locomotor activity.

Our findings extend earlier observations in rodents exposed to social defeat stress, and demonstrate that repeated confrontation with an aggressive dominant conspecific produced marked loss of body weight¹¹, alterations in the daily rhythms of body temperature and locomotor activity³, increase activation of the cardiovascular system and neuroendocrine response¹⁰.

The increased need for sleep in duration as well as in efficiency is related to the nature of waking experience. Neuroendocrine changes after stress experience results in disturbance of sleep process, which are often associated with immediate reduction in the duration of NREM sleep and/or REM sleep followed by subsequent sleep rebound. However, the response of animal subjected to social defeat stress varies with the magnitude and quality of stress experience. The sleep debt may particularly be associated with an increase of the slow wave activity during NREM sleep without consistent changes in the duration of such vigilance state or sleep time¹². However, social stress may also affect the duration of specific vigilance states as shown in great reduction in the duration of REM sleep in mice¹³. Our findings indicate that social stress reduces active waking, enhances light sleep and induces a trend for increased of REM sleep. These data provide evidence of an ongoing development of disturbance in sleep-wake architecture.

An overall increase in the EEG power was found when animals were introduced into a novel cage. Whereas, in subordinate animals, alterations in brain activity were associated with a marked decrease in the EEG power over the frequency range 0.5-30 Hz and a sharp, transient

increase in slow wave activity. This major novel findings in defeated subordinate animals i.e. a remarkable flattening of the EEG power between 0-30 Hz was accompanied with a marked increase in body temperature and a decrease in locomotor activity; a dissociation that in view of the EEG changes may reflect increased brain activity and metabolism. However, such EEG alterations disappeared at the end of the stress conditions. Furthermore, psychosocial stress leads to an increase in serotonin and dopamine levels. This transient stimulation of the monoamine systems such as 5-HT and or dopamine have been hypothesized to impair the permeability of the blood brain barrier and consequently can result in the flattening of EEG activity as it was described in long-term immobilisation stressed rats¹⁴. Both correlational and differential changes as quantified simultaneously within subjects in this study emphasize the importance of assessing the processes underlying sleep and stress dynamics in a parallel systems neuroscience approach. These changes can further be evaluated as potentially useful (bio)markers in the aetiology and/or treatment of stress-related aspect of psychiatric disorders such as depression.

REFERENCES

- ¹ Kudryavtseva NN, Bakshantovskaya IV, Koryakina LA. Social model of depression in mice of C57BL/6J strain. *Pharmacol Biochem Behav* 1991; 38: 315-320.
- ² Koolhaas JM, Hermann PM, Kemperman C, Bohus B. Van den Hoofdakker RH, and Beersma D.G.M. Single social defeat in male rats induced a gradual but long lasting behavioural change: A model of depression? *Neurosci Res Commun* 1990; 7: 35-41.
- ³ Tornatzky W, Miczek KA. Behavioral and autonomic responses to intermittent social stress: differential protection by clonidine and metoprolol. *Psychopharmacology* 1994; 116: 346-356.
- ⁴ Van Kampen M, Kramer M, Hiemke C, Flugge G, Fuchs E () The chronic psychosocial stress paradigm in male tree shrews: evaluation of a novel animal model for depressive disorders. *Stress* 2002; 5: 37-46.
- ⁵ Tamashiro KL, Nguyen MM, Sakai RR. Social stress: from rodents to primates. *Front Neuroendocrinol* 2005; 26: 27-40.
- ⁶ Willner P, D'Aquila P, Coventry TL, Brain P. Loss of social-status-Preliminary Evaluation of a novel Animal-Model of Depression. *J Psychopharmacol.* 1995; 9: 207-213.
- ⁷ Marrow LP, Overton PG, Brain PF. A re-evaluation of social defeat as an animal model of depression. *J Psychopharmacol* 1999; 13: 115-121.
- ⁸ Rygula R, Abumaria N, Flugge G, Fuchs E, Ruther E, Havemann-Reinecke U. Anhedonia and motivational deficits in rats: impact of chronic social stress. *Behav Brain Res.* 2005; 162: 127-134.
- ⁹ Tornatzky W, Cole JC, Miczek KA. Recurrent aggressive episodes entrain ultradian heart rate and core temperature rhythms. *Physiol Behav* 1998; 63: 845-853.
- ¹⁰ Keeney AJ, Hogg S, and Marsden CA. Alterations in core body temperature, locomotor activity, and corticosterone following acute and repeated social defeat of male NMRI mice. *Physiol Behav* 2001; 74: 177-184.
- ¹¹ Albonetti, M.E. & Farabollini, F. Social stress by repeated defeat: effects on social behaviour and emotionality. *Behav. Brain Res* 1994; 62: 187-193.
- ¹² Meerlo P, Pragt BJ, Daan S. Social stress induces high intensity sleep in rats. *Neurosci Lett* 1997; 28: 225:41-4.
- ¹³ Meerlo, P. and Turek, F. Effects of social stimuli on sleep in mice: non-rapid-eye-movement (NREM) sleep is promoted by aggressive interaction but not by sexual interaction. *Brain Res* 2001; 991: 1-17.
- ¹⁴ Sharma HS, Dey PK. EEG changes following increased blood-brain barrier permeability under long-term immobilization stress in young rats. *Neurosci Res* 1988; 5: 224-39.

TIME ON TASK EFFECT IN REACTION TIMES DURING A SIMULATED DRIVING TASK

Maartje J. Graauwmans, Melinda L. Jackson, Gregory Belenky, Bryan Vila and Hans P.A. Van Dongen

Sleep and Performance Research Center, Washington State University, Spokane, WA, USA

INTRODUCTION

The time-on-task effect entails a decrement in cognitive performance across the duration of a performance task.^{1,2} The Psychomotor Vigilance Test (PVT)³ is sensitive to the time-on-task effect, showing a lengthening of average response time (RT) and an increase in RT variability in as little as 10 min, especially under conditions of sleep deprivation.^{2,4,5} The PVT is a simple reaction time task in which subjects press a button when a stimulus appears on a computer screen.³ It is believed that high stimulus density and the associated continuous requirement for sustained attention are what make the PVT particularly sensitive to the time-on-task effect.^{2,4}

The time-on-task effect has also been observed during simulated driving tasks. In one driving simulator study,⁶ steering performance appeared to deteriorate during a 30 min driving task. In another driving simulator study,⁷ steering wheel movements increased across the duration of a 40 min driving task performed twice (once under monotonous conditions and once under more varied conditions), which suggested a time-on-task effect in steering performance.

Drews et al.⁸ used a driving task on a high-fidelity driving simulator to investigate the effect of distracted driving on driving performance. The task, which was approximately 30 min in duration, involved following a leading car, and braking when, from time to time, the leading car decelerated (as indicated by its brake lights). An overall slowing of braking RTs during distracted driving was observed relative to a non-distracted control condition.⁸

Both the simulated driving task of Drews et al.⁸ and the PVT appear to require sustained attention to produce motor responses to visual stimuli across the duration of the task. The research reported here sought to adapt the simulated driving task to make it more closely resemble the PVT, so as to achieve high sensitivity to the time-on-task effect (in braking RTs) while maintaining the face validity associated with high-fidelity driving simulation.

METHODS

High-fidelity driving simulator. A high-fidelity PatrolSim IV driving simulator (MPRI, Salt Lake City), which is widely used to train professional drivers, was employed. The simulator has three screens that form a 180° angle of view. These serve to project the road through simulated windshield and side windows around the driver. A rear-view mirror and two side-view mirrors are also projected on the screens, and the scenery in the windows and mirrors is updated in real time. The simulator has an open-seat driver cockpit with an automatic transmission design. It includes a car seat, steering wheel, brake and gas pedals, lever to select the operating mode, and full dashboard. The simulator imitates the dynamics of a real car, including interaction with the road. The steering wheel provides feedback from the simulated wheels by subtle movements; however, the rest of the cockpit does not move. We

installed hardware and software for capturing driving performance data (sampled at 60 Hz), in order to adapt the simulator for research use.

Simulated driving task. In the driving task, subjects drove a simulated sports sedan on a 2 to 3 lane freeway, set in a sunset environment for optimal visual contrast of the leading car's brake lights. Subjects were asked to follow a leading car, which was programmed to cruise at 64 miles/h, for 30 min. At set times during the drive, the leading car decelerated, turning on its brake lights. There were 90 deceleration events, spread out over the drive at inter-stimulus intervals of 10–30 s (uniformly randomly distributed in 4 s increments). Subjects were required to press the brake pedal of the driving simulator as soon as they observed the brake lights of the leading car. The leading car then accelerated back to its cruising speed,¹ and the subjects were to resume following the leading car. Subjects were instructed to maintain a safe driving distance behind the leading car. If they could read the leading car's license plate, they were too close. They received a message on the center screen if they were more than 100 m behind the leading car, which was too far. There was no other (simulated) traffic.

RTs were calculated from the onset of the deceleration of the leading car until the moment the brake pedal was pressed by the subject. On a few occasions (four times in total), subjects pressed the brake pedal before the start of a deceleration event. For the present study, these were treated as missing data.

Other neurobehavioral measures. A 20 min PVT was administered prior to the driving task, and a 10 min PVT was administered following the driving task. These PVTs were performed while in the car seat of the simulator, using software implemented on a Palm Centro smartphone.⁹ Visual stimuli were presented on the display at 2–10 s response-stimulus intervals (uniformly randomly distributed in 1 s increments). One outlier RT (greater than 10 times the mean), occurring during the first 10 min of the pre-driving PVT, was discarded.

Before the pre-driving PVT and after the post-driving PVT, subjects filled out the Karolinska Sleepiness Scale (KSS).¹⁰

Experimental procedures. Subjects first completed a screening session in the laboratory, during which they performed a 5 min simulated practice drive to introduce them to the simulator and the basic aspects of the simulated driving task. Between 1 and 23 days after their screening session, subjects attended a laboratory experimental session of about 2 h duration in the morning (at either 09:00 or 11:00). At the beginning of the session, they again performed the 5 min practice drive, filled out the KSS, and took a 15 min break. They then performed the 20 min PVT, carried out the 30 min simulated driving task, performed the 10 min PVT, and filled out the KSS once more. During the 24 h prior to the experimental session, subjects were not allowed to drink caffeine or alcohol.

Subjects. Eleven subjects (7 men, 4 women) aged between 21 and 41 y (mean \pm s.d.: 28.9 \pm 7.4 y) completed the study. One additional subject experienced symptoms of simulator adaptation syndrome during the driving task, and withdrew from the study. All subjects were in possession of a valid driver's license, had normal or corrected-to-normal vision. They were screened for absence of medical conditions, sleep disorders, psychological disorders, and current alcohol abuse, and they reported not having abused any drugs within the past year.

Statistical analyses. Simulated driving task braking RTs and PVT RTs were grouped in consecutive 10 min blocks, and the raw RTs were then analyzed with mixed-effects analysis of variance (ANOVA) to test for an effect of block. Analyses of interest involved comparing

¹ Shortly after commencing acceleration, the leading car sometimes braked in order not to overshoot its cruising speed. This resulted in a few additional (unintended) deceleration events, which made the inter-stimulus intervals to the next (intended) deceleration events a few seconds shorter than the programmed 10–30 s range values. We deliberately selected the programmed range such that, based on the literature,¹² the shortened foreperiods would not become so brief that they would confound RTs with refractory period effects.

the first through third 10 min blocks of the simulated driving task; comparing the first and second 10 min blocks of the 20 min pre-driving PVT; and comparing the first 10 min block of the 20 min pre-driving PVT to the (only) 10 min block of the post-driving PVT. Furthermore, pre- and post-testing KSS scores were compared, using a paired *t* test. We hypothesized that RTs on the simulated driving task, RTs on the PVT, and sleepiness scores on the KSS would all increase over time in the experimental session.

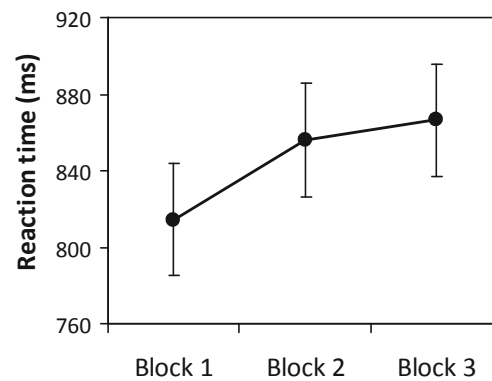


Figure 1. Braking RTs (mean ± s.e.) over the three 10 min blocks of the simulated driving task, reflecting a significant time-on-task effect.

RESULTS AND DISCUSSION

There was a significant effect of block on the simulated driving task ($F_{2,973} = 6.5, P = 0.002$); average RTs became progressively slower towards the end of the 30 min task (Figure 1). There was also a significant effect of block on the 20 min pre-driving PVT ($F_{1,2067} = 23.9, P < 0.001$); average RTs were significantly slower (by 19.7 ms) during the second 10 min block than during the first 10 min block. RTs during the 10 min post-driving PVT were slower yet (by another 9.2 ms), and significantly different from the first 10 min of the 20 min pre-driving PVT ($F_{1,2073} = 11.4, P < 0.001$). Post-driving KSS scores were significantly higher (by 2.1 units on a scale from 1 to 9) than pre-driving KSS scores ($t_{10} = -4.6, P = 0.001$).

These results are consistent with our hypotheses. There was a significant time-on-task effect in the simulated driving task (Figure 1). As expected, there was also a significant time-on-task effect in the pre-driving PVT. This effect appeared to persist for 30 min (i.e., during the driving task) and to carry over to the post-driving PVT. Thus, unlike what has been reported in other task-switching paradigms,¹¹ in this study interspersed PVT with another task did not provide relief of the PVT time-on-task effect, but rather seemed to maintain (or perhaps even enhance) it. The build-up of cognitive fatigue over time was experienced subjectively as well, as evidenced by the increase in KSS sleepiness scores.

A recent theory posits that the time-on-task effect may stem from activity-dependent induction of a sleep-like state in cortical columns used during the performance task at hand.² In this view, the observation that post-driving PVT performance was degraded relative to pre-driving PVT performance implies that the intervening 30 min driving task entailed continued activation of cortical columns used during both the PVT and the driving task, perhaps related to a common attentional system in the brain. This supports the notion that the PVT captures cognitive deficits—implicated in sustained attention⁴—that are also relevant for automobile driving (and vice versa).

CONCLUSIONS

Building on the work of Drews and colleagues,⁸ we successfully developed a simulated driving task that shows sensitivity to the time-on-task effect. Implemented on a high-fidelity driving simulator, the new task has a high level of face validity. It involves frequent braking while driving at relatively high speed, and demands sustained attention. This also occurs in real-world driving, such as during bad weather, on roads with potholes, and in busy traffic. The time-on-task effect is similar to the effect of sleep deprivation on cognitive performance,⁴ and the two effects exacerbate each other.^{2,4,5} We therefore plan to further validate the task in a sleep deprivation study. Our ultimate goal is to establish the task as a cognitive assay that will be widely recognized as directly relevant for real-world operational impairment.

ACKNOWLEDGMENTS

We thank Frank Drews for sharing with us the simulated driving task⁸ that we adapted, and Roger Ratcliff for pointing out the task's similarity to the PVT. We also thank Jason Moore, Amy Bender, Luke Huang, Christopher Mott and Daniel Mollicone for help with the development of driving simulator procedures. This research was funded by CDMRP award W81XWH-05-1-0099 and DURIP grant N00014-08-1-0802.

REFERENCES

- ¹ Bills AG. Fatigue in mental work. *Physiol Rev* 1937; 17(3):436-453.
- ² Van Dongen HPA, Belenky G, Krueger JM. Investigating the temporal dynamics and underlying mechanisms of cognitive fatigue. In: Ackerman PL, ed. *Cognitive fatigue: multidisciplinary perspectives on current research and future applications*. American Psychological Association, Washington, D.C., in press.
- ³ Dinges DF, Powell JW. Microcomputer analyses of performance on a portable, simple visual RT task during sustained operations. *Behav Res Meth Instr Comp* 1985; 17(6):652-655.
- ⁴ Doran SM, Van Dongen HPA, Dinges DF. Sustained attention performance during sleep deprivation: evidence of state instability. *Arch Ital Biol* 2001; 139(3):253-267.
- ⁵ Wesensten NJ, Belenky G, Thorne DR, Kautz MA, Balkin TJ. Modafinil vs. caffeine: effects on fatigue during sleep deprivation. *Aviat Space Environ Med* 2004; 75(6):520-525.
- ⁶ Van der Hulst M, Meijman T, Rothengatter T. Maintaining task set under fatigue: a study of time-on-task effects in simulated driving. *Transp Res* 2001; 4(part F):103-118.
- ⁷ Thiffault P, Bergeron J. Monotony of road environment and driver fatigue: a simulator study. *Accid Anal Prev* 2003; 35:381-391.
- ⁸ Drews FA, Yazdani H, Godfrey CN, Cooper JM, Strayer DL. Text messaging during simulated driving. *Hum Fact* 2009; 51(5):762-770.
- ⁹ Thorne DR, Johnson DE, Redmond DP, Sing HC, Belenky G. The Walter Reed palm-held psychomotor vigilance test. *Behav Res Meth* 2005; 37(1):111-118.
- ¹⁰ Åkerstedt T, Gillberg M. Subjective and objective sleepiness in the active individual. *Int J Neurosci* 1990; 52(1):29-37.
- ¹¹ Komaki J. Signal-source switching in human vigilance. *Jpn Psychol Res* 1967; 9:49-57.
- ¹² Requin J, Granjon M. The effect of conditional probability of the response signal on the simple reaction time. *Acta Psychol* 1969; 31:129-144.

CAN SLOW MELATONIN METABOLISM BE ASSOCIATED WITH A SINGLE NUCLEOTIDE POLYMORPHISM IN THE CYP1A2 GENE? -A PILOT STUDY-

H. Keijzer^{a,c}, S.C. Eendenburg^a, M.G. Smits^b, M. Koopmann^c en J.M.T. Klein Gunnewiek^a

^a Gelderse Vallei Hospital, Department of Clinical Chemistry and Hematology

^b Gelderse Vallei Hospital, Department of Sleep-Wake Disorders and Chronobiology

^c Gelderse Vallei Hospital, Department of Clinical Pharmacy

INTRODUCTION

The majority of patients suffering from a biological clock sleep disorder have a Delayed Sleep Phase Syndrome (DSPS). In DSPS, patients tend to fall asleep later than desired and / or have difficulty waking up in the morning. Usually, in such cases, the Dim Light Melatonin Onset (DLMO) has shifted to a later moment. Exogenous melatonin is able to advance the endogenous melatonin rhythm and its associated circadian rhythms, including sleep-wake, temperature and cortisol rhythms, maximally when it is administered 5 hours before DLMO¹. Melatonin in the usual pharmacotherapeutic doses (1-5 mg), cannot be found in blood or saliva within 12 hours after its administration². When exogenous melatonin is metabolized slowly it will remain present 24 hours after its administration. Subsequent doses of melatonin will give a steady-state melatonin level. Consequently, exogenous melatonin loses its chronobiotic effect as the 24 hour melatonin rhythm disappears resulting in the return of the sleep disturbance after several weeks of treatment. A metabolisation test performed in suspected slow metabolizing patients showed that high levels of melatonin were the probable cause of the disappearing effectiveness of exogenous melatonin. This might be caused by decreased activity / inducibility of the CYP1A2 enzyme³. Melatonin is metabolized in the liver by Cytochrome P450 1A2 (CYP1A2) to its primary metabolite 6-hydroxymelatonin, conjugated with a sulphate group and subsequently excreted in urine. About 90% of melatonin is metabolized by CYP1A2 and in a lesser extent by CYP2C19, CYP1A1 and CYP1B1. Several reports indicate that a Single Nucleotide Polymorphism (SNP) in the CYP1A2 gene might cause decreased activity or inducibility of the CYP1A2 enzyme⁴. Putative allelic variants with decreased activity or inducibility are: CYP1A2*1C, CYP1A2*1K, CYP1A2*3, CYP1A2*4 and CYP1A2*6⁵. The CYP1A2*1F allelic variant is associated with a higher inducibility⁶.

In this clinical pilot study we investigated whether a specific SNP in the CYP1A2 gene can be associated with slow melatonin metabolisation.

METHODS

Patients participating in this study underwent a metabolisation test: patients started at 12 PM (t=0) with collection of the first salivary sample immediately followed by oral intake of melatonin (1 mg Fagron BV, The Netherlands). Additional salivary samples were collected at 2 PM (t=2), 4 PM (t=4) and 8 AM the following morning (t=20). Patients were asked to refrain from coffee and intensive exercise during the test. Saliva was collected with

Salivette[®] (Sarstedt, Germany). Inclusion criteria were: patient requiring melatonin for treatment of DSPS. Patients were between age 6 and 60. Exclusions criteria were: known liver disease and known hypersensitivity for melatonin.

Melatonin levels were measured using a Radioimmunoassay (RIA) (Buhlmann laboratories, Switzerland), as previously described⁷. Melatonin measurements were performed and DNA was extracted as previously described⁸. PCR experiments were performed on the real-time PCR cycler Rotor-Gene Q (Qiagen Benelux BV, The Netherlands). Sample handling was performed with the QIAgility System (Qiagen Benelux BV, The Netherlands). PCR chemistry was in accordance with manufacturer's specification except for the increased template volume of 5.25 µL. Template and 2.75 µL Master-Mix solution was pipetted into a gene-disc 72 giving a total PCR volume of 8 µL. The 2.75 µL Master-mix solution consisted of 2.5 µL Taqman[®] Genotyping Master-mix and 0.25 µL Taqman[®] Drug Metabolism Genotyping Assays (both supplied by Applied Biosystems BV, The Netherlands). The assays consisted of unlabeled PCR primers and Taqman[®] MGB probes (FAM[™] and VIC[®] dye-labeled for the detection of allele 1 and allele 2) with assay number: C_15859191_30, C_30634146_10, C_34816147_10, C_30634246, C_30634244_20 and C_8881221_40. These assays detect a substitution in the CYP1A2 gene at position -3860 G>A, -729 C>T, 2385 G>A, 2499 A>T, 5090 C>T and -163 C>A, respectively. Detecting the following CYP1A2 variants respectively; *1C, *1K, *3, *4, *6 and *1F. The thermal cycler conditions were: initial step of 10 minutes at 95°C followed by 50 cycles of 15 seconds at 92°C (denature) and 90 seconds at 60°C (anneal/extend).

SPSS v17 (SPSS Inc. Chicago, Illinois) was used for descriptive statistics. P-values were determined using Fisher-Exact.

This study has been approved by the Gelderse Vallei Hospital review committee for scientific research (BCWO number: BC/0903-111). Informed consent was obtained from the patient or its parent / guardian.

RESULTS AND DISCUSSION

Out of a 100 patients we obtained material for DNA extraction and melatonin measurements from 78 patients. In 10 of these patients the melatonin level in the first sample was above 15 pg/mL and therefore omitted from further calculations. The sample amount of 5 patients was too low for melatonin measurements and 1 patient was outside the age range. Further calculations were done with the remaining 62 patients. In 69.4% cases melatonin levels were above the linear range of 0.5 – 50 pg/mL after administration (at t=2) of exogenous melatonin. At t=4, 25.8% of the samples were above the linear range and at t=20, 6.5% were above the linear range. One patient had a heterozygote CYP1A2*1C. CYP1A2 variant; *1K, *3, *4, *6 were not detected. CYP1A2*1F genotype results are presented in Table 1 and Figure 1. These yield an indication of the pharmacokinetic profile of melatonin within the CYP1A2*1F C/C, A/C and A/A genotypes.

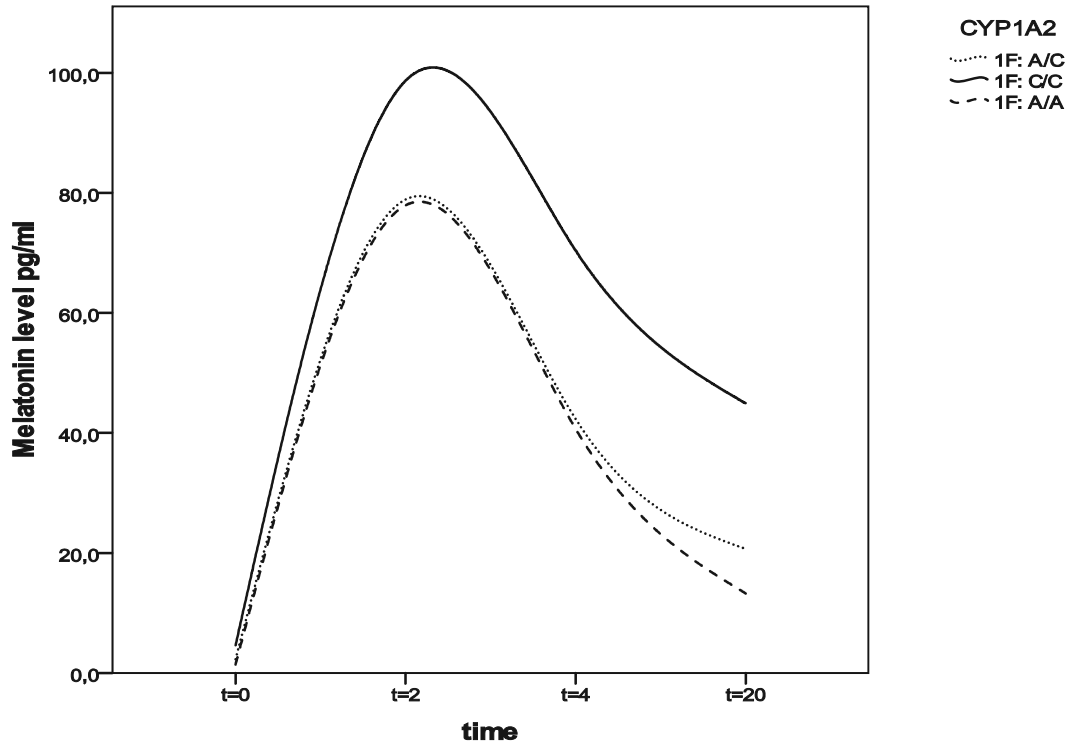


Figure 1: The pharmacokinetic profile of CYP1A2*1F C/C, A/C and A/A genotype after administration of 1 mg of melatonin.

A threshold melatonin value of 37.5 pg/mL was used to group the different CYP1A2*1F genotype. The threshold was set as follow: the mean melatonin value of CYP1A2*1F A/A sample size + 3 SD (at t=20: mean 10.61 pg/mL, SD 8.96 pg/mL). The percentage of patients above the threshold level was calculated (see Table 1)

Table 1. Comparison between CYP1A2*1F allele and melatonin levels at t = 20

<i>CYP1A2*1F</i> genotype	Number of patients with melatonin ≥ 37.5 pg/mL	Number of patients with melatonin < 37.5 pg/mL	Percentage	P-value	
C/C	2	3	40.0 %	0.04	n = 5
A/C	3	22	12.0 %	0.19	n = 25
A/A	1	31	3.1%	-	n = 32
Total	5	57			62

P-value calculated using Fisher-Exact compared to A/A genotype

This study shows that among carriers of the CYP1A2*1F C/C genotype a significantly higher percentage of patients have melatonin levels above the threshold level (≥ 37.5 pg/mL) compared to the CYP1A2*1F A/A.

CONCLUSIONS

This pilot study indicates that the CYP1A2*1F C/C genotype could potentially be associated with slow melatonin metabolism. The results in this study warrants the start of a follow up in a larger study population.

REFERENCES

- ¹ Lewy AJ, Ahmed S, Jackson JM, Sack RL. Melatonin shifts human circadian rhythms according to a phase-response curve. *Chronobiol Int* 1992; 9(5):380-392.
- ² Nagtegaal JE, Kerkhof GA, Smits MG, Swart AC, van der Meer YG. Delayed sleep phase syndrome: A placebo-controlled cross-over study on the effects of melatonin administered five hours before the individual dim light melatonin onset. *J Sleep Res* 1998; 7(2):135-143.
- ³ Braam W, Van Geijlswijk IM, Keijzer H, Smits MG, Didden R, Curfs LMG. Loss of response to melatonin treatment is associated with slow melatonin metabolism. *J Intellect Disabil Res* 2010; 54(Pt 6):547-555
- ⁴ Zhou SF, Chan E, Zhou ZW, Xue CC, Lai X, Duan W. Insights into the Structure, Function, and Regulation of Human Cytochrome P450 1A2. *Curr Drug Metab* 2009; 10(7): 713-729
- ⁵ Zhou H, Josephy PD, Kim D, Guengerich FP. Functional characterization of four allelic variants of human cytochrome P450 1A2. *Arch Biochem Biophys* 2004; 422(1):23-30.
- ⁶ Sachse C, Brockmoller J, Bauer S, Roots I. Functional significance of a C->A polymorphism in intron 1 of the cytochrome P450 CYP1A2 gene tested with caffeine. *Br J Clin Pharmacol* 1999; 47(4):445-449.
- ⁷ Nagtegaal E, Peeters T, Swart W, Smits M, Kerkhof G, van der Meer MG. Correlation between concentrations of melatonin in saliva and serum in patients with delayed sleep phase syndrome. *Ther Drug Monit* 1998; 20(2):181-183.
- ⁸ Keijzer H, Endenburg SC, Smits MG, Koopmann M. Automated genomic DNA extraction from saliva using the QIAextractor. *Clin Chem Lab Med* 2010; 48(5):641-643

EFFECTS OF SLEEP-WAKE STATES ON THE N150 OF THE AUDITORY EVOKED POTENTIAL FROM THE RAT AMYGDALA

Jeroen M. J. Knippenberg, Anton M. L. Coenen, Gilles van Luijtelaar

Donders Centre for Brain, Cognition and Behaviour, Donders Centre for Cognition
Radboud University Nijmegen, The Netherlands

INTRODUCTION

The amygdala is a collection of nuclei in the medial temporal lobe involved in the detection and processing of (potential) threatening events and the generation of behavioral, physiological and endocrine reactions in response to threats, commonly referred to as 'fear'.¹ Abnormalities in amygdala functioning have been reported in a number of anxiety disorders, such as phobias and post-traumatic stress disorder.² Understanding the neural basis of fear is thus potentially useful for the development of therapeutic interventions. Pavlovian fear conditioning has emerged as a widely used animal model for the study of the neural correlates of fear.¹ Typically performed in rats or mice, fear conditioning consists of pairing an intrinsic neutral stimulus such as a tone (conditioned stimulus, CS) with an aversive stimulus, typically a footshock (unconditioned stimulus, US). Conditioned fear responses towards the CS, such as freezing and increases in heart rate, develop rapidly, often within a few trials.

The amygdala is one of the main sites for neural plasticity underlying Pavlovian fear conditioning.¹ Lesions and temporary inactivation of the amygdala in rats block fear conditioning and human neuro-imaging reveals amygdala activation during fear conditioning.^{3,4} Intra-amygdalar recordings of both single-units and field potentials show increases in the neural responses towards the CS.³ These latter neural correlates are found mainly in the lateral nucleus of the amygdala.

In a number of studies we recorded CS-evoked Auditory Evoked Potentials (AEPs) from the lateral amygdala in freely moving rats during Pavlovian fear conditioning. We found that, compared to pre-conditioning baselines, a large negative AEP wave emerges during fear conditioning.⁵⁻⁸ This slow component (start ~80 ms, end ~300 ms) reaches its peak amplitude at ~150 ms following stimulus onset and was therefore labeled N150. Increases in N150 amplitude are restricted to stimuli that evoke an increase in autonomic arousal such as a CS during fear conditioning and do not take place in response to stimuli that have behavioral significance but that do not evoke arousal, such as a CS predicting food reward.⁸

Although our previous results demonstrate a clear link between the N150 and emotional arousal, it is not known whether the N150 is also influenced by more general fluctuations in wakefulness and vigilance and in particular by states of lowered arousal such as drowsiness and sleep. As it is well known that the amplitudes of AEP components are influenced by sleep-wake states,^{9,10} we sought to determine whether such influences also exist for the amygdalar N150. In order to test this we used EEG recordings obtained during the habituation protocol of a previous study.⁸ Rats occasionally slept during these recordings and we obtained separate AEPs during wakefulness, drowsiness and slow-wave sleep (SWS).

METHODS

Adult male Wistar rats ($n = 10$) were used for this study. Stereotaxic surgery was carried out under inhalation anesthesia with isoflurane. Electrodes (Plastics One, MS333/2a, Roanoke, VA) were aimed at the right lateral nucleus of the amygdala according to Paxinos and Watson's atlas coordinates AP -3.60 mm, ML 5.20 mm and DV -8.30 mm.¹¹ EEG was referenced to a cerebellar recording. The electrode was attached to skull with acrylic dental cement and screws were placed to provide support. Rats were allowed one week of recovery prior to experimental procedures. Approval for surgical and behavioral procedures was obtained from the animal ethics committee of the Radboud University Nijmegen.

Conditioning chambers (25 x 25 x 40 cm), each located in a sound-attenuating cupboard, had a floor made of stainless steel rods (3 mm wide) for delivery of footshocks during fear conditioning. Two speakers placed in the left-side wall could produce a white noise stimulus for AEP triggering. EEG was band-pass filtered (1-100 Hz), amplified and then recorded with WINDAQ/Pro (DATAQ Instruments, Akron, OH) at 512 Hz.

Prior to fear conditioning there was a habituation phase in which white noise (85 dB, 15-s duration, 10-ms rise/fall time) was presented 200 times at an inter-stimulus interval varying between 10 to 30 s. EEG recordings obtained during this session were used for AEP analysis of different sleep-wake states in the present report. In a later experimental phase the white noise was used as a CS announcing a footshock (0.5 mA, 0.5 s). Two conditioning sessions of each 40 CS-US trials at intervals of 1-2 min were applied. Heart rate responses to the CS were used to verify successful conditioning.⁸ Experiments were performed in darkness and conditioning chambers were cleaned with 70% ethanol after each use. After the experiment rats were perfused and the brains were removed for verification of correct electrode positions using a standard cresyl violet staining. All rats had electrodes positioned in or at the immediate border of the lateral amygdalar nucleus.⁸

Brain Vision Analyzer (Brain Products GmbH, Munich, Germany) was used for EEG inspection and AEP averaging. A 50-Hz notch filter was applied offline. In each rat all 200 stimulus presentations in the EEG were classified as occurring during one of three sleep-wake states or marked for rejection because of EEG artifacts. Periods of slow-wave sleep (SWS) were easily identified by large delta oscillations in the EEG and periods of wakefulness were recognized by low-amplitude fast-frequency EEG (beta activity). Last, a state of 'drowsiness' was distinguished, identified by EEG of clearly larger deflections than the low-amplitude wakefulness EEG, but not as large and slow as during SWS. Eight out of ten rats had a sufficient amount EEG during SWS to obtain reliable AEPs. There were also eight rats for which drowsiness AEPs could be made (six of these also had a SWS AEP). Wakefulness AEPs were obtained in all ten rats and in order to exclude novelty effects averaging was restricted to the last 40 stimulus presentations, as described before.⁸ EEG recorded during the fear conditioning sessions was used as a separate 'fear state' and AEPs were acquired for all ten rats.

In general, AEPs were obtained by cutting the EEG into segments ranging from 100 ms before, till 500 ms after stimulus onset. Each segment was baseline corrected relative to the 100-ms pre-stimulus period and segments were subsequently averaged. Given the broad shape of the N150, the mean amplitude in the 100-200 ms poststimulus time window was used for statistical analysis. Because different (numbers of) rats were included in the AEPs of the various sleep-wake states, no omnibus repeated measures ANOVA could be performed, instead we used t-tests for dependent samples.

RESULTS AND DISCUSSION

Figure 1 shows the AEPs obtained during the different sleep-wake states and during fear conditioning. Compared to wakefulness, the N150 was significantly reduced (less negative) during both drowsiness ($t(7) = -2.99, p < .05$) and SWS ($t(7) = -5.58, p < .01$; Figure 1B). The N150 disappeared during drowsiness and had a positive instead of negative polarity during SWS. The difference between drowsiness and SWS was also significant ($t(5) = -4.97, p < .01$). There was a substantial increase in N150 amplitude during Pavlovian fear conditioning compared to pre-conditioning wakefulness ($t(9) = 3.35, p < .01$). Figure 1B summarizes all statistical comparisons.

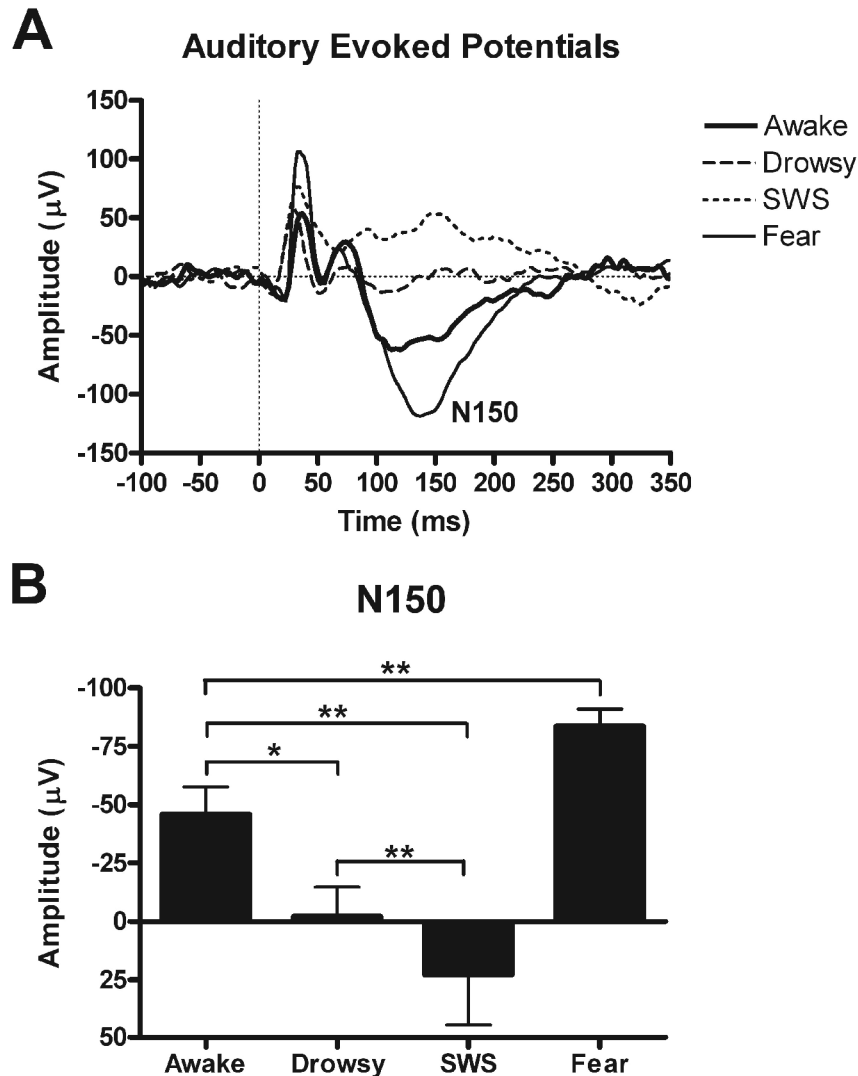


Figure 1. A: Auditory Evoked Potentials from the amygdala evoked by a white noise stimulus during states of wakefulness, drowsiness, slow wave sleep (SWS) and in a fear conditioning procedure in which the same stimulus served as a conditioned stimulus (CS) predicting foot shock delivery. B: Mean N150 amplitude in each of the four states of panel A. Error bars represent SEM. **, $p < .01$; *, $p < .05$.

These results demonstrate that an animal has to be in an awake state in order for a stimulus to elicit a N150. When an animal is no longer actively awake, as during drowsiness and SWS, the N150 disappears completely. Thus, wakefulness is a necessary condition for N150 evocation. Previous work by us repeatedly showed that the N150 increases during fear

conditioning compared to pre-conditioning recordings during wakefulness.⁵⁻⁸ There is evidence that this increase is related to increases in emotional arousal, since CSs that evoke heart rate responses cause a N150 increase whereas as CSs that don't evoke such responses do not increase the N150.⁸ Furthermore, the N150 decreases if a stimulus is repetitively presented alone in a habituation protocol and this decrement is correlated with decreases in basal heart rate.^{7,8} Thus, our previous work suggests that the N150 is related to arousal and we now show that the N150 disappears during states that are the opposite of arousal, namely drowsiness and SWS. These results are in line with our hypothesis that the N150 is related to emotional arousal.

CONCLUSIONS

We determined the effects of different sleep-wake states on the N150 component of the AEP from the rat lateral amygdala, a component that is associated with emotional arousal responses. It was found that the N150 is present during wakefulness, but disappears during drowsiness and slow wave sleep (SWS). We conclude that a state of wakefulness is a necessary condition for the evocation of the N150 and that these results provide further support for the arousal hypothesis of the N150.

REFERENCES

- ¹ Pape HC, Paré D. Plastic synaptic networks of the amygdala for the acquisition, expression, and extinction of conditioned fear. *Physiol Rev* 2010; 90:419-63.
- ² Shin LM, Liberzon I. The neurocircuitry of fear, stress, and anxiety disorders. *Neuropsychopharmacology* 2010; 35:169-91.
- ³ Maren S. Neurobiology of Pavlovian fear conditioning. *Annu Rev Neurosci* 2001;24:897-931.
- ⁴ Sehlmeier C, Schoning S, Zwitserlood P, et al. Human fear conditioning and extinction in neuroimaging: a systematic review. *PLoS One* 2009; 4:e5865.
- ⁵ Knippenberg JM, van Luijtelaar EL, Maes JH. Slow late component in conditioned stimulus-evoked potentials from the amygdala after fear conditioning in the rat. *Neural Plast* 2002; 9:261-72.
- ⁶ Knippenberg JM, Maes JH, Kuniecki MJ, Buyse BA, Coenen AM, van Luijtelaar G. N150 in amygdalar ERPs in the rat: is there modulation by anticipatory fear? *Physiol Behav* 2008; 93:222-8.
- ⁷ Knippenberg JM, Maes JH, Coenen AM, van Luijtelaar GL. Influence of emotional arousal on the N150 of the Auditory Evoked Potential from the rat amygdala. *Acta Neurobiol Exp* 2009; 69:109-18.
- ⁸ Knippenberg JM, Maes JH, Coenen AM, van Luijtelaar G. Effect of appetitive Pavlovian conditioning on the N150 of the amygdalar Auditory Evoked Potential in the rat. *Brain Res* 2009; 1267:57-64.
- ⁹ Coenen AM. Neuronal activities underlying the electroencephalogram and evoked potentials of sleeping and waking: implications for information processing. *Neurosci Biobehav Rev* 1995; 19:447-63.
- ¹⁰ Meeren HK, van Cappellen van Walsum AM, van Luijtelaar EL, Coenen AM. Auditory evoked potentials from auditory cortex, medial geniculate nucleus, and inferior colliculus during sleep-wake states and spike-wave discharges in the WAG/Rij rat. *Brain Res* 2001; 898:321-31.
- ¹¹ Paxinos G, Watson C. The rat brain in stereotaxic coordinates. San Diego: Academic Press, 1998.

THE ADDED VALUE OF DIM LIGHT MELATONIN ONSET IN DIAGNOSING IDIOPATHIC DELAYED SLEEP PHASE SYNDROME

W.J.Kruithof^a, M. Smits^b, L.L. Teunissen^c

^a Medical student University of Utrecht, the Netherlands

^b Hospital Gelderse Vallei Ede, the Netherlands

^c St. Antonius hospital, Nieuwegein, the Netherlands

INTRODUCTION

Delayed Sleep Phase Disorder (DSPS) is a Circadian Rhythm Sleep Disorder. In the International Classification of Sleep Disorders (ICSD) DSPS is described as 'a persistent pattern of late sleep onset and late awakening times, with an inability to fall asleep and awaken at a desired earlier time'.^{1,2} Patients suffer from sleep deprivation during the day, which results in educational and occupational problems. Opposing, on weekends or during holidays, when patients are not obliged to get up on a socially preferred time, they fall asleep at a 'late' bedtime, often past 2 a.m., and wake up 'late', without complaints of sleep maintenance or daily-functioning.³ It appears the most common sleep-wake scheduling disorder and accounts for 10% of chronic insomnia patients.⁴

DSPS usually develops during adolescence, but sometimes in early childhood.² The pathophysiology of DSPS is still unclear, yet there are multiple hypotheses about its physiological or genetic basis. One of them suggests the influence of melatonin. Melatonin (5-methoxy N-acetyltryptamine) is secreted primarily by the pineal gland. Its concentration increased rapidly after the onset of darkness to a peak in the middle of the night and afterwards declines near one's habitual waking time. It is postulated that DSPS patients have a delayed onset of melatonin secretion through the night.⁵ The onset of dim light melatonin secretion, or the dim light onset melatonin (DLMO) phase defines a 24 hour light/dark cycle in healthy individuals. It can be easily measured from saliva and therefore could be useful for assessing phase delays in patients suspected for DSPS.⁵

At this moment the diagnosis of DSPS is based on the clinical history of the patient. ICSD-criteria recommend polysomnography to rule out other diagnoses like snoring, sleep apnoea syndrome or periodic leg movement during sleep.² However, as many sleep disorders are presented with similar symptoms to DSPS, it is frequently misdiagnosed and treated inappropriately^{6,7}. DSPS might be associated with several co-morbidities, i.e. ADHD^{8,9} and chronic whiplash syndrome¹⁰. We restricted our study to idiopathic DSPS.

The aim of this literature study is to investigate the potential added value of DLMO in diagnosing DSPS in patients suspected for delayed sleep phase syndrome.

METHODS

Definitions

DLMO from saliva is measured at scheduled time points until the patient falls asleep. Melatonin onset is established at the moment when melatonin level increases above 4pg/mL and remains elevated through following sampling.⁵

Search strategy

A systematic literature search of Pubmed, EMBASE and CINAHL was performed on the 18th of March 2010. In order to find relevant original articles various synonyms within the determinant (e.g. DLMO-tests) and the outcome (e.g. DSPS) were combined. The determinant was defined by the DLMO test and synonyms of melatonin to include descriptions as 'measuring melatonin'. To minimise reporting and retrieving bias, the domain (i.e. patients suspected for DSPS) was excluded in the search syntax. Determinant and outcome were searched in title and abstract field. For the search in EMBASE 'Medline' was excluded, to avoid double search in Pubmed/Medline. After filtering doubles, title and abstract were screened using predetermined inclusion- and exclusion criteria shown in the flowchart (fig 1). Only original articles were included, not reviews. Of the remaining articles full-texts were retrieved, otherwise, authors were approached personally. Full texts of the available articles were studied, using also predetermined inclusion- and exclusion criteria (fig 1). The references of remaining articles were studied to identify missing relevant studies not identified by this search strategy.

Critical appraisal

The relevance, size of study population, study design, validity and statistics were critically appraised. A cross-sectional study design is acknowledged as the most desired one for diagnostic studies, because it compares a new test with a reference standard and shows the added value of the new test.^{11,12}

RESULTS

The literature search (fig.1) yielded 2779 articles, after filtering doubles and screening on title and abstract 21 articles were extracted for full-text analysis. Of the 19 full-text articles available, 6 were relevant considering the clinical query. Unfortunately, two of them investigated DSPS with ADHD as co-morbidity^{8,9} and were excluded. The remaining four were selected for critical appraisal (table 1).

All four studies reported significant phase delay of salivary melatonin secretion onset in DSPS patients compared to controls.¹³⁻¹⁶ However, three out of four studies (Chang et al.¹⁴, Wyatt et al.¹⁵ and Nagtegaal et al.¹⁶) did not answer the clinical query completely.

In these studies melatonin rhythms and sleep schedule differences in DSPS patients were analysed without investigating a potential added value of the DLMO test to other diagnostic instruments. Therefore, these studies were considered as next best evidence.

The best evidence to answer the clinical question is the study of Rahman et al.¹³ Rahman et al.¹³ conducted a cross-sectional study on fifty-six participants suspected for DSPS. Sleep diary and polysomnography, were used.² Subsequently all participants underwent DLMO testing to evaluate the circadian rhythm delay. The potency of DLMO in diagnosing DSPS was compared to the reference standard. Based on the presented data, it was possible to calculate the positive predictive value (87.5%, CI 79%-96%) and negative predictive value (87.5%, CI 79%-96%) of the test together with pre- and post-test probability (55%, CI 42%-68%, and 87.5%, CI 79%-96%, respectively). The absolute added value of DLMO in diagnosing DSPS in young adults in this study was 32.5%.

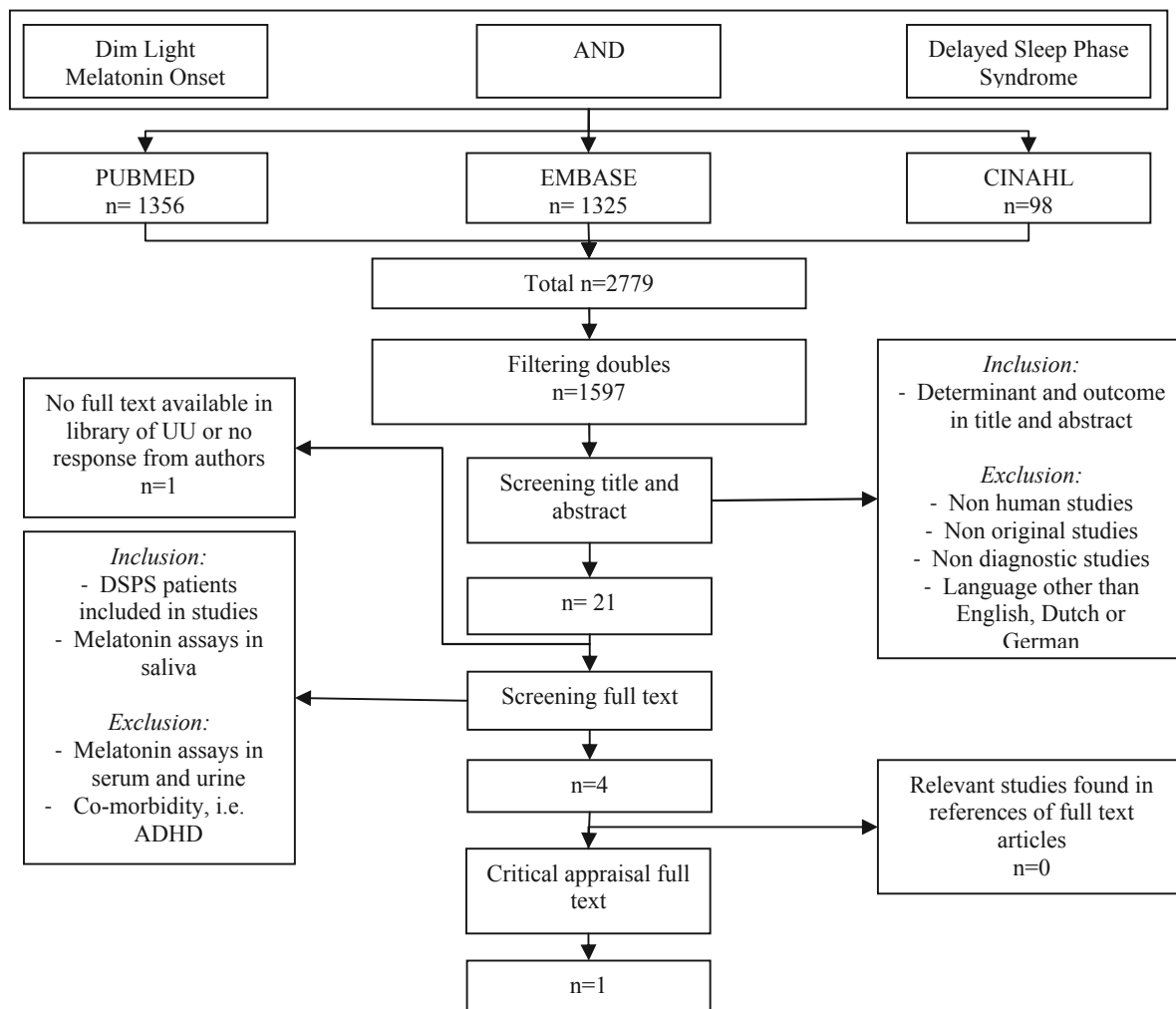


Figure 1. Flowchart

DISCUSSION

The aim of this literature study was to investigate the potential added value of DLMO in diagnosing DSPS. Only one article was able to answer the clinical query. Rahman et al.¹³, showed 32.5% added value of DLMO above reference test for diagnosing DSPS in adults. In the remaining three case-control studies only a significant difference in melatonin onset time between DSPS patient and controls was demonstrated (Chang et al.¹⁴, Wyatt et al.¹⁵ and Nagtegaal et al.¹⁶). This only indicates a potential value of DLMO as a diagnostic test. Of course, some limitations of this evidence based case report should be mentioned. Firstly, the fact that only one appropriate article was available. This could probably be explained by the facts that the presented subject is hardly examined or negative outcomes are less often published. The remaining article¹³ presented a small amount of patients, which could lead to less representative results. Also the lack of outcome blinding in this article could lead to information bias. Secondly, Rahman et al.¹³ used a polysomnography together with medical records as a reference standard. Polysomnography is not used routinely in the diagnosis of DSPS. Unsatisfactory, the added value of DLMO to patient characteristics and medical records only remains unknown. Thirdly, a more general limitation should be mentioned.

Table 1. Critical appraisal

Article	Relevance			Population	Study design ^e	Validity				Statistics	Level of evidence ^m		
	Domain ^a	Determinant ^b	Outcome ^c	Number of patients with DSPS vs controls ^d		Blinding ^f	Standardisation ^g	Stratification ^h	Missing data (n) ⁱ	Transparency of missing data ^j	Possibility to determine absolute difference ^k	Possibility to determine 95% CI ^l	
Rahman et al., 2009 ¹³	●	●	●	56 vs 0	CS ●	○	●	○	0	●	●	●	8/10
Wyatt et al., 2006 ¹⁵	●	●	●	8 vs 8	CC ○	○	●	○	0	●	○	○	5/10
Chang et al., 2009 ¹⁴	●	●	●	66 vs 56	CC ○	○	●	○	105	○	○	○	4/10
Nagtegaal et al., 1998 ¹⁶	●	●	●	3 vs 0	PS ○	○	●	n/a	0	●	○	○	4/10

^aDomain: ● adults suspected for DSPS, ○ no suspicion; ^bDeterminant: ● DLMO, ○ no DLMO; ^cOutcome: ● DSPS, ○ no DSPS; ^dPopulation: population size in numbers; ^eStudy design: ● CS cross sectional, ○ CC case- control, ○ PS patient series; ^fBlinding (determinant and outcome): ● yes, ○ no; ^gStandardisation: ● yes, ● moderate, ○ no; ^hStratification: : ● yes, ○ no, n/a not applicable; ⁱMissing data: in numbers; ^jTransparency of missing data: ●yes, ○ no; ^kPossibility to determine absolute difference: ● yes, ○ no; ^lPossibility to determine 95% CI: ● yes, ○ no; ^mLevel of evidence: ● 1 point, ● ½ point ○ 0 points; Missing data and transparency counts for one point

A DLMO-test describes the moment of melatonin increase, but according to the ICSD-criteria it is still unclear how much later this rise must be seen in DSPS patients compared to controls. DSPS patients fall asleep typically after 2.00 am, and melatonin onset could be expected around this time, but this remains not evidence based.² Finally, in literature there is no cut off point determined above which an added value is sufficient. To analyse a diagnostic test a blinded cross-sectional study is recommended to evaluate test characteristics. After which a multivariate regression analyses should be performed to evaluate other risk factors, such as age, gender and comorbidity. Cost-effectiveness and clinical outcome in terms of comorbidity should also be investigated.^{11,12}

CONCLUSION

Only one study investigating the added value of DLMO in diagnosing DSPS was found after an extensive literature search. The added value above sleep diary combined with polysomnography was 32.5% in this study. To answer the question whether a DLMO-test has an added value in diagnosing DSPS to clinical history more research should be done. For

instance a cross-sectional study to compare DLMO to clinical history, in a larger study population, with multivariate regression and cost-effectiveness analyses.

REFERENCES

- ¹ American Psychiatric Association. Diagnostic and Statistical Manual of Mental Disorders-Fourth Edition. Washington, DC: American Psychiatric Association, 2007
- ² Rochester MN. The International Classification of Sleep Disorders Revised: Diagnostic and coding Manual. Chicago, Illinois: American Academy of Sleep Medicine, 2001
- ³ Wyatt JK. Delayed sleep phase syndrome: pathophysiology and treatment options. *Sleep* 2004; Sep 15;27(6):1195-203
- ⁴ Regestein QR, Monk TH. Delayed sleep phase syndrome: a review of its clinical aspects. *American Journal of Psychiatry* 1995; (152):602-8.
- ⁵ Crowley SJ, Acebo C, Carskadon MA. Sleep, circadian rhythms, and delayed phase in adolescence. *Sleep Medicine* 2007 Sep; 8(6):602-12.
- ⁶ Dagan Y. Circadian rhythm sleep disorders. *Sleep Medicine Reviews* 2002; 6(1):45-54
- ⁷ Morgenthaler TI, Lee-Chiong T, Alessi C, Friedman L, Aurora RN, Boehlecke B, et al. Practice parameters for the clinical evaluation and treatment of circadian rhythm sleep disorders. An American Academy of Sleep Medicine report. *Sleep* 2007; Nov 1;30(11):1445-59.
- ⁸ Van der Heijden KB, Smits MG, Van Someren EJ, Gunning WB. Idiopathic chronic sleep onset insomnia in attention-deficit/hyperactivity disorder: a circadian rhythm sleep disorder. *Chronobiology International* 2005;22(3):559-70.
- ⁹ Van Veen MM, Kooij JJS, Boonstra AM, Gordijn MCM, Van Someren EJW. Delayed Circadian Rhythm in Adults with Attention-Deficit/Hyperactivity Disorder and Chronic Sleep-Onset Insomnia. *Biol Psychiatry* 2010;xx:xxx (Article in press)
- ¹⁰ Wieringen S van, Jansen T, Smits M et al. Melatonin for chronic whiplash syndrome with delayed melatonin onset. Randomised, placebo-controlled trial. *Clin. Drug Invest.* 2001; 21: 813-820
- ¹¹ Moons KGM, Van der Graaf Y. Evaluation of the added value of a diagnostic test. *Nederlands Tijdschrift voor Geneeskunde* 2000; 144: 1256-61
- ¹² Schaafsma JD, Van der Graaf Y, Rinkel GJE, Buskens E. Decision analysis to complete diagnostic research by closing the gap between test characteristics and cost-effectiveness. *J. Clin. Epidemiol.* 2009 Dec;62(12):1248-52.
- ¹³ Rahman SA, Kayumov L, Tchmoutina EA, Shapiro CM. Clinical efficacy of dim light melatonin onset testing in diagnosing delayed sleep phase syndrome. *Sleep Medicine* 2009; May;10(5):549-55.
- ¹⁴ Chang AM, Reid KJ, Gourineni R, Zee PC. Sleep timing and circadian phase in delayed sleep phase syndrome. *Journal of Biological Rhythms* 2009; Aug;24(4):313-21.
- ¹⁵ Wyatt JK, Stepanski EJ, Kirkby J. Circadian phase in delayed sleep phase syndrome: predictors and temporal stability across multiple assessments. *Sleep* 2006; Aug 1;29(8):1075-80.
- ¹⁶ Nagtegaal E, Peeters T, Swart W, Smits M, Kerkhof G, van der Meer G. Correlation between concentrations of melatonin in saliva and serum in patients with delayed sleep phase syndrome. *Therapeutic Drug Monitoring* 1998; Apr;20(2):181-3.

GRADUAL TERMINATION OF SHORT TERM MELATONIN TREATMENT IN CHILDREN WITH DELAYED DIM LIGHT MELATONIN ONSET: EFFECTS ON SLEEP, HEALTH, BEHAVIOR PROBLEMS, AND PARENTING

Annette van Maanen^a, Anne Marie Meijer^a, Marcel G. Smits^b, Frans J. Oort^{a,c}

^a Research Institute Child Development and Education, University of Amsterdam

^b Hospital Gelderse Vallei, Ede

^c Department of Medical Psychology, Amsterdam Medical Centre

INTRODUCTION

In the sleep-wake cycle melatonin plays a central role. Melatonin is a hormone that is produced in the pineal gland in the brains and increases sleep propensity. Melatonin secretion increases soon after the onset of darkness and is inhibited during the day. However, in some children melatonin secretion is delayed, resulting in problems falling asleep at an appropriate time. Exogenous melatonin administration, if well timed, can advance melatonin secretion.¹ Several studies found direct positive treatment effects on sleep onset and health or behavioral outcomes.^{2,3} However, whether these effects continue after treatment discontinuation and what treatment duration is advisable, is still not clear.

Despite several studies reporting no adverse effects of long term melatonin use,^{4,5} there is still a theoretical risk of delayed puberty onset. Besides, children should not be treated longer than necessary. Therefore, short term treatment is preferred above long term treatment. In this study the effects of a short term melatonin treatment were investigated. Since in a previous study positive effects of melatonin disappeared after immediate treatment discontinuation,⁶ treatment was gradually discontinued in the present study. In addition, in this way it could be examined whether lower doses would suffice after melatonin use for a few weeks.

The main research question was: *What are the effects on chronobiotic and hypnotic sleeping behaviour, health, behaviour problems, parenting stress, and parenting in children with chronic sleep onset insomnia after three weeks treatment with melatonin and after two stop weeks with gradual discontinuation?* A second research question was: *Do behavior problems at baseline influence the effect of melatonin treatment?* Both subjective (sleep diaries and questionnaires) and objective (actometers, Dim Light Melatonin Onset (DLMO)) measures were used to answer these questions.

METHODS

Procedure and participants

The study was conducted in the Centre for Sleep-Wake disorders and Chronobiology in Hospital Gelderse Vallei in the Netherlands. Participants were 41 children between 5 and 12 years old (mean age 9.43), with chronic sleep onset problems and delayed melatonin secretion. Children were not eligible for participation if they were diagnosed with another sleep disorder (e.g., restless legs syndrome, narcolepsy, obstructive sleep apnea syndrome), if

the sleep onset problems were caused by medical problems (e.g., pain), blindness, or child-psychiatric or family problems, which could explain the sleep onset problems.

Treatment was started on the first Sunday after the appointment in the hospital. All children were instructed to start with a dose of 1 milligram at 19:00 h. If melatonin use did not have an effect, it was allowed to increase the dose with 1 milligram every four days to a maximum of 5 milligrams. Children took melatonin for three weeks. Then, treatment was gradually discontinued by first taking a half dose for one week and then stopping completely for another week. Parents filled in sleep diaries and children wore actometers during this whole period of five weeks. Other measures (questionnaires and DLMO) were obtained at baseline, directly after three weeks treatment and at the end of the stop week.

Measures

Chronobiotic sleeping behavior was measured by determining DLMO in the children's saliva. Children were instructed to chew on a cotton plug hourly from 19:00 to 23:00 hours in the evening by dim light. Children were not allowed to use melatonin in the evenings at which DLMO was measured.

Hypnotic sleeping behavior was measured with sleep diaries filled in daily by parents and with actometers. Actometers were used to obtain more objective information about sleep.

Health status of children was measured with the Functional Status II (FSII).⁷ This is a 14-item questionnaire measuring the consequences of medical conditions for the child's behavior. Cronbach's alpha was 0.57-0.82.

Behavior problems in children were measured with the Child Behavior Checklist (CBCL).⁸ The CBCL is a comprehensive (112 items) questionnaire containing broad band scales and narrow band scales. With the broad band scales internalizing and externalizing behavior problems can be measured. In this study only total behaviour problems were addressed. As of the length of the scale, behavior problems were only measured at baseline and at the end of the stop week. Reliability was between .95 and .96.

Parenting stress was measured with the Nijmegen Parental Stress Index short version (NOSIK).⁹ The NOSIK is a questionnaire with 17 items that measures to what extent parents experience stress in parenting their child. Reliability was between .93 and .95.

Parenting behavior was measured with the Nijmegen Parenting Questionnaire.¹⁰ Three scales were used, namely affection-expression, responsiveness, and autonomy. Reliability for the total scale was between .86 and .91. In total there are 24 items.

All reliabilities were calculated for measurement occasions and parents apart.

Analyses

Data were analyzed using hierarchical linear models (linear mixed models) in SPSS, treating the repeated observations as nested within children. The different measurements were added as predictors in the models. For the questionnaires and DLMO, these were the different measurement occasions (i.e., at baseline, directly after three weeks treatment, and at the end of the stop week). For the sleep diary data, predictors are the data from different phases in the treatment (baseline, melatonin treatment, week with half dose, and stop week). This is the same for the actometer data, except that baseline data are not available.

RESULTS

First, it was determined which longitudinal structure described the variances and covariances best. Second, predictors were added and the fit of these models was compared to the fit of the models without predictors.

DLMO significantly decreased after treatment. This effect disappeared after treatment termination. Sleep latency measured with sleep diaries (time children spent in bed before falling asleep), was significantly longer at baseline and during stop week compared to treatment. The difference with the half dose treatment was not significant. When behavior problems measured at baseline were added to the model, the fit did not significantly improve ($\chi^2_D(1) = 3.840, p > .050$). Behavior problems at baseline did not influence the effect of the melatonin treatment. For sleep latency measured with the actometers, it was also found that sleep latency was longer in the stop week. Actual sleep time was significantly less in the weeks with half dose and no treatment compared to treatment.

Parents reported a significant improvement in health of their child after treatment. This effect disappeared after termination. Child behavior problems significantly decreased after treatment termination. Parents reported experiencing less parenting stress after treatment. This effect remained after treatment was discontinued. However, melatonin treatment did not result in changes in parenting behavior. The model with predictors added was not significantly better than the empty model ($\chi^2_D(2) = 4.082, p = .130$). See Table 1 for fixed effects, covariance structures, and results of the chi-square difference tests.

Table 1. Results of hierarchical linear models analyses

<i>Dependent variable</i>	<i>Fixed effects^a</i>	<i>Covariance structure</i>	β	<i>s.e.</i>	<i>p</i>	$\chi^2_D(df, p)^b$
DLMO	M2 ^c	AR1 ^e	-87.901	11.632	< .001	37.014 (2, < .001)
	M3 ^d		-5.237	10.880	.633	
Latency (sleep diary)	Baseline	AR1	81.019	4.288	< .001	372.609 (4, < .001)
	Treatment		-	-	-	
	Half dose		2.931	2.809	.298	
	Termination		33.158	3.039	< .001	
Latency (actometer)	Treatment	AR1	-	-	-	175.226 (3, < .001)
	Half dose		.404	2.384	.866	
	Termination		36.591	2.629	< .001	
Actual Sleep Time (actometer)	Treatment	AR1	-	-	-	41.074 (3, < .001)
	Half dose		-8.438	4.178	.044	
	Termination		-29.238	4.611	< .001	
Health	M2	DI ^f	1.818	.578	.002	10.528 (2, .005)
	M3		-.065	.610	.915	
Behavior	M2	DI	-11.178	1.908	< .001	29.741 (1, < .001)
Parenting Stress	M2	AR1	-3.081	.833	< .001	14.437 (2, .001)
	M3		-3.381	1.040	.001	

^a Intercepts are left out due to space considerations

^b Chi-square values indicate differences between models with predictors and empty models

^c M2 = 2nd measurement, directly after treatment

^d M3 = 3rd measurement, directly after the end of the stop week

^e First-order autoregressive

^f Diagonal

DISCUSSION AND CONCLUSIONS

In accordance with what is already known from the literature, this study found that melatonin decreased sleep latency and increased actual sleep time. An interesting finding is that for sleep latency this effect remained during half dose treatment. Behavior problems at baseline did not influence the effects of melatonin treatment.

Apart from the positive effects on sleep, melatonin positively influences health, behavior, and parenting stress. Whereas the effect on health disappeared after treatment discontinuation, it would be interesting to see whether the effects on behavior and parenting stress continue to exist after a longer time. A reversed placebo effect could account for disappearance of some of the positive effects in the stop week. These issues will be further investigated in a future study.

REFERENCES

- ¹ Bjorvatn B, Pallesen S. A practical approach to circadian rhythm sleep disorders. *Sleep Medicine Reviews* 2009; 13; 47-60.
- ² Heijden KB van der, Smits MG, Someren EJW van, Ridderinkhof R, Gunning WB. Effect of Melatonin on Sleep, Behavior, and Cognition in ADHD and Chronic Sleep-Onset Insomnia. *Journal of the American Academy of Child & Adolescent Psychiatry* 2007; 46; 233-241.
- ³ Smits MG, Stel HF van, Heijden KB van der, Meijer AM, Coenen AML, Kerkhof GA. Melatonin Improves Health Status and Sleep in Children With Idiopathic Chronic Sleep-Onset Insomnia: A Randomized Placebo-Controlled Trial. *Journal of the American Academy of Child & Adolescent Psychiatry* 2003; 42; 1286-1293.
- ⁴ Geijlswijk IM van, Mol RH, Heijden KB van der, Egberts ACG, Smits MG. Influence of melatonin treatment on puberty, cognitive and emotional development and sleep in children with chronic idiopathic childhood sleep onset insomnia: long-term follow up. Unpublished observations.
- ⁵ Hoebert M, Heijden KB van der, Geijlswijk IM van, Smits MG. Long-term follow-up of melatonin treatment in children with ADHD and chronic sleep onset insomnia. *Journal of Pineal Research* 2009; 47; 1-7.
- ⁶ Trienekens N, Meijer AM, Smits MG. Hypnotic and chronobiotic effects after short term melatonin treatment in children with late melatonin onset. Unpublished observations.
- ⁷ Post MWM, Kuyvenhoven MM, Verheij TJM, Melker RA de, Hoes AW. De Nederlandse 'Functional Status II (R)': een vragenlijst voor het meten van de functionele gezondheidstoestand van kinderen. *Nederlands Tijdschrift voor de Geneeskunde* 1998; 142; 2675-2679.
- ⁸ Achenbach TM. *Manual for the Child Behavior Checklist and 1991 Profile*. Burlington: University Associates in Psychiatry; 1991.
- ⁹ Brock AJLL de, Vermulst AA, Gerris JRM, Abidin RR. Nijmeegse ouderlijke stress index: meetinstrument voor de vaststelling van stress bij opvoeders: een uitgebreide versie (NOSI) voor psychodiagnostische doeleinden en een verkorte versie (NOSIK) voor signaleringsdoeleinden. Lisse: Swets & Zeitlinger; 1992.
- ¹⁰ Gerris JRM, Vermulst AA, Boxtel DAAM van, Janssens JMAM, Zutphen RAH van, Felling AJA. Parenting in Dutch families. A representative description of Dutch family life in terms of validated concepts representing characteristics of parents, children, the family as a system and parental socio-cultural value orientations. Nijmegen: University of Nijmegen, Institute of Family Studies; 1993.

SPIKE-WAVE DISCHARGES AND SLEEP-WAKE STATES IN ENTRAINED AND FREE-RUNNING CONDITIONS

Magdalena Smyk¹, Anton Coenen², Marian H. Lewandowski¹,
Gilles van Luijtelaar²

¹ Department of Neurophysiology and Chronobiology, Chair of Animal Physiology, Institute of Zoology, Jagiellonian University, Ingardena 6, 30-060 Kraków, Poland

² Donders Centre for Cognition, Radboud University Nijmegen, PO Box 9104, 6500 HE Nijmegen, The Netherlands

INTRODUCTION

Spike-wave discharges (SWD) are electroencephalographic manifestations of seizure activity in WAG/Rij rats, a well known, validated animal model of human absence epilepsy¹. The occurrence of SWD is influenced by at least two different factors: a circadian factor, which organizes seizures into a 24-hour rhythm, and the state of vigilance, which, dependent on its nature, either enhances or inhibits the generation of SWD. The interdependence of the two factors is obvious: a minimal number of SWD is seen at the beginning of the light phase of the light-dark cycle (12:12 LD), when animals have the highest amount of deep slow-wave sleep. Contrary to deep sleep, light slow-wave sleep and passive wakefulness are the most favorable for the occurrence of SWD^{2,3}.

The light-dark cycle is the strongest synchronizer (Zeitgeber) for the mammalian biological clock. Its absence alters parameters of any circadian rhythm. The most prominent change is the period length, which will deviate from 24 hours. Conditions of constant environmental light has been reported to influence many circadian rhythms such as motor activity, body temperature or sleep-wake cycle^{4,5}.

The purpose of the present study was to investigate whether the strong relationship between the occurrence of SWD and sleep-wake states observed in the 12:12 light-dark regime persists in constant environmental condition (free-running condition, FR), which is known to change the properties of circadian rhythms, including the sleep-wake cycle.

METHODS

Seven adult, male WAG/Rij rats were used in the experiment. Cortical EEG electrodes were implanted under inhalation anesthesia. EEG and motility recordings were made in 12:12 LD (lights on at 7 am, light intensity: 60 Lux) and the FR condition of constant dim light (light intensity: 5 Lux).

Four representative hours were analyzed: the second and penultimate hour of the dark and the light phase of 12:12 LD and the corresponding hours of the active and the passive phase of the FR conditions. During the investigated hours, the amount of four sleep-wake states: active wakefulness (AW), passive wakefulness (PW), slow-wave sleep (SWS), and REM sleep (REM), as well as the number of SWD were counted. To study the relationship between the state of vigilance and the occurrence of SWD, every 5 sec. preceding and following each SWD were analyzed according to the procedure described previously³. Student t-tests for dependent variables were used to estimate statistically significant differences between the two experimental conditions (level of significance: $p < 0.05$). All

experimental procedures were approved by the Animal Ethical Committee of the Radboud University Nijmegen.

RESULTS AND DISSCUISION

SWD were preceded mostly by PW and SWS, while AW and REM were the least probable states. There were no significant differences between LD 12:12 and FR. The percentage of PW was the highest among states of vigilance following the occurrence of SWD in both experimental conditions. This awakening effect has been reported previously in the study of Drinkenburg³. In LD 12:12, AW was the second, whereas SWS and REM were the least probable states to follow SWD. In FR, AW was replaced by SWS, the amount of which appeared to be higher in comparison with LD 12:12. Results are presented in Fig. 1.

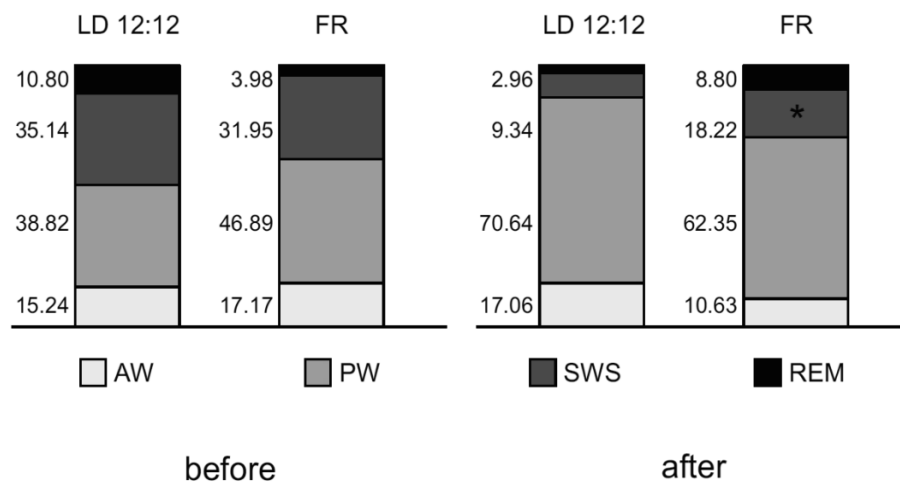


Figure 1. States of vigilance recorded before and after SWD in LD 12:12 and FR conditions. AW = active wakefulness, PW = passive wakefulness, SWS = slow-wave sleep, REM = REM sleep. In the FR, the amount of SWS following SWD was significantly higher, * $p < 0.05$.

In 12:12 LD, the highest number of SWD was recorded at the beginning of the dark phase (mean \pm SEM: 17.28 ± 3.43), after which a decrease was observed with a minimum at the beginning of the light phase (5.14 ± 1.50). At the end of that, the number of SWD increased, reaching similar level as at the beginning of the dark phase (13.88 ± 2.05).

In FR, both the maximum and minimum of the mean number of SWD were shifted. The maximum was recorded at the end of the passive phase (26.43 ± 5.49), while the minimum occurred at the end of the active phase (10.57 ± 4.35). There were no differences in the number of SWD between the two experimental conditions. Results are presented in Fig. 2.

Changes in the number of SWD across time, in both LD 12:12 and FR were found to be correlated with the course of two sleep-wake states: PW and SWS (Fig. 2). Positive correlations were found for PW ($r = 0.78$ in LD 12:12, $r = 0.25$ in FR): the changes over time of both PW and SWD showed a close correspondence. An increase in the amount of PW generally corresponded with the increase of the number of SWD (exception: the end of the passive phase in FR). Negative correlations were found for SWS ($r = -0.67$): changes in the amount of SWS always corresponded with opposite changes in the number of SWD. This correlation was also present in FR condition ($r = -0.81$), in which however, the course of PW and SWS seemed to be disrupted. The maximal amount of PW was shifted from the beginning of the dark phase of LD 12:12 to the beginning of the passive phase of FR. Also the minimum was changed from the beginning of the light phase of LD 12:12 to the end of

the active phase of FR. In the course of SWS, the maximum was shifted from the beginning of the light phase of LD 12:12 to the end of the active phase of FR (Fig. 2).

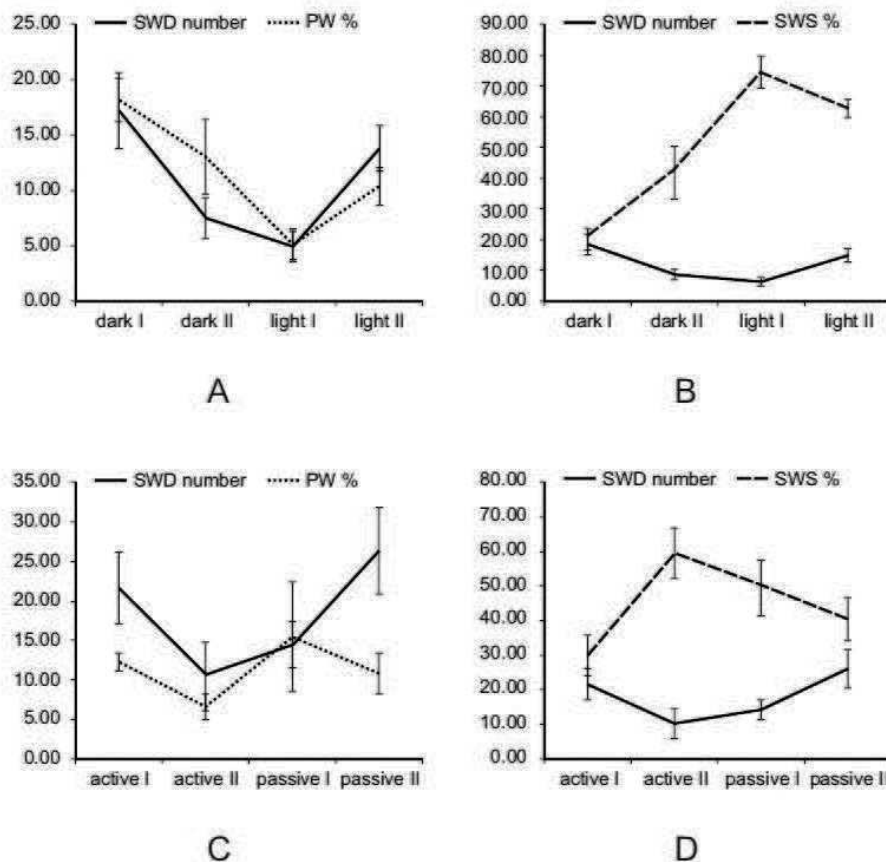


Figure 2. The course of the number of SWD, PW and SWS in LD 12:12 (A, B) and FR (C, D).

The preferred state for the occurrence of SWD is PW and SWS, which supports previous findings in WAG/Rij rats³ and GAERS (another genetic animal model of absence epilepsy)⁶ maintained on LD 12:12. The number of SWD correlated with the amount of PW and SWS. PW is highest at the beginning of the dark phase and decreases continuously to reach a minimum at the beginning of the light phase. At the end of that, an increase is observed. The course of SWS is opposite, with the minimum at the beginning of the dark phase and the maximum at the beginning of the light phase⁷. Our results confirmed these findings, however one should be aware that only 4 hours were analyzed. In the present study a distinction of SWS into light and deep was not made. However, the minimal number of SWD coincide with the beginning of the light phase, the time with the highest amount of deep SWS⁸.

The tendency of SWD to occur predominantly during PW and SWS was preserved in the FR. Similarly, the correlation between the amount of these sleep-wake states and the number of SWD was unchanged, despite the fact that some disturbances in the course of both PW and SWS were found. These alterations suggest that the entire distribution of sleep-wake states is shifted, which has been reported previously for rats maintained in constant dim light⁴.

PW dominated among states of vigilance following the occurrence of SWD in both experimental conditions, which is in accordance with previous findings³. However, this awakening effect of SWD is diminished in FR. Our results suggests that the relationship between SWD and sleep-wake states following their occurrence, might be not so tight as between SWD and the state preceding their occurrence, thus more susceptible to circadian modulation.

CONCLUSIONS

The circadian factor plays a role in organizing the occurrence of SWD into a 24-hour rhythm. However, the relationship between SWD and sleep-wake states seems to be constant and independent from circadian modulation. SWD occur most frequently during passive wakefulness and light slow-wave sleep, regardless whether the circadian timing system is entrained to 12:12 LD or not. Moreover, alterations in the distribution of sleep-wake states caused by the constant environment do not influence this relationship. SWD always occur frequently at phases in which the amount of passive wakefulness is high and quite rare when deep slow-wave sleep dominates.

ACKNOWLEDGEMENTS

The study is supported by a fellowship from Radboud University Nijmegen. The authors would like to thank Gerard van Oijen, Pascal de Water for technical assistance and Saskia Hermeling and Hans Krijnen for biotechnical assistance.

REFERENCES

- ¹ Depaulis A, van Luijtelaar ELJM. Genetic models of absence epilepsy in the rat. In: A. Pitkänen A, Schwartzkroin PA, Moshe SL, eds. *Models of Seizures and Epilepsy*. Amsterdam: Elsevier Academic Press, 2006: 233-248.
- ² van Luijtelaar ELJM, Coenen AML. Circadian rhythmicity in absence epilepsy in rats. *Epilepsy Res.* 1988, 2: 331-336.
- ³ Drinkenburg WH, Coenen AM, Vossen JM, van Luijtelaar EL. Spike-wave discharges and sleep-wake states in rats with absence epilepsy. *Epilepsy Res.* 1991, 9: 218-224.
- ⁴ Borbély AA, Neuhaus HU. Circadian rhythm of sleep and motor activity in the rat during skeleton photoperiod, continuous darkness and continuous light. *J. comp. Physiol.* 1978, 128: 37-46.
- ⁵ Ikeda M, Sagara M, Inoue S. Continuous exposure to dim illumination uncouples temporal pattern of sleep, body temperature, locomotion and drinking behaviour in the rat. *Neurosci. Lett* 2000, 279: 185-189.
- ⁶ Lannes B, Micheletti G, Vergens M, Marescaux Ch, Depaulis A, Warter JM. Relationship between spike-wave discharges and vigilance level in rats with spontaneous petit mal-like epilepsy. *Neurosci. Lett* 1988, 94: 187-191
- ⁷ Borbély AA, Neuhaus HU. Daily pattern of sleep, motor activity and feeding in the rat: effects of regular and gradually extended photoperiod. *J. Comp. Physiol.* 1978, 124: 1-14.
- ⁸ Drinkenburg WH, Coenen AM, Vossen JM, van Luijtelaar EL. Sleep deprivation and spike-wave discharges in epileptic rats. *Sleep* 1995, 18: 252-256.

CHEMOSENSITIVITY IN OBSTRUCTIVE SLEEP APNEA (OSA) ACCORDING TO GENDER AND EFFECT OF LONG-TERM CPAP THERAPY

J. Verbraecken^a, M. Dieltjens^b, H. Vrints^a, E. Oostveen^a, E. Hamans^c, O. Vanderveken^c, P. Van de Heyning^c, W. De Backer^a.

^aDept of Pulmonary Medicine, Antwerp University Hospital and University of Antwerp

^bDept of Dental Medicine, Antwerp University Hospital and University of Antwerp

^cDept of ENT, Antwerp University Hospital and University of Antwerp

INTRODUCTION

Ventilatory control abnormalities, such as inappropriate levels of ventilation in response to hypercapnia, hypoxia, or resistive loading, have been postulated to contribute to the pathogenesis of disordered breathing during sleep¹⁻⁵. In non-hypercapnic OSA patients CO₂ drive is slightly but significantly increased, and one year CPAP therapy may influence CO₂ sensitivity in these patients⁶. However, most patients evaluated in literature are male. Therefore, we wondered whether these findings could be attributed to female OSA patients as well. The present study evaluated the hypercapnic ventilatory response (HCVR) of women in the presence of snoring or OSA and also focused on the effect of long-term CPAP therapy on chemosensitivity.

METHODS

Comparative study (Descriptive study)

6 groups of patients were studied in basic conditions: male controls, female controls, male snorers, female snorers, normocapnic male OSA, normocapnic female OSA. The characteristics of these groups are summarised in Table 1. In the afternoon - before polysomnography - patients underwent the measurement of HCVR according to the Read method (SHCVR: slope; IHCVR: intercept)⁷.

CPAP study

In a second part of the study (CPAP study) 42 patients with predominantly obstructive sleep apnea syndrome (AHI>20, age 55±1 y, BMI 33±1 kg/m², FEV₁ 99±3 %pred) were treated with CPAP. Selected patients (during Night 1) were included into the following study protocol. The day before CPAP titration, patients underwent the assessment of HCVR. Patients then were treated chronically with CPAP at night with a fixed pressure. After at least one month and one year they were studied again with determination of arterial blood gases and HCVR.

RESULTS AND DISCUSSION

301 patients were evaluated (50±1 y; M/F 156/145; BMI 30±1 kg/m²; weight 85±1 kg; height 169±1cm). In 42 OSA patients CO₂ drive was studied at baseline, after 1 M and after 1 y CPAP.

Comparative study

Patients characteristics are shown in Table 1. The breathing pattern of the several groups is depicted in Table 2. The slope of HCVR was significantly higher in male snorers as well as in male OSA, compared to female patients. Male OSA also had a higher SHCVR than male snorers. A statistically significant difference in the intercept was only present between the OSA groups (Table 3).

Table 1. Patients characteristics of the different groups

	Male controls	Female controls	Male Snorers	Female Snorers	Male OSA	Female OSA
N	11	9	59	60	86	76
Age (y)	40±3	40±4	46±1	50±1	52±1* ⁺	54±1* ⁺
BMI (kg/m ²)	23±1	22±1	28±1	29±1*	31±1* ⁺	33±1*
PaCO ₂ (mmHg)	42±1	39±2	40±0 ⁻	38±1	41±0	39±0
PaO ₂ (mmHg)	104±5	98±4	77±2*	89±1	75±1*	85±1 ^X
FEV ₁ (% pred)	103±3	109±5	88±3	106±2 ⁺	87±2*	103±2 ^X
AHI (#/h)	1±1	2±1	2±1	2±1	35±3* ⁺	37±3* ⁻

All data as mean±Standard Error

* p<0.05 compared to controls; ⁺ p<0.05 compared to male snorers; ⁻ p<0.05 compared to female snorers; ^X p<0.05 compared to male OSA

Table 2. Breathing pattern of the study groups

	Male controls	Female controls	Male Snorers	Female Snorers	Male OSA	Female OSA
AHI (#/h)	1±1	2±1	2±1	2±1	35±3* ⁺	37±3* ⁻
AI (#/h)	0±0	0±0	1±1	1±1	22±2* ⁺	10±2* ^{+X}
OAI (#/h)	0±0	0±0	0±0	0±0	15±2* ⁺	9±2* ⁻
CAI (#/h)	0±0	0±0	0±0	0±0	7±1* ⁺	1±0 ^X
HI (#/h)	1±1	1±1	1±1	1±1	13±2* ⁺	26±2* ^{+X}

All data as mean±Standard Error; AI: apnea index; OAI: obstructive apnea index; CAI: central apnea index; HI: hypopnea index.

* p<0.05 compared to controls; ⁺ p<0.05 compared to male snorers; ⁻ p<0.05 compared to female snorers; ^X p<0.05 compared to male OSA

Table 3. Hypercapnic ventilatory response during wakefulness in all patients

	Male controls	Female controls	Male Snorers	Female Snorers	Male OSA	Female OSA
SHCVR (l/min/mmHg)	2.04±0.26	1.13±0.17	1.67±0.12	1.12±0.12 ⁺	2.2±0.11 ⁺	1.60±0.06 ^X
IHCVR (mmHg)	42±2	44±7	37±2	36±1	40±1	31±2 ^X

All data as mean±Standard Error

⁺ p<0.05 compared to male snorers; ^X p<0.05 compared to male OSA

CPAP study

42 patients met the inclusion criteria (Table 4). Mean objective CPAP compliance, based on built-in time counters, was 5.9 ± 0.4 h/night after 1 year CPAP therapy. Results of HCVR and arterial gas exchange are shown in Table 5. In all patients CO₂ drive was high and accounted as a mean for 1.86 ± 0.16 l/min/mm Hg before treatment.

Table 4. Characteristics of OSA patients treated with CPAP

	All OSA	Male OSA	Female OSA
N	42	20	22
Age (y)	54±1	51±2	56±2 ^x
BMI (kg/m ²)	33±1	33±1	34±1
AHI (#/h)	47±3	46±5	48±4
PaCO ₂ (mmHg)	41±1	42±1	40±1
PaO ₂ (mmHg)	77±2	73±2	81±2 ^x
FEV ₁ (%pred)	100±3	92±5	108±4 ^x

All data as mean±Standard Error

^x p<0.05, compared to male OSA

Overall, a significant decrease of SHCVR could be observed after 1 y CPAP therapy. SHCVR was significantly higher in male OSA at baseline (p<0.01) and could be normalised after 1 y CPAP. However, in female OSA CPAP did not influence SHCVR, although increase in PaO₂ occurred (p=0.03) with unchanged PaCO₂ (p=0.51). Also a small but statistically significant decrease in BMI could be observed in both study groups.

Table 5. Hypercapnic ventilatory response and arterial gas exchange at inclusion and during CPAP application

		Night 1	One month CPAP	One year CPAP
SHCVR (l/min/mmHg)	Overall	1.86±0.16	1.76±0.19	1.55±0.23 *
	Male OSA	2.37±0.22	2.21±0.23	1.79±0.18 *
	Female OSA	1.49±0.21	1.43±0.30	1.37±0.39
PaCO ₂ (mmHg)	Overall	41±1	41±1	39±1 *+
	Male OSA	42±1	41±1	40±1
	Female OSA	40±1	40±1	39±1
PaO ₂ (mmHg)	Overall	77±2	82±1	83±2 *
	Male OSA	73±2	77±2	79±2
	Female OSA	81±2	85±2	87±2 *
BMI (kg/m ²)	Overall	34±1	33±1 *	32±1 *+
	Male OSA	34±1	33±1	32±1 *
	Female OSA	34±1	33±1	32±1 *+

All data as mean±Standard Error

* p<0.05 compared to Night 1; + p<0.05 compared to one month CPAP

The increased chemosensitivity in OSA is probably due to a progressive ‘resetting’ of the chemoreceptors (with higher sensitivity as a result), by a repetitive stimulation of these chemoreceptors^{8,9}. Consequently, enhanced chemical drive will lead to more ventilatory oscillations during sleep,¹⁰ and can lead to more severe OSA. Therefore, this enhanced SHCVR in male OSA is probably an explanation why OSA is more prevalent, but also more severe in male than in female. Moreover, our study demonstrated that chronic CPAP therapy

can decrease SHCVR in male OSA patients, paralleled by an improvement in arterial gas exchange. Since baseline SHCVR was only elevated in male OSA, CPAP will downregulate SHCVR exclusively in this patient category.

CONCLUSIONS

In snoring and OSA sex differences can influence CO₂ drive and these findings may support evidence for a contribution of respiratory control to the pathogenesis of OSA. Long-term 1-y CPAP therapy can reset and downregulate CO₂ drive to normal values in OSA, but only in case of male gender. Therefore, other factors must be involved as well to explain the pathophysiology of OSA in women.

REFERENCES

- ¹ Kunitomo F, Kimura H, Tatsumi K. Abnormal breathing during sleep and chemical control of breathing during wakefulness in patients with sleep apnea syndromes. *Am Rev Respir Dis* 1989; 139:164-169.
- ² Lopata M, Onal E. Mass loading, sleep apnea, and the pathogenesis of obesity hypoventilation. *Am Rev Respir Dis* 1982; 126:640-645.
- ³ Wiegand L, Zwillich CW, White DP. Sleep and ventilatory response to resistive loading in normal men. *J Appl Physiol* 1988; 64:1186-1195.
- ⁴ Zwillich CW, Sutton FD, Pierson DJ, Creagh EM, Weil JV. Decreased hypoxic ventilatory drive in obesity hypoventilation syndrome. *Am J Med* 1975; 59:343-347.
- ⁵ Verbraecken J, De Backer W. Upper airway mechanics. *Respiration* 2009; 78:121-133.
- ⁶ Verbraecken J, Willemsen M, Wittesaele W, De Cock W, Van de Heyning P, De Backer W. Influence of longterm CPAP therapy on CO₂ drive in patients with obstructive sleep apnea. *Respir Physiol* 2000; 123:121-130.
- ⁷ Read DJC. A clinical method for assessing the ventilatory response to carbon dioxide. *Australian Ann Med* 1967; 16:20-32.
- ⁸ Guilleminault C, Cumiskey J. Progressive improvement in apnea index and ventilatory response to CO₂ after tracheostomy in obstructive sleep apnea syndrome. *Am Rev Respir Dis* 1982; 126:14-20.
- ⁹ Verbraecken J, De Backer W, De Cock W, Wittesaele W, Van de Heyning P. Chronic CO₂ drive in patients with obstructive sleep apnea, heavy snoring and healthy controls. Effect of CPAP. *Respiration Physiology* 1995; 101:279-287.
- ¹⁰ Chapman KR, Bruce EN, Gothe B, Cherniack NS. Possible mechanisms of periodic breathing during sleep. *J Appl Physiol* 1988; 64:1000-1008.

PREVALENCE OF COMPLEX SLEEP APNEA (COMPSAS) AND CLINICAL AND POLYSOMNOGRAPHIC CHARACTERISTICS

J. Verbraecken^a, L. Schoonis^a, B. Verplancke^a, O. Vanderveken^b, E. Hamans^b, A. Boudewyns^b, P. Van de Heyning^b, W. De Backer^a.

^aDept of Pulmonary Medicine, Antwerp University Hospital and University of Antwerp;

^bDept of ENT, Antwerp University Hospital and University of Antwerp

INTRODUCTION

Last years CompSAS has been proposed as a prevalent disorder, presenting during CPAP titration in patients with predominantly obstructive sleep apnea hypopnea¹⁻¹⁰. Prevalence data differ between 2.5% and 15%. Most studies are however performed in the USA, Japan and Australia. Large European studies are however lacking. Moreover, it could be questioned whether CompSAS is a real clinical entity or just a polysomnographic observation^{7,8}. Previous studies reported a higher prevalence in male OSA, a lower BMI, and/or a higher incidence of ischemic heart disease and chronic heart failure^{2,4,6}. Others suggest that these central apneas are provoked due to application of inappropriately high CPAP pressures. Conclusions are however speculative^{7,8}. Besides this, CompSAS is equivalent to CPAP emergent sleep apneas, and hence, to disturbed sleep and persisting complaints. As a consequence, this can lead to more CPAP failure, lower CPAP compliance, repeated sleep studies, unchanged morbidity and mortality during CPAP therapy, hence to an increased burden to the patient. Therefore, CompSAS is a real challenge in sleep medicine. The aim of the present study is to evaluate the prevalence of CompSAS during routine manual CPAP titration, in a clinical sleep disorders centre and to describe the clinical characteristics of CompSAS patients, compared to OSA patients with a normal response during CPAP titration.

METHODS

Prevalence study

392 CPAP titration nights during polysomnography, obtained between 1991 and 1998, were retrospectively evaluated. 50 studies performed in patients with predominantly central sleep apnea hypopnea (AHI>20, CAI>OAI) were excluded from analysis. CompSAS was considered if during CPAP titration: OAI decreased, while CAI increased (≥ 5), with predominantly central apneas ($>50\%$ of AI)². OSA patients who demonstrated a normal response during CPAP titration were defined as 'normal' OSA. In our routine titration procedure CPAP pressure is only carefully elevated when central apneas develop.

Clinical and polysomnographic evaluation

In addition, the patients files of all CPAP titrations between 1991 and 2009 were screened for CompSAS. Clinical and polysomnographic data in these CompSAS patients were obtained from our routine sleep questionnaire (which enquired about the symptoms of disturbed sleep), physical examination, arterial blood gas analysis, lung function testing and polysomnography. Symptom severity were graded by the patients from 0 (absent) to higher

values (severe) [e.g. snoring from 0 to 4, excessive daytime sleepiness from 0 to 3, falling asleep during the daytime from 0 to 2, memory losses from 0 to 1, alertness in the morning from 0 to 1, and so on]. The characteristics of CompSAS patients were compared with data obtained in ‘normal’ OSA patients from the prevalence study.

RESULTS AND DISCUSSION

Prevalence study

349 CPAP titrations were evaluated (262 patients with predominantly OSA and 87 patients with sleep hypopnea syndrome)(Table 1). When this group was evaluated overall, only 9 patients demonstrated a CompSAS pattern (2.6%). Optimal pressure was determined at 7±1 mbar. Comparison between ‘normal’ OSA and CompSAS did not show any significant difference concerning age, BMI, arterial blood gases, routine lung function pattern, severity of hypoxemia, AHI or alveolo-arterial oxygen gradient. Due to the low number of CompSAS patients, a reliable comparison could not be made. In 303 ‘normal’ OSA a complete dataset was available (including sleep questionnaire and physical examination as well), which was used for the clinical and polysomnographic comparison study.

Table 1. Patients characteristics of “normal” OSA and CompSAS patients (prevalence study).

	<i>‘Normal’ OSA</i>	<i>CompSAS</i>
N	340	9
M/F	297/43	9/0
Age (y)	51±11	52±9
BMI (kg/m ²)	32±6	33±9
PaCO ₂ (mmHg)	39±4	37±2
PaO ₂ (mmg)	78±11	82±12
FEV ₁ (%pred)	92±20	87±13
FEV ₁ /VC	98±19	99±14
TLC (%pred)	100±13	100±13
AHI (#/h)	57±31	55±37
OAI (#/h)	23±25	31±23
CAI (#/h)	3±7	5±7
HI (#/h)	31±21	19±21
SaO ₂ <90% (min)	26±30	21±15
MinSaO ₂ (%)	72±13	67±10
AaDO ₂ (mmHg)	22±11	20±12

All data as Mean±SD; M/F: male/female ratio; BMI: body mass index; PaCO₂: partial arterial CO₂ pressure; PaO₂: partial arterial oxygen pressure; FEV₁: forced expiratory volume in one second; VC: vital capacity; FEV₁/VC: tiffenau ratio; AHI: apnea hypopnea index; OAI: obstructive apnea index; CAI: central apnea index; HI: hypopnea index; SaO₂<90%: time oxygen saturation less than 90%; MinSaO₂: minimal oxygen saturation value; AaDO₂: alveolo-arterial oxygen difference;

Clinical and polysomnographic comparison study

In addition, after screening the patients files of all CPAP titrations between 1991 and 2008, complete data were obtained from 41 CompSAS (out of ±3500 CPAP titrations, 1.17%). The characteristics of these 41 CompSAS patients were compared with the previously obtained data in 303 ‘normal’ OSA patients. Mean symptoms scores are shown in Table 2. Clinical and polysomnographic data are presented in Table 3. Comparison between ‘normal’ OSA and CompSAS did not show any significant difference concerning age, BMI, gender, or symptom severity (except for concentration problems and self reported awakenings).

Table 2. Symptoms severity in a large series of CompSAS and ‘normal’ OSA patients

	<i>'Normal' OSA</i>	<i>CompSAS</i>
N	303	41
M/F	268/34	38/3
Snoring	3.6±0.8	3.4±0.8
Hypersomnolence	1.3±0.8	1.3±0.8
Falling asleep during the daytime	1.9±1.2	1.7±1.2
Matinal headache	0.5±0.9	0.4±0.8
Epworth Sleepiness Scale	12±6	12±5
Memory disturbance	0.4±0.7	0.4±0.6
Concentration problems	0.3±0.5	0.6±0.5 *
Awakenings	1.1±0.9	1.6±1.3 *
Refreshed in the morning	0.6±1.3	0.4±0.5
Superficial sleep	0.3±0.8	0.5±0.5
Witnessed apneas	0.8±0.6	0.9±0.3
Nocturnal dyspnea or gasping	0.7±1.1	0.6±1.0
Paresthesias	0.2±0.6	0.3±0.5
Nocturnal myoclonus	0.3±0.9	0.4±0.5
Nocturia	1.3±1.4	1.5±1.1
Ethanol use in the past (# units a week)	17±28	11±15
Gastro esophageal reflux	0.6±0.9	0.7±0.9
Ethanol use at present (# units a week)	10±17	11±13
Tobacco use (# of packyears)	13±20	22±23 *

* p<0.05, compared to 'normal' OSA; all data as Mean±SD.

Table 3. Clinical and polysomnographic data in a large series of CompSAS and 'normal' OSA patients

	<i>'Normal' OSA</i>	<i>CompSAS</i>
Age (yrs)	51±11	53±9
BMI (kg/m ²)	32.3±6.5	30.6±6.2
Weight (kg)	97±20	96±23
Length (cm)	173±9	177±8 *
Established arterial hypertension	48/303 (15.8%)	10/41 (24.4%)
Nasal obstruction	106/303 (35%)	9/41 (22%)
PaCO ₂ (mmHg)	39±4	40±4
PaO ₂ (mmHg)	78±11	84±12 *
SaO ₂ (%)	95±2	97±1 *
Systolic blood pressure (mmHg)	139±23	130±16
Diastolic blood pressure (mmHg)	90±16	80±14 *
VC (%pred)	94±16	101±15 *
FEV ₁ (%pred)	92±20	101±16 *
SEI (%Time in Bed)	67±17	75±14 *
Stage Wake (% Time in Bed)	33±17	25±14 *
NREM sleep (% Time in Bed)	56±15	62±11 *
REM sleep (% Time in Bed)	10±6	12±7
Awakenings (#)	96±91	285±139 *
AHI (#/h)	57±31	51±27

* p<0.05 compared to 'normal' OSA

CompSAS patients were heavier smokers, were taller, had more concentration problems, had a higher PaO₂ and SaO₂, and had a lower diastolic blood pressure. A history of arterial hypertension was prevalent in both groups, while only 1 CompSAS patient had undergone CABG. Intake of antihypertensives, and nasal obstruction were equally distributed in both

groups. VC and FEV₁ were also significantly higher in CompSAS. The polysomnographic data showed less wakefulness, but more awakenings in CompSAS. CompSAS patients presented with similar degrees of severity, based on the nocturnal hypoxemia time and AHI. These results demonstrate that CompSAS occurs less often than reported in the literature, under the condition that CPAP therapy is started during manual CPAP titration. This could be an argument to support the hypothesis that CompSAS is induced by the application of inappropriate high pressures. Careful CPAP titration could therefore prevent the development of CompSAS and hence, CPAP failure. Moreover, these data also indicate that CompSAS is rather a minor problem. CompSAS patients can also demonstrate some statistically significant differences in physical characteristics, arterial blood gases, and lung function values, but its clinical significance is uncertain. However, CompSAS patients showed more awakenings during polysomnography, which was also perceived by the patients. This could argue for a predisposition of some OSA patients to develop CompSAS and against the statement that CompSAS is a completely iatrogenic disorder. Our study is the first one to report a longer smoking history in CompSAS, which is contradicting with a previous study⁶. Smoking can lead to inflammatory changes in the upper airway mucosal layers, and hence, to altered reflex activity.

CONCLUSIONS

CompSAS evaluated in our OSA population is less prevalent than reported in the literature so far. This could be related to a more careful CPAP titration procedure. CompSAS patients suffer more from awakenings at night, which could make them more vulnerable to develop central apneas.

REFERENCES

- ¹ Morgenthaler TI, Kagramanov V, Hanak V, Decker PA. Complex sleep apnea syndrome: is it a unique clinical syndrome? *Sleep* 2006; 29:1203-1209.
- ² Lehman S, Antic N, Thompson C, Catcheside PG, Mercer J, McEvoy RD. Central sleep apnea on commencement of continuous positive airway pressure in patients with a primary diagnosis of obstructive sleep apnea-hypopnea. *J Clin Sleep Med* 2007; 3(5):462-466.
- ³ Marrone O, Stallone A, Salvaggio A, Milone F, Bellia V, Bonsignore G. Occurrence of breathing disorders during CPAP administration in obstructive sleep apnea syndrome. *Eur Respir J* 1991; 4:660-666
- ⁴ Endo Y, Suzuki M, Inoue Y, Sato M, Namba K, Hasegawa M, Matsuura M. Prevalence of complex sleep apnea among Japanese patients with sleep apnea syndrome. *Tohoku J Exp Med* 2008; 215:349-354.
- ⁵ Gilmartin GS, Daly RW, Thomas RJ. Recognition and management of complex sleep-disordered breathing. *Curr Opin Pulm Med* 2005; 11:485-493.
- ⁶ Dernaika T, Tawk M, Nazir S, Younis W, Kinasewitz GT. The significance and outcome of continuous positive airway pressure-related central sleep apnea during split-night sleep studies. *Chest* 2007; 132:81-7.
- ⁷ Gay P. Complex sleep apnea: it really is a disease. *J Clin Sleep Med* 2008; 4(5):403-405.
- ⁸ Malhotra A, Bertisch S, Wellman A. Complex sleep apnea: it isn't really a disease. *J Clin Sleep Med* 2008; 4(5):406-408.

DEGREE OF SLEEPINESS AND CARDIAC ALTERATIONS IN OBSTRUCTIVE SLEEP APNEA (OSA) PATIENTS.

^aHeleen Vrints, MSc, ^bBharati Shivalkar, MD, PhD, FESC, ^bKatrien Kluppels, MSc, ^cEvert Hamans, MD, ^cPaul Van de Heyning, MD, PhD, ^cOlivier Vanderveken, MD, PhD, ^aWilfried De Backer, MD, PhD, ^bChristiaan Vrints, MD, PhD, FESC, ^aJohan Verbraecken, MD, PhD

^aDepartment of Pulmonary Medicine, ^bCardiology, ^cENT, Antwerp University Hospital and University of Antwerp, Belgium

INTRODUCTION

Obstructive sleep apnea (OSA) is a common sleep-related breathing disorder associated with increased risk of cardiovascular morbidity and mortality (1). The OSA syndrome is characterized by repeated partial or complete closure of the pharynx, gasping episodes, sleep fragmentation and variable degrees of excessive daytime sleepiness (EDS). EDS is a critical symptom of OSA, but it is probably not pathognomonic (2). Moreover, it has been recognized as an important public health problem, estimated to affect as much as 12% of the general adult population and has an impact on several important factors, e.g. the quality of life, motor-vehicle and work-related accidents as well as impaired social functioning. There is however a variable expression of EDS in OSA patients and it does not correlate with the apnea hypopnea index (AHI). Prospective studies have shown the beneficial effects of continuous positive airway pressure therapy (CPAP), with a reduction in cardiovascular morbidity - arterial hypertension, and diastolic dysfunction, pulmonary hypertension - and mortality in OSA (3). Recent studies have shown however that CPAP was not able to control hypertension in non sleepy hypertensive OSA patients (4-5). This suggests that the symptom of self-reported EDS may identify a subset of individuals at greater risk of cardiovascular sequelae. The aim of this study was to assess associations between sleepiness and excessive cardiovascular alterations in OSA.

METHODS

Study population:

Seventy seven patients with moderate to severe OSA (AHI>20) and fourteen controls (AHI<15), were included in this study. OSA was confirmed by a full in hospital polysomnography (Multidisciplinary Sleep Disorders Centre, Antwerp University Hospital, Belgium) We defined three groups based on the degree of sleepiness assessed by the Epworth sleepiness scale (ESS): Gr 1: ESS \geq 10, sleepy ; Gr 2: ESS<10, non sleepy; Gr 3: controls. All patients had a variable degree of daytime sleepiness and were without confounding factors for sleepiness (diabetes, metabolic syndrome, depression) (Patient characteristics are shown in table 1). Physical examination and ECG were performed, as well as a complete 2-D and Doppler echocardiography.

Echocardiography:

Imaging was performed using Philips iE33 (Eindhoven, Netherlands). A standard 2D-doppler, M-mode and Doppler echocardiography were performed for systolic and diastolic cardiac function as well as a tissue doppler Sm dipyridamole stress echocardiography. We evaluated heart rate (HR), blood pressure (BP), cardiac output (CO), stroke volume (SV),

interventricular septum thickness (IVS), left ventricular ejection fraction (LVEF), right ventricular systolic pressure (RVPSys), intima media thickness (IMT) and flow mediated dilatation (FMD) in all groups. Tissue Doppler mitral annular systolic velocity measurements (Sm) were performed in 26 OSA patients and 8 controls before and after stress. Stress is defined as an augmentation of heart beats/min caused by administration of dipyridamole. Blood samples were taken from all patients for the evaluation of their lipid profile.

Sleepiness:

All patients completed the Epworth sleepiness scale (ESS), to assess their daytime daily sleepiness (score 0-24).

RESULTS AND DISCUSSION

The clinical characteristics are presented in Table 1. Sleepy patients had a significantly higher BMI ($p=0.02$) (32 ± 5 kg/m²) than non sleepy patients (28 ± 5 kg/m²). Minimum oxygen saturation was significantly lower ($p<0.01$) in sleepy ($73 \pm 13\%$) and non sleepy ($79 \pm 11\%$) patients compared to controls ($88 \pm 4\%$). IVS was significantly thicker ($p<0.01$) in sleepy patients (1.24 ± 0.21 cm) compared to non sleepy (1.10 ± 0.21 cm) and control patients (1.05 ± 0.19 cm). The CO, LVEF, SV, RVPSys, Sm, total chol, HDL, LDL, TG were not significantly different between sleepy, non sleepy and controls patients (table 2). Post stress improvement in Sm was observed in 43% of the sleepy OSA patients, 64% of the non sleepy OSA and 75% of controls.

Table 1. Patients characteristics.

	Sleepy OSA (n=47)	Non sleepy OSA (n=30)	Controls (n=14)	P value
Age (years)	47 ± 10	49 ± 11	48 ± 9	NS
BMI (kg/m ²)	32 ± 5*	28 ± 5	31 ± 10	0.02
AHI (#/h)	58 ± 3 ⁺	44 ± 18 ⁻	9 ± 3	<0.01
Mean sat (%)	92 ± 4	94 ± 2	96 ± 1	NS
Min sat (%)	73 ± 13 ⁺	79 ± 11 ⁻	88 ± 4	<0.01
ESS	15 ± 4 ^{*+}	6 ± 2 ⁻	9 ± 4	<0.01
HR (bpm)	80 ± 11	78 ± 9	79 ± 9	NS
BPsys (mmHg)	123 ± 14	126 ± 15	122 ± 13	NS
BPdia (mmHg)	72 ± 12	71 ± 11	70 ± 10	NS
Chol totaal (mg/dL)	209 ± 42	197 ± 47	196 ± 26	NS
HDL (mg/dL)	49 ± 13	51 ± 15	57 ± 16	NS
LDL (mg/dL)	140 ± 42	133 ± 38	128 ± 34	NS
TG (mg/dL)	136 ± 75	125 ± 69	96 ± 89	NS

* $p<0.05$ (sleepy OSA compared to non sleepy OSA)

⁺ $p<0.05$ (sleepy OSA compared to control subjects)

⁻ $p<0.05$ (non sleepy OSA compared to control subjects)

Table 2. Cardiovascular parameters of sleepy OSA, non sleepy OSA and control subjects.

	Sleepy OSA (n=47)	Non sleepy OSA (n=30)	Controls (n=14)	P value
CO (l/min)	4.4 ± 1.3	4.2 ± 0.8	4.6 ± 0.8	NS
SV (ml)	61.5 ± 16.3	60.5 ± 9.6	63.4 ± 11.3	NS
RVPsys (mmHg)	27.3 ± 5.2	28.3 ± 6.9	27.4 ± 2.6	NS
IVS (cm)	1.24 ± 0.21 ^{*+}	1.10 ± 0.21	1.05 ± 0.19	<0.01
LVEF (%)	58.4 ± 6.1	57.1 ± 6.3	61.2 ± 7.4	NS
Sm (cm/sec)	10.0 ± 3.0	9.1 ± 2.3	9.5 ± 2.4	NS
FMD (%)	6.9 ± 2.2	6.8 ± 2.6	6.2 ± 1.8	NS
IMT (mm)	591 ± 97	625 ± 59	583 ± 122	NS

* p<0.05 (sleepy OSA compared to non sleepy OSA)

+ p<0.05 (sleepy OSA compared to control subjects)

It has been shown that BMI is associated with daytime sleepiness. Hence, the slightly higher BMI in the sleepy patients could probably explain the higher degree of sleepiness, as shown in epidemiological studies. However, since our complete study population was obese, this effect has to be interpreted with caution. Inclusion of a number of non obese sleepy OSA patients could be useful to control this aspect. Another drawback is the evaluation of sleepiness, based on ESS, which is controversial, since it is considered as a subjective scale, strongly influenced by the individuals ability for introspection. Additional evaluation with a multiple sleep latency test (MSLT) to confirm these conclusions could be recommended.

It has been proven that a significant correlation exists between the IVS and AHI. Despite our sleepy and non sleepy population did not differ in AHI, we found a significantly elevated IVS in sleepy OSA patients, indicating left ventricular hypertrophy (LVH). LVH has systematically been associated with arterial hypertension and is more clearly related with nocturnal hypertension than with daytime hypertension. The pathophysiological mechanisms have however to be unraveled.

43% of the sleepy patients has shown a improvement in LV kinetics post stress, compared to 64% and 75% of non sleepy and controls. This may implicate increased myocardial ischemia as underlying mechanism in sleepy OSA patients. During apnea the inspiratory efforts against the occluded pharynx cause abrupt reductions in the intrathoracic pressure, with enhancement of the venous return, distension of the right ventricle and leftward shift of the interventricular septum causing a reduced filling of the left ventricle. Moreover, nocturnal pulmonary hypertension is present in all OSA patients, which consists of cyclic swings, associated with apneic episodes and increased RV dimensions. In our patients without clinically overt right heart failure, pulmonary disease or respiratory insufficiency, reduced systolic function occurred.

CONCLUSIONS

The study demonstrated that sleepy OSA patients have a significant thicker IVS and have only limited post stress improvement in left ventricular kinetics compared to non sleepy and

controls. These findings may implicate increased myocardial ischemia as the underlying mechanism in sleepy OSA.

REFERENCES

¹ Bradley TD, Floras JS. Sleep apnea and heart failure part I: obstructive sleep apnea. *Circulation* 2003; 107:1671-1678.

² Kapur VK, Baldwin CM, Resnick HE, Bottlieb DJ, Nieto FJ. Sleepiness in moderate to severe sleep disordered breathing. *Sleep* 2005; 28:472-7

³ Shivalkar B, Van De Heyning C, Kerremans M, Rinkevich D, Verbraecken J, De Backer W, Vrints C. Obstructive Sleep Apnea Syndrome. *J Am Coll Cardiol* 2006; 47: 1433-39

⁴ Barbé F, Mayoralas LR, Duran J, Masa JF, Maimó A, Montserrat JM, Monasterio C, Bosch M, Lalaria A, Rubio M, Rubio R, Medinas M, Hernandez L, Vidal S, Douglas NJ, Agustí AG. Treatment with continuous positive airway pressure is not effective in patients with sleep apnea but no daytime sleepiness. A randomized, controlled trial. *Ann Intern Med* 2001;134:1015-23

⁵ Robinson GV, Smith DM, Langford BA, Davies RJO, Stradling JR. Continuous positive airway pressure does not reduce blood pressure in nonsleepy hypertensive OSA patients. *Eur Respir J* 2006; 27: 1229–1235

**SLEEP-WAKE
Research in The Netherlands**

**Annual Proceedings of the NSWO
Volume 21, 2010**

Abstracts

MODULATION OF GROUP II METABOTROPIC GLUTAMATE RECEPTOR (MGLU2) ELICITS COMMON CHANGES IN RAT AND MICE SLEEP-WAKE ARCHITECTURE.

A. Ahnaou^a, FM. Dautzenberg^a, H. Geys^a, H. Imogai^b, A. Gibelin^b, D. Moechars^a,
T. Steckler^a, W.H.I.M. Drinkenburg^a.

^aJohnson & Johnson Pharmaceutical Research and Development, A Division of Janssen
Pharmaceutica N.V., B-2340 Beerse, Belgium

^bAddex Pharma SA, CH-1228 Plan-les-Ouates/GE, Switzerland

Introduction

Compiling pharmacological evidence implicates metabotropic glutamate mGlu₂ receptors in the regulation of emotional states and suggests positive modulators as a novel therapeutic approach of Anxiety/Depression and Schizophrenia.

Methods

We investigated subcutaneous effects of the metabotropic glutamate mGlu_{2/3} agonist (LY354740) on sleep–wake architecture in rat. To confirm the specific effects on rapid eye movement (REM) sleep were mediated via metabotropic glutamate mGlu₂ receptors, we characterized the sleep–wake cycles in metabotropic glutamate mGlu₂ receptor deficient mice (mGlu₂R^{-/-}) and their arousal response to LY354740. We furthermore examined effects on sleep behavior in rats of the positive allosteric modulator, biphenyl-indanone A (BINA) alone and in combination with LY354740 at sub-effective doses. LY354740 (1, 3 and 10 mg/kg) dose-dependently suppressed REM sleep and prolonged its onset latency.

Results

Metabotropic glutamate mGlu₂R^{-/-} and their wild type (WT) littermates exhibited similar spontaneous sleep–wake phenotype, while LY354740 (10 mg/kg) significantly affected REM sleep variables in WT but not in the mutant. In rats, BINA (1, 3, 10, 20, 40 mg/kg) dose-dependently suppressed REM sleep, lengthened its onset latency and slightly enhanced passive waking. Additionally, combined treatment elicited a synergistic action on REM sleep variables.

Conclusion

Our findings show common changes of REM sleep variables following modulation of metabotropic glutamate mGlu₂ receptor and support an active role of this receptor in the regulation of REM sleep. The synergistic action of BINA on LY354740's effects on sleep pattern implies that positive modulators would tune the endogenous glutamate tone suggesting potential benefit in the treatment of psychiatric disorders, in which REM sleep overdrive is manifested.

Eur J Pharmacol. 2009; 28; 603(1-3):62-72.

NEW LIGHT ON LEG MOVEMENTS WITHOUT RLS, IN INSOMNIA: COINCIDENCE OR USEFUL INFORMATION?

WillyArends ^{a)}, Raffaele Ferri ^{b)}, Al de Weerd ^{a)}

^{a)}Sleepcenter SEIN Zwolle-Groningen, Zwolle, The Netherlands

^{b)}Sleep Research Centre, IRCCS, Troina, Italy

Objectives. In many patients with unexplained insomnia, polysomnography (PSG) reveals excessive (periodic or at random) leg movements. Groupwise, these patients have no other features in common. Dopaminergic therapy may suppress the leg movements but does not treat the insomnia. Part of this large group of patients may have periodic leg movements with features similar to those in patients with insomnia due to restless legs syndrome (RLS). Recently, Ferri et al. introduced a new method for assessment of leg movements in sleep. In a small group of patients with unexplained insomnia a specific periodicity in leg movements and decrement of these movements over the night, were similar to these parameters in definite RLS patients. It was hypothesized that this subgroup of unexplained insomnia patients might benefit of dopamine-agonists as used in RLS. The aim of the study is enlargement of the small group that was previously studied and search for endorsement of the hypothesis on therapeutic possibilities.

Methods. N= 80 patients (42 females; median age: 51 years, range 18-88) who were negative on an extensive screening for the origin of their insomnia, were included if they showed leg movements with a mean of 15/hour of sleep. Leg movements were measured and assessed according to the AASM rules (2007); recording and scoring of the accompanying PSG was done using the same rules. The periodicity index (PI) as defined by Ferri et al. and the course of the (periodic) leg movements over the night were used as parameters. Both were compared to the characteristics of these parameters in definite RLS patients.

Results. The PI values were 0.93 for NREM, 0.72 in REM and 0.92 for total sleep. The intervals between LM's varied between 0.5 and 90 s (definition) with most interval durations ranging between 15 and 45s and a mean duration of 24.3 s. These values are similar to those found in a population of definite RLS patients. Sequences of at least 3 LM's occurred all over the night. Groupwise, these sequences occurred preferentially in the first 5 hours of the nocturnal sleep. This finding is similar to that seen in definite RLS patients.

Conclusions. In a large group of patients with unexplained insomnia but with LM's without RLS, we found profiles of the LMs similar to those in definite RLS patients. Follow-up of these patients during DOPA therapy will learn whether they react to this treatment as successfully as definite RLS patients.

CHRONIC SLEEP DISTURBANCE IMPAIRS GLUCOSE HOMEOSTASIS IN RATS

R. Paulien Barf, Peter Meerlo, Anton J.W. Scheurink

Center for Behavior and Neurosciences, University of Groningen, Haren, The Netherlands

Epidemiological studies have shown an association between short or disrupted sleep and an increased risk for metabolic disorders. To assess a possible causal relationship, we examined the effects of experimental sleep disturbance on glucose regulation in Wistar rats under controlled laboratory conditions.

Three groups of animals were used: a sleep restriction group (RS), a group subjected to moderate sleep disturbance without restriction of sleep time (DS), and a home cage control group. To establish changes in glucose regulation, animals were subjected to intravenous glucose tolerance tests (IVGTT) before and after 1 or 8 days of sleep restriction or disturbance.

Data show that both RS and DS reduce body weight without affecting food intake and also leads to hyperglycemia and decreased insulin levels during an IVGTT. Acute sleep disturbance also caused hyperglycemia during an IVGTT, yet, without affecting the insulin response.

In conclusion, our data reveal that disturbance of the regular sleep-wake rhythm has a marked effect on glucose homeostasis and body weight control. Sleep disturbance directly leads to glucose intolerance and hyperglycemia and, on the long term, to weight loss accompanied with reduced insulin responses. The data further suggest that a disturbance of the normal sleep pattern, even without restriction of total sleep time, is sufficient to affect glucose metabolism and body weight maintenance.

Barf RP, Meerlo P, Scheurink AJW. Chronic sleep disturbance impairs glucose homeostasis in rats. International Journal of Endocrinology, Epub ahead of print, 2010.

MODELING HUMAN SLEEP PROPENSITY

Frederik W. Bes^a and Hartmut Schulz^b

^aEmbla Systems BV, Amsterdam

^bFU Berlin, FB Psychologie, Berlin

The 2-process model, initially put forward by Borbély in 1982, describes sleep-wake behavior as regulated by the additive interaction of a circadian and a homeostatic process. The output of the model is dichotomous, either sleep or awake, and not a continuous function of sleep propensity (SP). The “distance” between the homeostatic component S and the circadian component C at a given time might be accepted as a continuous measure of SP, implying additive interaction of C and S . However, an additive interaction misses two abundantly described components of the sleep wake cycle, namely the afternoon nap (or performance dip) zone and the evening wake maintenance (or forbidden sleep) zone.

We propose two modifications of the 2-process model, to include also these daytime variations. First, the modified model is based on the interaction of two main sleep drives, one for Slow-wave- and one for REM sleep. While we keep process S , we have replaced the circadian double-threshold process C by a single circadian sleep drive R , derived from REM sleep. Second, comparison between different modes of action between the two regulating processes strongly suggests that a model with a multiplicative interaction between S and R optimally describes the known variations of human SP. Multiplicative interaction of S with R implies that the two processes may either magnify or dampen each other at a given time.

Under the condition of a normal phase and duration of nighttime sleep, our $S \times R$ model successfully displays four characteristics across 24 hours for SP: (a) a major peak at nighttime, (b) a secondary increase peaking post-noon, (c) a local minimum at sleep offset in the morning and (d) a second local minimum in the evening hours. Simulations with delayed or advanced night sleep times suggest that the magnitude of the post-noon SP depends on the phase of the preceding night sleep period. While post-noon SP attenuated or disappeared with phase delays of night sleep, phase advancing resulted in an increase of SP during daytime.

In contrast, the evening local minimum of SP remained stable in all conditions.

We conclude that a simple, straightforward multiplication of the intensities of two sleep drives, one circadian and the other homeostatic, appears to be sufficient to model the major aspects of the SP variations across 24 hours. Furthermore it is conceptually very attractive that in our model the two main constituents of sleep, REM sleep and non-REM sleep, both contribute to SP.

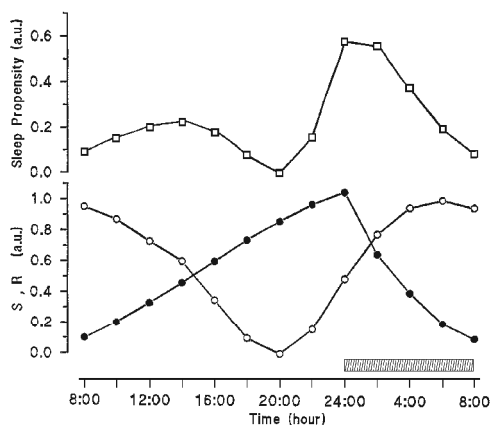


Figure 1. The time courses for the homeostatic sleep drive S (filled circles) and the circadian sleep drive R (open circles) are represented in the lower panel. The scale is relative, running in arbitrary units (a.u.) from 0 (low) to 1 (high). The sleep propensity function (SP, open squares, upper panel) was computed by multiplying the values of S and R at each point in time. An eight hour sleep episode (hatched bar) is assumed to take place between 24:00h and 08:00h. During this time S decays from a high initial value to a low level at the end of sleep.

SLEEP INFO SYSTEM (SIS) AUTOMATES WORKFLOW AND DATA HANDLING

Rob van den Bogert and Bob Kemp

Sleep Centre, Medical Centre Haaglanden, Den Haag

In the past twenty years, many new types of investigations have been introduced in the centre and the number of patients has increased strongly. Therefore, it became difficult to always have all patient data available at the right time and place, and to efficiently organize the work. For both purposes, the Sleep Information System (SIS) was developed by engineers working in the centre and collaborating intensively with medical and paramedical staff. Since 2009, SIS automates the workflow and data handling as follows.

The work is chained using task lists. A typical chain starts with a request from a family doctor, upon which a sleep physician orders investigations. Those are scheduled by the secretariat, carried out by technicians and psychologists, and analyzed. The physician judges the results and concludes the automatic letter to the family doctor. This letter and all appointments are communicated to the hospital information system (EZIS).

SIS retrieves patient identification data from EZIS, starts scheduled investigations by communicating with dedicated commercial software, archives the results and sends a full report of each patient, containing all investigations, to EZIS.

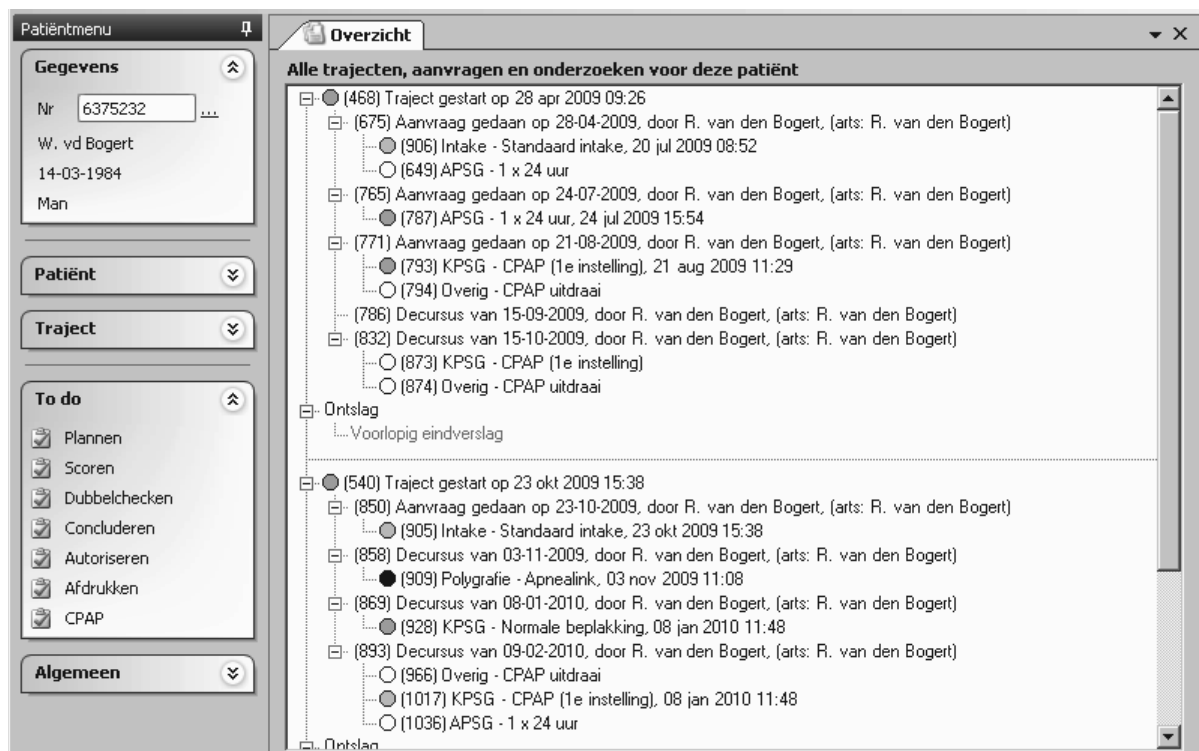


Figure. The core interface (in Dutch) lets the physician order and the technician start investigations, indicates their status by colours, and gives authorized users access to patient data and task lists.

SLEEP DISORDERS TEN YEARS AFTER BACTERIAL MENINGITIS,

E. J. de Bruin¹, W. F. Hofman¹, B.A. Schmand^{1,2}, D. van de Beek²

¹ Department of Psychology, University of Amsterdam, The Netherlands,

² Department of Neurology, Academic Medical Center/University of Amsterdam, The Netherlands

ABSTRACT

Introduction

Studies have shown that sleep problems occur after meningitis. We examined sleep disorders, sleep patterns and quality of sleep in patients with good recovery about 10 years after pneumococcal or meningococcal meningitis.

Methods

Patients were recruited from a nationwide prospective cohort of patients with good recovery, defined as Glasgow Outcome Scale score 5, after pneumococcal or meningococcal meningitis. Patients suffered from meningitis in 1998-2000. We included 17 patients after pneumococcal meningitis, 11 patients after meningococcal meningitis, and 13 control subjects (partners, siblings and close friends). Participants filled in the SF36 on quality of life (QOL), a questionnaire on subjective sleep quality (SSQ) for the present moment, the Epworth sleepiness scale on sleepiness and a sleep diagnosis list. They estimated their SSQ prior to the disease and filled in a sleep diary for a 2-week period recording naps during the day, sleep latency, sleep time, sleep efficiency, waking after sleep onset (WASO) and SSQ.

Results

The average time between meningitis and sleep evaluation was 109 months (SD=7). SSQ prior to the disease was comparable between groups (controls: 14.1 (SD=2.9) vs. patients: 14.6 (SD=3.3); $P=0.60$) but contrary to the expectation SSQ in the control group dropped significantly ten years after the disease (14.6 (SD=3.3) to 11.7 (SD=5.1); $P=0.003$) but not in the patient group. Data from the sleep diaries showed a similar pattern - although not significantly different between the groups - with shorter sleep latency and shorter WASO for the patient groups indicating better sleep. More sleep disorders were found in the patient groups (53%) than in the control group (23%), with a relatively high prevalence of circadian rhythm disorders in the group of meningococcal patients (46%), but these differences between the groups were not significant. QOL showed a significant decrease of pain of pneumococcal patients (51.2 (SD=10.1) vs. 81.4 (SD=18.4); $P<0.001$; higher score indicates less pain) and meningococcal patients (51.4 (SD=9.7) vs. 87.0 (SD=24.1); $P=0.016$).

Conclusion

Results indicate that sleep disorders may be more prevalent among patients, even with good recovery 10 years after pneumococcal or meningococcal meningitis. Subjective sleep quality however was better in patients, which may be due to improved quality of life 10 years after meningitis.

SKIN TEMPERATURE MANIPULATIONS AND SLEEP IN RATS

Tom Deboer

Laboratory of Neurophysiology, Department of Molecular Cell Biology, Leiden University Medical Center, Leiden, The Netherlands.

In many mammalian species a relationship between body or brain temperature and changes in sleep and wakefulness has been established. However, experiments investigating a causal relationship between the two variables for a long time remained inconclusive. More recent experiments investigating the relationship between different skin temperatures, core body temperature and sleep in humans established a clearer relationship between sleep propensity and the time course of temperature in different regions of the body. The data particularly emphasized the importance of changes in proximal and distal skin temperature.

In the light of these new developments earlier research in rodents will be reviewed. In addition, new data investigating the effect of mild skin temperature manipulations on the occurrence and depth of sleep in the rat will be presented.

The data in the literature are compatible with the new insights in the relationship between different body temperatures and sleep. Our new data show that mild skin temperature manipulations are able to influence sleep in the rat during the rest phase, but not during the active phase. Increasing skin temperature promoted sleep by increasing non-rapid eye movement (NREM) sleep episode duration and REM sleep episode frequency, and decreasing waking episode durations.

It can be concluded that also in rodents, skin and body temperature changes are closely related to changes in vigilance states. The effect of skin temperature manipulations on sleep in the rat seems to be modulated by the circadian clock. Skin temperature manipulations clearly affect sleep in the rat, in a similar way as it influences sleep in humans.

ESRS 2010 J Sleep (in press) 19.

THE INFLUENCE OF SLEEP QUALITY, SLEEP DURATION AND SLEEPINESS ON SCHOOL PERFORMANCE IN CHILDREN AND ADOLESCENTS: A META-ANALYTIC REVIEW

Julia F. Dewald^a, Anne M. Meijer^a, Frans J. Oort^a, Gerard A. Kerkhof^b, Susan M. Bögels^a

^a Department of Education, Faculty of Social and Behavior Science, University of Amsterdam, Nieuwe Prinsengracht 130, 1018 VZ Amsterdam, The Netherlands

^b Department of Psychology, Faculty of Social and Behavioral Science, University of Amsterdam, Roetersstraat 15, 1018 WB Amsterdam, The Netherlands

Introduction

Insufficient sleep, poor sleep quality and sleepiness are common problems in children and adolescents being related to learning, memory and school performance. It is still unclear whether or not these functions are mainly influenced by sleep quality, sleep duration, or sleepiness, which can be caused by sleep quality, sleep duration or a combination of these two sleep aspects. Due to methodological differences between studies, it is difficult to draw generalizable conclusions about the relationship between the different aspects of sleep and school performance. In order to gain more insight into these relationships three separate meta-analyses were conducted.

Method

The associations between sleep quality (k = 16 studies, N = 13,631), sleep duration (k = 17 studies, N = 15,199), sleepiness (k = 17, N = 19,530) and school performance were examined in three separate meta-analyses including influential factors (e.g., gender, age, parameter assessment) as moderators.

Results

All three sleep variables were significantly but modestly related to school performance. Sleepiness showed the strongest relation to school performance ($r = 0.133$; $p < .001$), followed by sleep quality ($r = 0.096$; $p < .001$) and sleep duration ($r = 0.069$; $p < .001$). Effect sizes were larger for studies including younger participants which can be explained by dramatic prefrontal cortex changes during (early) adolescence. Concerning the relationship between sleep duration and school performance age effects were even larger in studies that included more boys than in studies that included more girls, demonstrating the importance of differential pubertal development of boys and girls. No publication biases were present.

Conclusion

In summary, it can be concluded that all three sleep domains have a small, but significant effect on children's and adolescents' school performance. However, to be able to draw clear conclusions more research is needed, including experimental and longitudinal studies. Besides, it should be examined whether sleep might exert its influence via other variables, such as functioning at school. Such research can result in the development of programs aimed to improve school performance by changing children's and adolescents' sleep pattern.

Reference

Dewald, J.F., Meijer, A.M., Oort, F.J., Kerkhof, G.A. & Bögels, S.M. (2010). The influence of sleep quality, sleep duration and sleepiness on school performance in children and adolescents: A meta-analytic review. *Sleep Medicine Reviews*, 14, 179-189.

PULSE OXIMETER AVERAGING TIME; DEFINITION AND EFFECT ON THE CLASSIFICATION OF OBSTRUCTIVE SLEEP APNEA-HYPOPNEA SYNDROME (OSAHS)

Jonne Doorduyn^a, Michiel M. M. Eijsvogel^b, Frans H.C. de Jongh^{a,b}

^a Institute of Technical Medicine, University of Twente, Enschede, The Netherlands

^b Department of Lung Diseases, Medical Spectrum Twente, Enschede, The Netherlands

Introduction: In sleep medicine, pulse oximetry is used for monitoring oxygen saturation in patients with the obstructive sleep apnea-hypopnea syndrome (OSAHS). In fact, desaturations are an essential part of the AASM definition of a hypopnea. Several studies showed that the choice of pulse oximeter affects the outcome of the sleep study. In particular, the parameter averaging time; this parameter determines the duration of the smoothing filter implemented to minimize artifactual data. It has been shown that modifying averaging time results in underestimation of desaturation in the finger and the ear. However, the impact of averaging time on diagnosis (and consequently treatment) has never been studied. Therefore, the objective of this study was to determine whether the classification of OSAHS (normal, mild, moderate or severe) changes when pulse oximeter averaging time is doubled from 4 to 8 seconds averaging.

Methods: Cross sectional sleep study. The functional outcome measure was the oxygen-desaturation index (ODI), calculated using Nonin nVision[®]. ODI (desaturations/hour) was used to classify patients into the four severity categories of OSAHS. ODI of two pulse oximeters (Nonin[®]) with averaging times of 4 and 8 seconds were compared with a paired t-test and the Bland Altman method. Cohen's Kappa coefficient was used to test whether there was a difference in OSAHS classification. 18 patients participated in the study. Age and BMI were 48±9 and 31±4 respectively. All patients underwent in-home polygraphy for one night wearing both pulse oximeters. In addition, a mathematical model of pulse oximeter averaging was developed to simulate the effect of averaging on desaturations.

Results: ODI with 4 seconds averaging was 11% higher than ODI with 8 seconds averaging (paired t-test comparison, p=0.03). The Bland Altman plot revealed that ODI with longer averaging time was underestimated. No differences were found in the classification of OSAHS ($\kappa = 0.92$). With the mathematical model this could be explained by the fact that desaturations were often so large that the underestimated desaturation still met the desaturation criteria or that the desaturation time was long enough for the averaged signal to reach the minimum of the simulated desaturation.

Conclusion: In accordance with previous studies longer averaging times resulted in underestimation of desaturation. However, doubling pulse oximeter averaging time from 4 to 8 seconds did not affect OSAHS classification. This study implies that averaging time of current generation pulse oximeters does not affect diagnosis and treatment of OSAHS patients.

Abstract is presented at the ATS International Conference, New Orleans (May 14-19, 2010)

SLEEP DEPRIVATION IMPAIRS SPATIAL WORKING MEMORY AND REDUCES HIPPOCAMPAL AMPA RECEPTOR PHOSPHORYLATION

Roelina Hagewoud, Robbert Havekes, Arianna Novati, Jan N. Keijser, Eddy A. Van der Zee,
Peter Meerlo

Center for Behavior and Neurosciences, University of Groningen, The Netherlands

Sleep is important for brain function and cognitive performance. Sleep deprivation (SD) may affect subsequent learning capacity and ability to form new memories, particularly in the case of hippocampus-dependent tasks. In the present study we examined whether SD for 6h or 12h during the normal resting phase prior to learning affects hippocampus-dependent working memory in mice. In addition, we determined effects of SD on hippocampal glutamate AMPA receptors and their regulatory pathways, which are crucially involved in working memory.

After 12h SD, but not yet after 6h, spatial working memory in a novel arm recognition task was significantly impaired. This deficit was not likely due to stress since corticosterone levels after SD were not significantly different between groups. In parallel with the change in cognitive function, we found that 12h SD significantly reduced hippocampal AMPA receptor phosphorylation at the GluR1 S845 site, which is important for incorporation of the receptors into the membrane. SD did not affect protein levels of cyclic-AMP dependent protein kinase (PKA) or phosphatase calcineurin (CaN), which regulate GluR1 phosphorylation. However, SD did reduce the expression of the scaffolding molecule A-kinase anchoring protein 150 (AKAP150), which binds and partly controls the actions of PKA and CaN.

In conclusion, a relatively short SD during the normal resting phase may affect spatial working memory in mice by reducing hippocampal AMPA receptor function through a change in AKAP150 levels. Together, these findings provide further insight into the possible mechanism of sleep deprivation-induced hippocampal dysfunction and memory impairment.

This work was supported by The Netherlands Organization for Scientific Research.

Hagewoud R, Havekes R, Novati A, Keijser JN, Van der Zee EA, Meerlo P. Sleep deprivation impairs spatial working memory and reduces hippocampal AMPA receptor phosphorylation. Journal of Sleep Research 19: 280-288, 2010.

COPING WITH SLEEP DEPRIVATION: SHIFTS IN REGIONAL BRAIN ACTIVITY AND LEARNING STRATEGY

Roelina Hagewoud¹, Robbert Havekes¹, Paula A. Tiba, PhD², Arianna Novati¹, Koen Hogenelst¹, Pim Weinreder¹, Eddy A. Van der Zee¹, Peter Meerlo¹

¹Center for Behavior and Neurosciences, University of Groningen, The Netherlands

²Department of Psychobiology, Universidade Federal de São Paulo, Brazil

Dissociable cognitive strategies are used for place navigation. Spatial strategies rely on the hippocampus, an area important for flexible integration of novel information. Response strategies are more rigid and involve the dorsal striatum. These memory systems can compensate for each other in case of temporal or permanent damage. Sleep deprivation (SD) has adverse effects on hippocampal function. However, whether the striatal memory system can compensate for SD-induced hippocampal impairments is unknown.

With a symmetrical maze paradigm for mice, we examined the effect of SD on learning the location of a food reward (training), and on learning that a previously non-rewarded arm was now rewarded (reversal training).

Five hours of SD after each daily training session did not affect performance during training. However, in contrast to controls, sleep-deprived mice avoided a hippocampus-dependent spatial strategy and preferentially used a striatum-dependent response strategy. In line with this, the training-induced increase in phosphorylation of the transcription factor cAMP response-element binding protein (CREB) shifted from hippocampus to dorsal striatum. Importantly, while sleep deprived mice performed well during training, performance during reversal training was attenuated, most likely due to rigidity of the striatal system they used.

Together, these findings suggest that the brain, when possible, compensates for negative effects of SD on the hippocampal memory system by promoting the use of a striatal memory system. However, effects of SD can still appear later on, because the alternative learning mechanisms and brain regions involved may result in reduced flexibility under conditions requiring adaptation of previously formed memories.

This work was supported by the Netherlands Organization for Scientific Research.

Hagewoud R, Havekes R, Tiba P, Novati A, Hogenelst K, Weinreder P, Van der Zee EA, Meerlo P. Coping with sleep deprivation: shifts in regional brain activity and learning strategy. SLEEP, in press, 2010.

A TIME FOR LEARNING AND A TIME FOR SLEEP: THE EFFECTS OF SLEEP DEPRIVATION ON CONTEXTUAL FEAR CONDITIONING AT DIFFERENT TIMES OF DAY

Roelina Hagewoud¹, Shamiso N. Whitcomb¹, Amarins N. Heeringa¹, Robbert Havekes², Jaap M. Koolhaas¹, Peter Meerlo¹

¹Center for Behavior and Neurosciences, University of Groningen, The Netherlands

²Department of Biology, University of Pennsylvania, Philadelphia, USA

Sleep deprivation (SD) negatively affects memory consolidation, especially in case of hippocampus-dependent memories. Studies in rodents have shown that 5 h SD immediately following footshock exposure selectively impairs the formation of a contextual fear memory. In these studies both acquisition and subsequent SD were performed in the animals' main resting phase. However, in every day life, subjects most often learn during their active phase.

Here we examined the effects of SD on memory consolidation for contextual fear in rats when the task was performed at different times of the day, particularly, at the beginning of the resting phase or right before the onset of the active phase.

Results show that SD immediately following training affects consolidation of contextual fear, independent of time of training. However, in the resting phase memory consolidation was impaired by 6 h posttraining SD while in the active phase the impairment was only seen after 12 h SD. Since rats sleep at least twice as much during the resting phase compared to the active phase, these data suggest that the effect of SD depended on the amount of sleep that was lost. Also, control experiments show that effects of SD were not related to the amount of stimulation the animals received and were therefore not likely an indirect effect of the SD method.

These results support the notion that sleep immediately following acquisition, independent of time of day, promotes memory consolidation and that SD may disrupt this process depending on the amount of sleep that is lost.

This work was supported by The Netherlands Organization for Scientific Research (NWO Vidi grant 84.04.002 to PM).

Hagewoud R, Whitcomb S, Heeringa AN, Havekes R, Koolhaas JM, Meerlo P. A time for learning and a time for sleep: the effect of sleep deprivation on contextual fear conditioning at different times of the day. SLEEP, in press, 2010.

HOW TO KEEP THE BRAIN AWAKE? THE COMPLEX MOLECULAR PHARMACOGENETICS OF WAKE PROMOTION

S. Hasan^a, S. Pradervand^b, A. Ahnaou^c, W.H.I.M. Drinkenburg^c, M. Tafti^a and P. Franken^a

^aCenter for Integrative Genomics, University of Lausanne, Lausanne, Switzerland

^bLausanne DNA Array Facility, University of Lausanne, Lausanne, Switzerland

^cJohnson & Johnson, Pharmaceutical Research and Development, Beerse, Belgium

Introduction

Wake-promoting drugs are widely used to treat excessive daytime sleepiness. The neuronal pathways involved in wake promotion are multiple and often not well characterized.

Methods

We tested d-amphetamine, modafinil, and YKP10A, a novel wake-promoting compound, in three inbred strains of mice.

Results

The wake duration induced by YKP10A and d-amphetamine depended similarly on genotype, whereas opposite strain differences were observed after modafinil. Electroencephalogram (EEG) analysis during drug-induced wakefulness revealed a transient approximately 2 Hz slowing of theta oscillations and an increase in beta-2 (20-35 Hz) activity only after YKP10A. Gamma activity (35-60 Hz) was induced by all drugs in a drug- and genotype-dependent manner. Brain transcriptome and clustering analyses indicated that the three drugs have both common and specific molecular signatures.

Conclusion

The correlation between specific EEG and gene-expression signatures suggests that the neuronal pathways activated to stay awake vary among drugs and genetic background.

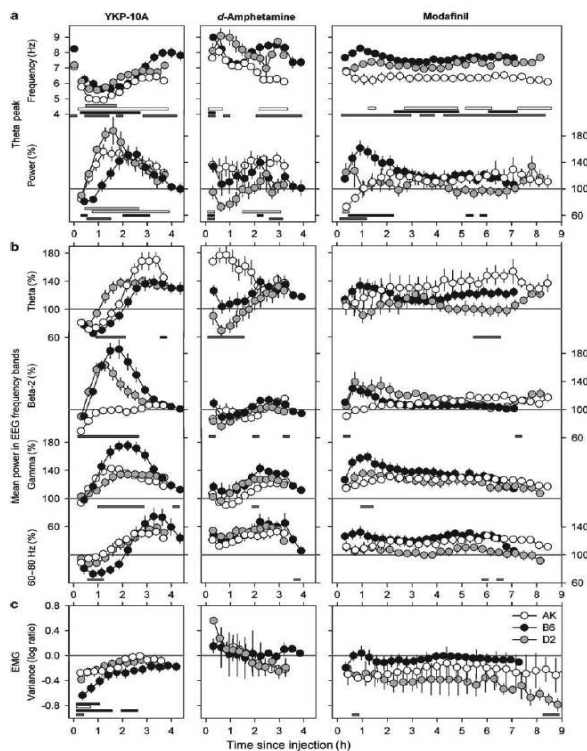


Figure: Time course of the effects of YKP10A, *d*-amphetamine, and modafinil on EEG theta-peak frequency and power (a), EEG power in selected EEG bands (b), and EMG variance during wakefulness between time of injection and sleep onset (c). (a) Theta-peak frequency (upper curve) after drug injection and after saline injection (first three dots plotted at time=0 h). Mean EEG power at theta peak was expressed as percentage of the theta peak power obtained after saline condition (=100%). (b) Mean EEG power in theta (upper), beta-2 (second), gamma, and 'high-frequency' (bottom curve) bands was expressed as percentage of baseline (for a and b, saline: $n=27, 29, 30$; YKP10A: $n=15, 15, 15$; *d*-amphetamine: $n=6, 6, 8$; modafinil: $n=6, 8, 7$; for D2, B6, and AK, respectively). (c) EMG variance was expressed relative (log ratio) to baseline (=0) (YKP10A: $n=11, 15, 9$; *d*-amphetamine: $n=3, 2, 5$; modafinil: $n=3, 5, 3$; for D2, B6, and AK, respectively). Gray, white, and black bars at the bottom indicate intervals in which theta-peak frequency differed from saline and EMG differed from baseline in D2, AK, and B6 mice, respectively ($P<0.01$; paired *t*-tests). Dark-gray bars mark intervals in which the drug effect differed according to genotype ($P<0.01$; one-way ANOVA). Open symbols: AK, black symbols: B6, gray symbols D2 mice.

Neuropsychopharmacology. 2009; 34(7):1625-40.

A NEW VIDEO ACTIGRAPHY METHOD FOR NON-CONTACT ANALYSIS OF BODY MOVEMENT DURING SLEEP

Adrienne Heinrich and Henriette van Vugt

Philips Research, Eindhoven

Introduction. From body movements one can deduce sleep statistics such as total sleep time, sleep efficiency and fragmentation, and sleep/wake patterns. To assess sleep in the home situation, wrist actigraphy is often used. However, it is an on-body sensor which may influence sleep and it only collects data on the movement of one wrist. Video actigraphy methods overcome these issues. However, existing video actigraphy methods are computationally complex, need a high frame rate, a special type of camera with high resolution, and/or a specific viewing angle. We used a near-infrared (NIR) imaging technology to monitor the movements of a sleeping person. The proposed NIR video processing algorithm for motion detection and estimation is likely to outperform existing video actigraphy methods due to reduced computational complexity and fewer constraints. Privacy issues are limited due to real-time processing with volatile memory. As a first important step, we compared our off-body video actigraphy system with wrist actigraphy in a home setting.

Methods. Five participants were monitored using both wrist actigraphy and the video actigraphy system in their own bedroom. They were asked to install the system such that the bed was in line-of-sight and the non-visible NIR light was strong enough. The angle between image sensor and bed, type of bed and blankets varied among the participants. We compared the activity levels from wrist and video actigraphy.

Results. The motion data obtained by the video actigraphy system corresponded well to the wrist actigraphy signals for small, medium, and large motions. Arm, leg, head and torso movements, and tossing and turning, were detected even though the person was sleeping under a blanket and in various positions. The video system proved to be robust to different NIR lighting and installation settings and was easy to install.

Conclusion. Our off-body video actigraphy system can successfully replace on-body actigraphs to monitor a sleeping person's movements. The system is convenient and easy to use in real home testing situations. Importantly, it offers opportunities beyond the possibilities of wrist actigraphy, such as the motion analysis of specific body parts over longer periods of time relevant for e.g. PLMS detection. When developed further, the system may be a cheap and easy to use solution for personalized sleep awareness and for early and convenient diagnosis of sleep disorders.

*Presented at the 12th Annual international clinical symposium Kempenhaeghe.
To be presented at the ESRS conference in Lisbon, September 2010.*

TIME-OF-DAY EFFECTS ON COGNITION IN PREADOLESCENTS: A TRAILS STUDY

Kristiaan B. van der Heijden^a, Leo M.J. de Sonneville^a, Monika Althaus^b

^a Department of Clinical Child and Adolescent Studies, Leiden University

^b Department of Psychiatry and Graduate School of Behavioral and Cognitive Neurosciences, University Medical Center Groningen, University of Groningen, Groningen.

Introduction. Cognitive performance fluctuates during the day, due to variations in circadian arousal level. This study examined whether cognitive performance in school-aged children is affected by time-of-day, and whether these effects are more pronounced for cognitively more demanding tasks or task conditions.

Methods. Children, ages 10-12 yrs, were randomly assigned to a test session starting at either 8:30 (n=802), 10:00 (n=713), or 13:00 h (n=652). Speed and accuracy of information processing was assessed on tasks that assess input-related cognitive processes (e.g. detection, discrimination), central cognitive processes (e.g. serial search, sustained attention), and output-related processes (e.g. response organization and -inhibition), using the Amsterdam Neuropsychological Tasks program.

Results. The results of this study show that there are small (all effect sizes $\eta_p^2 < .06$), but clear time-of-day effects on task performance in children. Sustained attention performance in the morning shows a speed-accuracy tradeoff with increased slowness and lapses, however, better feedback responsiveness and higher accuracy and perceptual sensitivity compared to the early afternoon. Performance on automatic, low-demanding processes is not influenced by time-of-day. However, increasing task complexity for working memory and visuospatial processing leads to higher performance decrements in the morning compared to the early afternoon.

Conclusions. Time-of-day effects in children were present in specific neurocognitive domains, such as attention and working memory, however, only under cognitively more demanding task conditions, or associated with time-on-task. In contrast to our hypotheses, circadian effects in performance were not found for all cognitively more loaded tasks. The findings indicate that evaluation of task performance in the clinical or educational setting should take into account potential time-of-day effects.

Supported by: Medical Research Council program grant GB-MW 940-38-011; ZonMW Brainpower grant 100-001-004; ZonMw Risk Behavior and Dependence grants 60-60600-98-018 and 60-60600-97-118; ZonMw Culture and Health grant 261-98-710; Social Sciences Council medium-sized investment grants GB-MaGW 480-01-006 and GB-MaGW 480-07-001; Social Sciences Council project grants GB-MaGW 457-03-018, GB-MaGW 452-04-314, and GB-MaGW 452-06-004; NWO large-sized investment grant 175.010.2003.005); the Sophia Foundation for Medical Research (projects 301 and 393), the Dutch Ministry of Justice (WODC), the European Science Foundation (EuroSTRESS project FP-006), and the participating universities.

RISK BEHAVIOR IN ADOLESCENTS INCREASES WITH SLEEP LOSS

Winni F. Hofman

University of Amsterdam, Psychonomics, Amsterdam, The Netherlands

Introduction

During adolescence many hormonal, cognitive and social changes take place, increasing the biological need for sleep. However, a delay in sleep times and an increased social pressure induce chronic sleep loss during the school week, with a negative impact on cognitive behavior and mood. Risk behavior is part of the normal development in adolescence. Sleep loss may increase the occurrence of this behavior, especially during later adolescence, when a peak occurs in risk behavior. In this paper the relation is studied between adolescent sleep and risk behavior.

Methods

A questionnaire was administered to 37 boys and 61 girls (15-18 yrs) containing questions on sleep pattern, sleep behavior and sleep quality. The risk behavior questionnaire measured 6 types of behavior: criminal behavior, the use of drugs, unsafe sex, gambling, truancy and risky traffic behavior. To study the impact of personality factors like extraversion and neuroticism on risk behavior the Amsterdam Biographic Questionnaire was administered.

Results

All adolescents slept less than 9.2 hours and 18.9% slept shorter than 6:45 hrs. During the school week boys slept 34 minutes shorter than girls. 89.5% of the children needed more sleep than they got to feel fit.

A shorter total sleep time was significantly related with a higher average score on the risk behavior questionnaire (Spearman $\rho = -0.356$, $p < 0.001$). This relation was most obvious for criminal behavior ($p < 0.01$), drug-use ($p < 0.01$), gambling ($p < 0.001$) and truancy ($p < 0.05$).

A higher extraversion score was significantly related with a higher average score on the risk behavior questionnaire (Spearman $\rho = 0.307$, $p < 0.001$). This was mainly due to a higher score on the subscales drug-use ($p < 0.01$), gambling ($p < 0.05$) and risky traffic behavior ($p < 0.001$). No significant relation was found between neuroticism and risk behavior.

A multiple regression model with risk behavior as dependent variable was significant ($R^2 = 0.228$, $p < 0.001$) and yielded gender, sleep quality and extraversion as most important predictors. Being an extravert boy with lower sleep quality seems to be more related with risk-taking behavior.

Conclusion

Evidence was found for a relation between sleep and risk behavior. An extravert personality is an extra factor triggering this behavior. Gender seems to be the most important factor for the development of risk behavior, leading to the conclusion that the relation between risky behavior and sleep is most prominent in boys

Presented at the 20th Congress of the European Sleep Research Society, September 2010, Lisbon

EFFECTS OF AN EMOTIONAL FILM ON SLEEP EEG: RELATION WITH EMOTIONAL ATTENUATION OVER SLEEP

Winni F. Hofman, Roy Cox and Lucia M. Talamini

University of Amsterdam, Psychonomics, Amsterdam, The Netherlands

Introduction

Many studies report a relation between sleep and emotion. Indeed, there is a high comorbidity of sleep disturbances with affective psychopathology, including anxiety and depression. REM sleep may be of particular relevance in this relation. For example, the majority of dream reports from REM sleep have an emotional content. Furthermore REM-sleep abnormalities are found in depression and changes in REM sleep percentages have been observed in relation to emotional recovery from traumatic life experiences. However, direct evidence for a role of sleep in emotional processing is scarce.

We have performed a controlled laboratory experiment to assess the relation between sleep phases and emotional coping directly.

Methods

A group of 38 subjects viewed emotionally neutral or distressing film fragments in the morning. During the ensuing 12-hour retention interval half of the subjects took a nap in the early afternoon; the other half in the late afternoon. During the nap EEG, EOG and EMG were recorded. The difference in circadian timing of the nap results in relatively more REM sleep during the early nap (203% more compared to late nap, $p = 0.07$) and more slow wave sleep (SWS) during the late nap (161% more compared to early nap, $p < 0.01$). In the evening subjects were reminded of the film through a series of stills. Emotional (Profile of Mood States) and physiological (GSR) arousal state were assessed at the beginning and end of the retention interval.

Results

Preliminary results show that reactivation of the emotional response towards the distressing film fragment is more strongly attenuated after the early nap than the late nap (significantly less anger, $p < 0.05$, and trends towards less tension, $p = 0.06$, and depression, $p = 0.19$). Moreover, there is an effect of the distressing film on sleep architecture with a trend level increase in REM sleep percentage ($p = 0.085$) and significant decrease in SWS percentage ($p < 0.05$) after the emotional film, compared to the neutral film.

Conclusion

The results suggest that emotionally distressing experiences tend to change sleep architecture, increasing REM sleep and depressing SWS. Furthermore, we have shown that the emotional response towards a distressing stimulus is especially reduced after a nap with a high REM content. The combined findings suggest a deleterious effect of distressing experiences on SWS and support a role of REM sleep in emotional coping.

Presented at the 20th Congress of the European Sleep Research Society, September 2010, Lisbon

SUCCESS RATE OF SALIVARY DIM LIGHT MELATONIN ONSET MEASUREMENTS

H. Keijzer^a, T. Peeters^a, C.W.N. Looman^d, C. Niederberger^c, S.C. Endenburg^a, M.G. Smits^b
and J.M.T. Klein Gunnewiek^a

^a Gelderse Vallei Hospital, Department of Clinical Chemistry and Hematology

^b Gelderse Vallei Hospital, Department of Sleep-Wake Disorders and Chronobiology

^c Bühlmann Laboratories AG, Switzerland

^d Erasmus MC Rotterdam, Institute of Public Health, The Netherlands

Introduction

Dim Light Melatonin Onset (DLMO) is the most reliable marker to assess circadian rhythmicity and to time administration of exogenous melatonin in the treatment of circadian rhythm disorders. At the Dutch national referral centre for circadian rhythm sleep disorders salivary DLMO is measured yearly in about 1,500 patients. Patients collect saliva conveniently in their home environment and send it to the laboratory for analysis of the endogenous melatonin concentration. The success rate of these salivary DLMO measurements in insomnia patients has not yet been studied and therefore we retrospectively analyzed the patients sample size of the year 2008. Furthermore, we studied the correlation between diary and PSG (polysomnography) sleep onset with DLMO to see if DLMO can be predicted by sleep onset time in the patients with information available from sleep diary or PSG.

Methods

Patients, who were referred to the sleep centre of the Gelderse Vallei Hospital, were asked to complete an online questionnaire. A Sleep Check containing saliva collections devices (Salivette®) was send to the patient in order to collect saliva at 5 consecutive hours for partial melatonin profiling. Melatonin concentration was measured with a radioimmunoassay (Bühlmann) and DLMO was defined as the time at which the melatonin concentration in saliva reaches 4 pg/mL.

Results

Out of 1,848 five point partial melatonin curves, DLMO was determined in 76.2% (n=1,408). DLMO significantly differed between different age groups and increased with age. Pearson correlations (r) between DLMO and sleep onset measured with PSG or a diary were (r= 0.514, p=<0.001, n= 54) and (r= 0.653, p=0.002, n= 20), respectively.

Conclusion

DLMO can be determined reliably by measuring melatonin in saliva that is conveniently collected at home. DLMO cannot be predicted reliably by sleep onset measured by PSG or diary.

Abstract will be presented at the ESRS 2010 Lisbon, Portugal.

THE INTERRELATIONSHIP BETWEEN SLEEP REGULATION AND THERMOREGULATION

Kurt Krauchi^a, Tom Deboer^b

^aThermophysiological Chronobiology, Centre for Chronobiology, Psychiatric Hospital of the University of Basel, Switzerland.

^bLaboratory of Neurophysiology, Department of Molecular Cell Biology, Leiden University Medical Center, Leiden, The Netherlands.

The circadian distribution of vigilance states and body temperature changes are tightly coupled. The increase in heat loss at the end of the day is associated with increased ease to fall asleep. Experimental data show that warming the skin or the brain can increase sleep propensity, sleep consolidation, and the duration of sleep. Anatomical and neurophysiological studies show that the pre-optic-anterior-hypothalamus (POAH) is the main integrator of sleep and thermoregulatory information. It integrates information on vigilance states, body temperature, and environmental temperature and influences vigilance states and body temperature in response. Animals that display daily torpor may be a valuable model to investigate the relationship between sleep and thermoregulation. During torpor these animals seem to apply similar strategies and physiological processes as humans during entrance into sleep, but in a more extreme way, providing an excellent opportunity to investigate these processes in more detail. More systematic investigations are needed to further our understanding of the relationship between sleep and thermoregulation, and may provide the basis to treat sleep disturbances with thermal strategies.

Front Biosci (2010) 15: 604-625.

COGNITIVE-BEHAVIORAL SELF-HELP TREATMENT FOR NIGHTMARES: A RANDOMIZED CONTROLLED TRIAL

J. Lancee; V.I. Spoormaker*; J. van den Bout

Utrecht University, Utrecht, the Netherlands

* Victor Spoormaker is now at Max Planck Institute of Psychiatry, Munich, Germany

ABSTRACT

Introduction: Several cognitive-behavioral techniques are effective in reducing nightmare frequency, but the therapeutic factor (e.g. cognitive restructuring, systematic desensitization) remains unclear. The aim of this study was to compare the nightmare treatments imagery rehearsal therapy (IRT), exposure, and recording (keeping a diary) –in a self-help format– with a waiting list.

Methods: Participants were recruited through a Dutch nightmare website (www.nachtmerries.org). After completion of the baseline questionnaires, 399 participants were randomly assigned to a condition, received a 6-week self-help treatment (or were placed on the waiting list), and filled out the post-treatment measurements 11 weeks after baseline.

Results: Compared to the waiting list, IRT and exposure were effective in ameliorating nightmare frequency and distress, subjective sleep quality, anxiety (after imagery rehearsal), and depression (after exposure; $\Delta d = 0.25-0.56$). Compared to recording, IRT reduced nightmare frequency while exposure reduced nightmare distress ($\Delta d = 0.20-0.30$; $p < 0.05$). The recording condition was more effective compared to the waiting list in ameliorating nightmare frequency, nightmare distress, and subjective sleep quality ($\Delta d = 0.19-0.28$; $p < 0.05$). IRT had a more rapid reduction on the diary compared to exposure and recording.

Conclusion: IRT and exposure appear equally effective in ameliorating nightmare complaints. Exposure to nightmare imagery may function as the crucial therapeutic factor; however, cognitive restructuring may be a useful addition to increase immediate effects.

This study was funded by the Dutch Foundation for Mental Health, located in Amersfoort, the Netherlands (FPG20066126).

Lancee J, Spoormaker VI, van den Bout J. Cognitive behavioral self-help treatment for nightmares: a randomized controlled trial. Psychother. Psychosom. In press.

PROLONGED SLEEP RESTRICTION AFFECTS GLUCOSE METABOLISM IN HEALTHY YOUNG MEN

Wessel M.A. van Leeuwen^{a,b}, Christer Hublin^a, Mikael Sallinen^a, Mikko Härmä^a, Ari Hirvonen^c, and Tarja Porkka-Heiskanen^b

^a Brain and Work Research Centre, Finnish Institute of Occupational Health, Helsinki, Finland

^b Department of Physiology, Institute of Biomedicine, University of Helsinki, Finland

^c Centre of Expertise for Health and Work Ability, Finnish Institute of Occupational Health, Helsinki, Finland

Introduction. Sufficient sleep is a key component in the regulation of energy metabolism. Several epidemiological studies have shown that habitual short sleep duration is correlated with an increased risk of developing obesity and diabetes. In the present study, we simulated accumulating sleep restriction during five working days followed by two days of weekend recovery sleep and measured the changes in several metabolic parameters that occurred during this period, including glucose metabolism, serum leptin concentrations, and feelings of satiety.

Methods. Twenty-three healthy young men were randomly allocated to a control group (CON) or an experimental group (EXP). After two nights of 8 h in bed (baseline, BL), EXP spent 4 h in bed for five days (sleep restriction, SR), followed by two nights of 8 h (recovery, REC). CON spent 8 h in bed throughout the study. Blood samples were taken at 07:30 h after the BL, SR, and REC period and analyzed for glucose, insulin, IGF-1, and leptin.

Results. In EXP, insulin and insulin-to-glucose ratio increased after SR (159.9% and 160.8% of BL levels, respectively). IGF-1 levels increased after REC (111.7% of BL levels). Leptin levels were elevated after both SR and REC (163.3% and 123.1% of BL levels, respectively), while subjective satiety remained unaffected. No changes were observed in CON.

Conclusion. Prolonged sleep restriction – mimicking a working week – changes glucose metabolism and may lead to an increased risk of developing type 2 diabetes. In addition, sleep restriction does not affect hunger feelings and results in elevated leptin levels, suggesting that sleep restriction *per se* may not increase the risk of developing obesity. Hence, the previously observed epidemiological association between short sleep and obesity might be due to a common underlying factor rather than to a direct causation.

This study was supported by the EU Framework 6 (MCRTN-CT-2004-512362) and the Finnish Work Environment Fund (FWEF; 104073 and 108203).

Wessel M. A. van Leeuwen, Christer Hublin, Mikael Sallinen, Mikko Härmä, Ari Hirvonen, and Tarja Porkka-Heiskanen, "Prolonged Sleep Restriction Affects Glucose Metabolism in Healthy Young Men," International Journal of Endocrinology, vol. 2010, Article ID 108641, 7 pages, 2010. doi:10.1155/2010/108641

LONGITUDINAL RELATIONS BETWEEN SLEEP QUALITY, TIME IN BED AND ADOLESCENT PROBLEM BEHAVIOUR

Anne Marie Meijer^a, Ellen Reitz^b, Maja Deković^b, Godfried L.H. van den Wittenboer^a, and Reinoud D. Stoel^c

^a Research Institute of Child Development and Education, University of Amsterdam, The Netherlands

^b Utrecht University, Utrecht, The Netherlands

^c Netherlands Forensic Institute, The Hague, The Netherlands

Background: This study aimed at investigating the unique and combined influence of sleep quality, time in bed, gender, and age at baseline on various adolescent problem behaviours over a two-year period. Additionally, effects of problem behaviour on sleep were examined.

Methods: The Youth Self-Report was administered to measure aggressive, delinquent, anxious/depressed, and withdrawn behaviour and somatic complaints. Sleep variables were assessed by a self-report questionnaire concerning sleep quality and time in bed. At the first measurement 650 adolescents participated in the study (328 boys and 322 girls; mean age = 13.36 years; age range 12 -15 years).

Results: Linear Mixed Model Analyses showed that sleep quality had a unique effect on all problem behaviours for both genders. Time in bed was directly related to externalizing behaviours. A time in bed below the mean time appeared to be a risk for boys' internalizing problem behaviour over time. With respect to girls, a shorter baseline time in bed was related to a faster increase of girl's anxious/depressed behavior and longer time in bed to a delayed decrease of withdrawn behavior and somatic complaints. The test of reversed effects showed less evidence of internalizing problem behaviour as predictor of time in bed. With regard to sleep quality, distinct relations with all problem behaviours were found.

Conclusions: Based on the results of this study it can be concluded that in addition to poor sleep quality, a short time in bed also contributes to the development of adolescents' problem behavior. A short time in bed appears to affect boys' internalizing problem behavior in particular. Problem behavior in turn does not predict time in bed. Sleep education and treatment of adolescent sleep problems are recommended as a prevention of problem behavior.

Journal of Child Psychology and Psychiatry, 2010, doi:10.1111/j.1469-7610.2010.02261.x

THE ACOUSTICS OF SNORING

Dirk Pevernagie^{a,b}, Ronald M. Aarts^{c,d}, Micheline De Meyer^e

a Kempenhaeghe Foundation, Sleep Medicine Centre, P.O. Box 61, 5590 AB Heeze, The Netherlands

b University of Ghent, Faculty of Medicine and Health Sciences, Department of Internal Medicine,
25 Sint-Pietersnieuwstraat, 9000 Ghent, Belgium

c Philips Research, High Tech Campus 36 (WO 02), 5656 AE Eindhoven, The Netherlands

d Technical University Eindhoven, P.O. Box 513, 5600 MB Eindhoven, The Netherlands

e School for Dentistry, Department of Prosthodontics, University Hospital of Ghent, De Pintelaan
185, 9000 Ghent, Belgium

Snoring is a prevalent disorder affecting 20–40% of the general population. The mechanism of snoring is vibration of anatomical structures in the pharyngeal airway. Flutter of the soft palate accounts for the harsh aspect of the snoring sound. Natural or drug-induced sleep is required for its appearance. Snoring is subject to many influences such as body position, sleep stage, route of breathing and the presence or absence of sleep-disordered breathing. Its presentation may be variable within or between nights. While snoring is generally perceived as a social nuisance, rating of its noisiness is subjective and, therefore, inconsistent. Objective assessment of snoring is important to evaluate the effect of treatment interventions. Moreover, snoring carries information relating to the site and degree of obstruction of the upper airway. If evidence for monolevel snoring at the site of the soft palate is provided, the patient may benefit from palatal surgery. These considerations have inspired researchers to scrutinize the acoustic characteristics of snoring events. Similarly to speech, snoring is produced in the vocal tract. Because of this analogy, existing techniques for speech analysis have been applied to evaluate snoring sounds. It appears that the pitch of the snoring sound is in the low-frequency range (<500 Hz) and corresponds to a fundamental frequency with associated harmonics. The pitch of snoring is determined by vibration of the soft palate, while nonpalatal snoring is more ‘noise-like’, and has scattered energy content in the higher spectral sub-bands (>500 Hz). To evaluate acoustic properties of snoring, sleep nasendoscopy is often performed. Recent evidence suggests that the acoustic quality of snoring is markedly different in drug-induced sleep as compared with natural sleep. Most often, palatal surgery alters sound characteristics of snoring, but is no cure for this disorder. It is uncertain whether the perceived improvement after palatal surgery, as judged by the bed partner, is due to an altered sound spectrum. Whether some acoustic aspects of snoring, such as changes in pitch, have predictive value for the presence of obstructive sleep apnea is at present not sufficiently substantiated.

Dirk Pevernagie and R.M. Aarts and Miche DeMeyer, The acoustics of snoring, Sleep Medicine Reviews, online Aug 8 2009, doi:10.1016/j.smrv.2009.06.002, Vol. 14, pp. 131-144, April 2010.

ENDOGENOUS MELATONIN RHYTHM BEFORE AND AFTER KIDNEY TRANSPLANTATION

Marije Russcher, PharmD^a, Birgit CP Koch, PharmD, PhD^a, Carlo A Gaillard, MD, PhD^{b,c},
J Elsbeth Nagtegaal, PharmD, PhD^a, Pieter M ter Wee, MD, PhD^c

^a Department of Clinical Pharmacy and ^b Internal Medicine, Meander Medical Center, Amersfoort
^c Department of Nephrology, VU University Medical Center, Amsterdam

Introduction

The pineal hormone melatonin plays a pivotal role in the circadian sleep-wake rhythm. Recently, we have found that the nocturnal endogenous melatonin rise, which is associated with the onset of sleep propensity, is absent in many haemodialysis (HD) patients. Information on (changes of) the melatonin rhythm after kidney transplantation (kidney Tx) is limited.

Methods

In 7 HD patients, melatonin concentrations were measured. After kidney Tx, melatonin measurements were repeated in the same patients. Melatonin was measured in saliva samples. Samples were collected during 5 consecutive time-points at 9, 11 pm and 1, 7 and 9 am the next morning. Subjective sleep was measured with the Epworth Sleepiness Scale (ESS). The exposure to melatonin was estimated by calculating the area under the melatonin curve (AUC). The increase in melatonin production was calculated as the ratio of AUC after kidney Tx and AUC on HD.

Results

For general characteristics, see table 1. Before kidney Tx in all 7 patients a normal melatonin rhythm was absent. After kidney Tx in 4 out of the 7 subjects the nocturnal melatonin saliva concentrations reached normal levels of at least 4 pg/ml. Compared to their melatonin curves on HD, the melatonin concentrations after kidney Tx remained decreased in the 3 other patients. The subjective sleep score (ESS) of all patients after kidney Tx was significantly inversely correlated to the increase in melatonin production ($R=-0.76$; $p=0.049$).

Conclusion

Nocturnal endogenous melatonin concentrations normalized after kidney transplantation in 4 out of 7 patients. The mechanism whereby melatonin production is restored after kidney transplantation in some, but not all, patients needs to be evaluated in a larger study population. Such a study is currently planned. The significant correlation between subjective sleep score and melatonin rise warrants follow-up research on the use of exogenous melatonin and the management of sleep disturbances in patients after kidney transplantation who do not recover a healthy melatonin rhythm.

Table 1: General characteristics and results

Total no. (male)	7 (5)	
Age (years ± sd)	51 ± 13	
Time after Tx (months ± sd)	21 ± 13	
ESS (points ± sd)	7 ± 5	□ *
AUCmelatonin after Tx : AUCmelatonin on HD ± sd	2.8 ± 3.3	

* $R=-0.76$; $p=0.049$

Poster Presentation ASN 2009 SA-PO3109

DAYTIME NAPPING AND EMOTIONAL AND DECLARATIVE MEMORY

Lucia M. Talamini, Carly C. Sweegers and Winni F. Hofman

University of Amsterdam, Psychonomics, Amsterdam, The Netherlands

Introduction

Recent studies suggest that sleep benefits memory for emotional stimuli. However, it has also been suggested that sleep may attenuate emotional memories. This can only be understood when a dual role is assumed for sleep: the declarative memory part is consolidated, but associated emotions are decoupled. In previous studies on emotional memory REM sleep has been put forward as a candidate sleep stage for the consolidation of the declarative component (Wagner et al., 2001; Nishida et al., 2009). The effect of sleep on the emotional response towards a memory has not yet been investigated. In this study we examine the relation between different sleep stages and the retention of emotional and declarative components of memory.

Methods

The subjects ($n=38$) viewed emotionally neutral or distressing film fragments in the morning. During the ensuing 12-hour retention interval half of the subjects took a nap in the early afternoon and the other half in the late afternoon. During the nap EEG, EOG and EMG were recorded. The difference in circadian timing of the nap was assumed to result in more REM sleep and less slow wave sleep in the early nap compared to the late nap. This difference was confirmed with a trend for REM sleep ($p = 0.07$) and a significant decrease in SWS ($p < 0.01$). In the evening the memory of the subjects was cued with stills from the film. Emotional and physiological arousal state, as well as declarative memory, were assessed at the beginning and the end of the retention interval.

Results

The early nap group shows significantly less anger ($p < 0.05$) and trends towards less tension ($p = 0.06$) and depression ($p = 0.19$) in the evening than the late nap group. It can be concluded that the reinstatement of the emotional response in the evening is more strongly attenuated after the early nap. This might be due to the higher REM sleep percentage in the early nap. Opposite effects are found for declarative memory, which is superior after the early nap ($p < 0.05$).

Conclusion

We find that the early nap has beneficial effects on the retention of declarative aspects of memory and, at the same time, attenuates emotional reactivation. These results show a dual function of sleep on memory, and suggest a prominent role of REM sleep in both functions. Previous studies on emotionally neutral memory suggest that sleep may particularly benefit retention when it follows shortly upon encoding. Therefore, an alternative explanation of our declarative memory findings regards the timing of the naps.

Presented at the 20th Congress of the European Sleep Research Society, September 2010, Lisbon

ADOPTING A USER-CENTERED DESIGN APPROACH TO DESIGN A PRODUCT THAT INFORMS PARENTS OF THEIR BABY'S SLEEP

Maartje de Vries and Henriette van Vugt

Philips Research, Eindhoven

Introduction. Sleep rhythms of babies change a lot during the first year of life. Experts encourage parents to observe these rhythms and log them in sleep journals, particularly when problems arise. Some parents follow this advice. However, manually tracking sleep-wake rhythms is time consuming, the tracked rhythms not always reflect actual sleep time, and developments over time are difficult to inspect. To inform parents of their baby's sleep in a useful way, a product was designed using a user-centred design approach.

Methods. A user-centred design approach aims to produce useful and easy to use computer systems by early focus on users and tasks. Parent's needs for and initial reactions to a product that informs of their baby's sleep were studied by means of interviews and paper prototype evaluations. Then, a working prototype was developed that measured sleep rhythms through leg actigraphy and that automatically displayed information such as the amount of day and night sleep, sleep fragmentation, and sleep rhythm development. Six families with healthy babies (8-17 months) used the prototype for a week at home. Experts were interviewed.

Results. The user-centred approach allowed for a thorough understanding of parents' needs, context of use, reactions to the system, and how technology contributes to parents' security and curiosity needs surrounding their baby's sleep. Parents could imagine using the product 1) when they are not around, e.g., when the baby is in day care, 2) in case of sleep problems, 3) to get to know the rhythm, 4) to get insight in sleep development and share this information with caregivers, and 5) for fun. The product seemed particularly interesting to use with babies who sleep in day care, babies with sleep problems, and very young babies whose sleep rapidly develops. Expert's opinions varied; those that advice strict rhythms liked the product, and those that advice to rely on intuition did not. The relation between childrearing approach and product likeability should be examined in a larger population.

Conclusion. The user-centred approach appeared useful to design a product that informs parents on their baby's sleep. The evaluation in home settings allowed parents to really experience the product, and give useful feedback. Many parents and experts found an automatic sleep tracker useful for various reasons.

*Presented at the 12th Annual international clinical symposium Kempenhaeghe.
To be presented at the ESRS conference in Lisbon, September 2010.*

HOW TO USE ACTIGRAPHY: LIMITATIONS AND VALUE IN SLEEP MEDICINE

Al de Weerd

Clinical Neurophysiology and Sleepcenter, SEIN Zwolle, Netherlands

Objective. Actigraphy (ACT) is a simple method to obtain data on sleep and wake. The presentation is meant to give an overview how to use ACT in sleep medicine.

Methods. The relevant literature on technical and clinical limitations will be discussed as well as the typical indications for ACT. The latter will be made clear using examples from clinical practice.

Results. As in each branch of medicine, the history given by the patient is the most important part of the work-up. By definition, details on sleep duration, wake periods, etc. are difficult to assess by the patient himself. Structured questionnaires and in particular ACT at home can help.

In comparison with the gold standard polysomnography (PSG), ACT gives no details on sleep architecture. Data easily obtainable from ACT as sleep onset latency, total sleep time, wake after sleep onset time, etc, often differ from those of a concurrent PSG. However, if ACT is done for at least 5 days and nights, the mean data on these sleep parameters are only slightly different from PSG. Due to its easy applicability, ACT is in particular useful in follow-up of patients with sleep disorders and in patients in whom PSG is not possible, for example in the mentally retarded. Furthermore, PSG followed by 1-2 weeks of ACT at home, provides background on sleep and wake in the normal situation of the patient, in addition to the details given by the PSG in the sleep laboratory. ACT has a major role in the analysis and follow up of young children with sleep disorders and in patients with abnormal function of the biological clock. The latter in combination with dedicated questionnaires and measurement of the Dim Light Melatonin Onset (DLMO).

Conclusion. ACT in itself and in addition to PSG is a mainstay in sleep medicine.

Lecture given at the ICCN, Kobe, October 2010

PERIODIC LIMB MOVEMENTS DURING SLEEP: ACTOR OR BYSTANDER?

Al de Weerd

Clinical Neurophysiology and Sleepcenter, SEIN Zwolle, Netherland

Objective. Periodic Limb Movements in Sleep (PLMS) have a prevalence up to 25% of the population, increasing with age. They often occur together with other disorders as Parkinsons disease, polyneuropathy, renal failure, rheumatoid arthritis, use of anti-depressants, etc. Eighty percent of patients with Restless Legs Syndrome (RLS) have PLMS. Still, a high percentage of patients with PLMS have no causative or related co-morbidity. In these cases the PLMS are often thought to be just concurrent with another disorder, for which polysomnography (PSG) was performed, for example insomnia. Some studies suggest that PLMS and the accompanying arousals from sleep may cause tiredness and excessive sleep during daytime, which still may introduce clinical significance for these events in the night . The presentation is meant to summarize the ongoing discussion if PLMS have clinical significance in cases with no RLS and if PLMS should be treated as a separate entity.

Methods and results. The literature search will be discussed, but gives no clear answers. There is a tendency that PLMS should be considered as unspecific events during the night with no need for therapy except for patients with RLS. However, recent studies (Ferri et al., de Weerd et al.) suggest that subgroups of patients with insomnia can be delineated, in whom the PLMS have well defined characteristics (strict periodicity, highest prevalence in the first hours of sleep). Therapeutic options in these particular patients will be discussed.

Conclusions. PLMS remain to be seen as unspecific phenomena during the night. The exceptions are concurrent RLS and possibly insomnia with specific characteristics of the accompanying PLMS.

Lecture given at the ICCN, Kobe, October 2010.

SLEEP IN VERY YOUNG CHILDREN WITH PRADER WILLI SYNDROME.

A STUDY BEFORE AND DURING GROWTH HORMONE SUBSTITUTION

Al de Weerd, Renilde van den Bossche

Sleepcenter SEIN Zwolle-Groningen, Zwolle, The Netherlands

Objectives. Up to now sleep and respiration during sleep has been described in mixed populations of Prader Willi (PWS) patients, i.e. populations with large variations in age and treatment. Studies performed in children with PWS are limited to the older age categories. Our study aims at providing data on sleep and respiration during sleep in PWS children up to the age of 18 months and description of the effects of present day growth hormone (GH) therapy on the sleep of these young children.

Methods. All children with PWS born in The Netherlands are closely monitored beginning immediately after the diagnosis has been made, in nearly all cases a few days after birth. Part of the monitoring is full polysomnography (PSG) before the start of GH therapy. The registration is scheduled around the first birthday. A second PSG is done 7-10 months after the first GH dose and approximately one year after the first PSG .

Results. Twenty-two children participated (12 boys; age: median 11.5 months, IQR 10-14). All were healthy except for PWS and its direct consequences. Main first PSG data: sleep onset latency (SOL): median 20 min, IQR 11-40; REM latency (REM lat): 60, 40-111; REM duration (REM dur): 132, 120-173; REM%: 23, 20-28; deep sleep duration (SWS): 155, 137-163; SWS%: 26, 23-30; Stage 2 duration (St2dur): 247, 210-274; St2%: 42, 36-46; Sleep efficiency (SEI): 86, 80-88; Wake after sleep onset (WASO): 74, 51-116; Total Sleep Time (TST): 562, 550-604; Time in Bed (TIB): 681, 654-707; awakenings: 13, 10-15; AHI: 11, 8-13 of which 65, 55-83 % central apneas; 12 children with moderate or severe snoring; total leg movements: 52, 14-79; Periodic Limb Movements/hr (PLMI): 0, 0-0. At the second PSG SOL was similar; REMlat. was longer; REMdur. shorter and REM% lower; SWS shorter but SWS % similar; St2dur. similar and St2% higher; SEI similar; WASO shorter; TIB shorter, TST similar; awakenings similar; AHI and distribution of apneas similar. All differences significant at at least $p < 0.05$

Conclusions. Sleep in one year old PWS patients, not yet on GH, is in all aspects similar to that of normal children except for a high AHI, in particular central apneas. The changes in sleep in the second year of life do not differ from those in control children (for the initial recording and the follow-up: all comparisons to our database of sleep in normal children and their sleep development: non significant). In these young PWS patients the severity of apneas is not influenced by GH.

Free communication ESRS, Lisbon, September 2010

EPILEPSY IN CHILDREN...WHAT ABOUT THEIR SLEEP?

Al de Weerd, Esther van Golde
Sleepcenter SEIN Zwolle-Groningen, Zwolle, The Netherlands

Purpose: Sleep disturbances are common in adults with epilepsy. For children sleep is often abnormal too, but exact data are not available. The aim of the study is to provide more insight in the interaction epilepsy and sleep during childhood.

Method: The parents of 4-10 y/o children with partial epilepsies (N=120) were asked to participate. The children visited normal school or one level below. They used no more than two anti-epileptic drugs (AEDs). These requirements precluded participation of severely handicapped children. All were patients in our specialized epilepsy center. The WHO epilepsy questionnaire, Medical Outcome Score-Sleep (MOS), Sleep Disturbance Scale for Children (Bruni list) and a severity scale for epilepsy in children (HSSC) were used. Every child brought in a healthy control of similar age whose parents filled in the same questionnaires on the aspects of sleep.

Results: Patients and controls differed substantially ($p<0.05$ - $p<.001$). Initiating and maintaining sleep was abnormal in 40% of the patients and in 15% of controls, For parasomnia's and sleepiness during the day these figures were 32-21% and 38-18%, respectively. There were no differences for the respiratory parameters. The total score on the Bruni list was abnormal in 35% of the patients and in 17% of controls with similar data for the MOS.

Conclusion: In children with epilepsy about twice as much sleep disturbances were encountered when compared to controls, in particular regarding initiating and maintaining sleep, parasomnia and sleepiness during the day.

Free communication during IPSA, Rome, December 2010

CHARACTERISTICS OF NEAR SKIN TEMPERATURES IN THE BED

Tim EJ Weysen^a, Dmitri A Chestakov^a and Roy JEM Raymann^a

^a Philips Research, Eindhoven, The Netherlands

Introduction. Recent studies on the interaction of sleep and body temperature show that skin temperature affects sleep and that minor changes in skin temperature can have a major impact on sleep architecture and sleep onset. Skin temperature is affected by the thermophysiological state of the body, which subsequently affects the temperature in the bed. This sleep microclimate is in turn determined by factors like the isolative properties of the duvet. Not much is known on the bed climate in a regular bedroom setting at home. We explored the characteristics of near skin temperatures in the bed.

Methods. 20 subjects without sleep complaints participated in the study; mean age was 32 years, 5 females. Subjects were monitored for 2 nights in their home environment and they were advised to go to bed at habitual bed time. Sleep was monitored using a frontal EEG-EOG based sleep monitor (Zeo Inc, USA), wrist actigraphy (Philips Respironics, USA) and subjective reports. Temperature in bed was monitored using 16 Ibuttons (DS1923; Maxim/Dallas Semiconductor Corp, USA) equally distributed on the inside of a duvet cover. For preliminary analyses we selected the data of the 4 central Ibuttons (near trunk of the body). Temperature in the bed just before entering the bed, the steady temperature after the initial increase and overnight range, maximum and average in temperatures were determined. The change of the temperature in bed was quantified by time to warm up the bed, increase in bed temperature and speed of bed warming.

Results. The temperatures under the blanket near the trunk ranged from 10.6 °C to 37.1 °C, with an overnight average of 32.7 °C. The average maximum overnight bed temperature was 34.7°C. The coolest bed temperature was always recorded at the moment of entering the bed (on average 19.1 °C). It took on average 69 minutes to reach a stable temperature of 33.2 °C. Within these 69 minutes the temperature increased on average 14.1 °C and this equals an average of a quarter degree Celsius per minute. All temperature measures show large individual differences. Correlation statistics with objective and subjective sleep measure are in preparation.

Conclusion. Bed temperatures measured in the home setting show large individual differences. These differences probably reflect both thermophysiological differences and environmental differences like room temperature and isolative properties of the duvet.

Will be presented as a poster at the 20th Congress of the European Sleep Research Society, Lisbon, Portugal (2010).

DIFFERENCES IN HABITUAL BED TEMPERATURES OF MEN AND WOMEN

Tim EJ Weysen^a, Dmitri A Chestakov^a and Roy JEM Raymann^a

^a Philips Research, Eindhoven, The Netherlands

Introduction. From interviews on temperature perception during sleep initiation it is known that many males are complaining of a rather too warm feeling when attempting to fall asleep whereas women more often complain of having cold feet. To our knowledge there is not much known on gender difference in skin temperatures or bed temperature, although it is known that the nocturnal drop in core body temperature is relatively blunted in women as compared to men. We explored the gender differences in the characteristics of near skin temperatures in the bed to see if the subjective reports mentioned earlier on the sensation of the temperature in bed is reflected as well in objective temperature data.

Methods. We explored a sample of age matched subjects, 5 males and 5 females, that was selected out of participants without sleep complaints of a broader study on temperature in bed. Subjects were monitored for 2 nights in their home environment and they were advised to go to bed at habitual bed time. Temperature in bed was monitored using 16 Ibuttons (DS1923; Maxim/Dallas Semiconductor Corp, USA) equally distributed on the inside of a duvet cover. For preliminary analyses we selected the data of the 4 central Ibuttons (near trunk of the body). Temperature in the bed just before entering the bed, the stable temperature following the initial increase and overnight range, maximum and average in temperatures were determined. The change of the temperature in bed was quantified by time to warm up the bed, increase in bed temperature and speed of bed warming.

Results. Within this small sample we observed a difference in the average overnight temperature of the bed. We observed an overnight average of 32.1 °C in the sample of males as compared to a 33.3 °C in the sample of females ($p < 0.05$). No differences were observed in the initial bed temperature, time to warm up the bed, increase in bed temperature or the rate of the warming of the bed.

Conclusion. We did not find objective proof for the subjectively reported difference in temperature perception during sleep initiation. Analyses of the bed temperatures near the foot area might reveal gender differences and these data are in progress.

Will be presented as a poster at the 20th Congress of the European Sleep Research Society, Lisbon, Portugal (2010).

IS THE TEMPERATURE IN YOUR BED RELATED TO SLEEP ONSET?

Tim EJ Weysen^a, Dmitri A Chestakov^a and Roy JEM Raymann^a

^a Philips Research, Eindhoven, The Netherlands

Introduction. Sleep onset latency is related to the rise in skin temperature and the drop in core body temperature. This rise in skin temperature might be hampered by entering a relatively cool bed that might result in a vasoconstriction of the skin and hence drop in skin temperature. We measured the gradual increase of the near skin temperature in the bed and explored if it was correlated to the sleep onset latency.

Methods. 17 subjects without sleep complaints participated in the study, mean age was 33 years. Subjects were monitored for 2 nights in their home environment and they were advised to go to bed at habitual bed time. Sleep was monitored using a frontal EEG-EMG-EOG based sleep monitor (Zeo Inc, USA). Sleep onset was based on the Time to Z output of the ZEO system, reflecting time to fall asleep. Temperature in bed was monitored using 16 Ibuttons (DS1923; Maxim/Dallas Semiconductor Corp, USA) equally distributed on the inside of a duvet cover. For preliminary analyses we selected the data of the 4 central Ibuttons (near trunk of the body) and the 2 lower central Ibuttons (near the feet). Temperature in the bed just before entering the bed and the steady temperature after the initial rise were determined. The change of the temperature in bed was quantified by time to warm up the bed, increase in bed temperature and speed of bed warming.

Results. Time to fall asleep was negatively affected by the time to warm up the bed. The faster the increase of the bed temperature near the feet, the shorter it takes to fall asleep ($p < .05$). Changes in temperature near the trunk of the body did not significantly affect sleep onset. The effect of the initial temperature in the bed near the trunk (warmer bed associated with shorter sleep latencies) only reached trend level. No other effects could be observed.

Conclusion. The rate of change in the bed temperature at the feet area is caused by the temperature of the feet, warming up that particular bed area. Our observation is in line with previous results showing that faster increases of foot temperature after lights-off seemed to be involved in shortening sleep onset latency, suggesting a role for the rate of change rather than the level of distal temperature.

Will be presented as a poster at the 20th Congress of the European Sleep Research Society, Lisbon, Portugal (2010).

CORRECTION FOR MODEL SELECTION BIAS USING A MODIFIED MODEL AVERAGING APPROACH FOR SUPERVISED LEARNING METHODS APPLIED TO EEG EXPERIMENTS

K. Wouters^a, J.C. Abrahantes^a, G. Molenberghs^a, H. Geys^b, A. Ahnaou^b,
W.H.I.M. Drinkenburg^b, L. Bijmens^b

^aInteruniversity Institute for Biostatistics and Statistical Bioinformatics, Universiteit Hasselt,
Diepenbeek, Belgium

^bJohnson & Johnson Pharmaceutical Research and Development, A Division of Janssen
Pharmaceutica N.V., B-2340 Beerse, Belgium

This paper proposes a modified model averaging approach for linear discriminant analysis. This approach is used in combination with a doubly hierarchical supervised learning analysis and applied to preclinical pharmacoelectroencephalographical data for classification of psychotropic drugs (including sleep medication). Classification of a test dataset was highly improved with this method.

J Biopharm Stat. 2010; 20(4):768-86.

CIRCADIAN REGULATION OF SLEEP AND THE SLEEP EEG UNDER CONSTANT SLEEP PRESSURE IN THE RAT

Roman Yasenkov and Tom Deboer

Department of Molecular Cell Biology, Leiden University Medical Center, Leiden, The Netherlands

Introduction. Sleep is regulated by homeostatic and circadian processes. Slow wave activity (SWA; 1-4 Hz) in the NREM sleep electroencephalogram (EEG) reflects sleep homeostasis. Activity of faster EEG frequencies (10-25 Hz) is thought to be under influence of circadian factors. The relative contribution of both processes to the distribution of sleep and wakefulness and EEG activity in rodents remains uncertain.

Methods. Continuous EEG recording in rats in constant dark conditions (DD) were performed and a sleep deprivation protocol consisting of 2 h sleep deprivation followed by 2 h of rest (2h/2h) was applied for 48 h to obtain a constant sleep pressure.

Results. Under the 2h/2h protocol, the circadian modulation of waking, NREM and REM sleep was markedly reduced compared to the baseline, affecting the frequency of vigilance state episodes and the duration of REM sleep and waking episodes. In contrast, NREM sleep episode duration still showed a daily modulation. Consecutive 2h values of SWA in NREM sleep were stable during the 2h\2h protocol, while NREM sleep EEG activity within the higher frequencies (7-25 Hz) still demonstrated strong circadian modulation, which did not differ from baseline.

Conclusions. In rats, the daily modulation of REM sleep is less pronounced compared to NREM sleep and waking. In contrast to SWA, activity in higher frequencies (7-25 Hz) in the NREM sleep EEG have an endogenous circadian origin and are not influenced by sleep homeostatic mechanisms.

Acknowledgements: This research was supported by the European Union (Grant LSHM-CT-2005-518189) and the Netherlands Organization for Scientific Research (NWO, grant 818.02.016).

Yasenkov R and Deboer T. Circadian regulation of sleep and the sleep EEG under constant sleep pressure in the rat. SLEEP 2010;33(5): 631-641

INTERRELATIONS OF SLOW AND HIGH FREQUENCY ACTIVITY IN THE NREM SLEEP EEG IN THE RAT

Roman Yasenkov and Tom Deboer

Department of Molecular Cell Biology, Leiden University Medical Center, Leiden, The Netherlands

Introduction. Under constant sleep pressure, which can be achieved by repeated short-sleep deprivation, the marker of the sleep homeostat - slow wave activity (SWA, 1-4 Hz) in the NREM sleep electroencephalogram (EEG) - tends to stabilize its circadian modulation, while higher frequencies (7-25 Hz) preserve their daily rhythm, therefore demonstrating a dependency on the endogenous circadian clock (Yasenkov&Deboer, 2010). To further investigate the interrelations between different EEG frequencies in NREM sleep under these conditions, we applied a correlation analysis for the power density data in 1 Hz bin.

Methods. EEG and electromyogram recordings were simultaneously performed in freely moving rats (n=8) adapted to constant darkness. A 24h baseline day (BL) was recorded, followed by 48 hours of the repeated short-sleep deprivation protocol (2h/2h), which consisted of 2h periods of sleep deprivation, alternating with 2h periods of rest. Vigilance states were determined and spectral analysis of the NREM sleep EEG for the frequency range 0.5-25 Hz was performed in 1 Hz bin. Subsequently, the obtained power density data over 4-h intervals of the last 24h in 2h/2h and BL were assessed for bivariate correlation analysis (Pearson's correlation coefficient, r), then r -values were Fisher-Z transformed, averaged across all animals and retransformed.

Results. Spectral analysis of the NREM sleep EEG during the BL day showed a clear circadian pattern for each 1 Hz bin within SWA (rANOVA, factor interval, $p < 0.01$). Higher frequencies (7-25 Hz) also demonstrated a circadian modulation (rANOVA, $p < 0.01$), but in an opposite direction, gradually increasing the power during the rest phase and declining during the active phase. The 2h/2h protocol stabilized the level of EEG power density within SWA (rANOVA, factor interval, $p > 0.05$), and reduced its circadian amplitude, but did not affect the daily changes of frequencies above 7 Hz compared to BL (rANOVA, factor interval, $p < 0.01$; factor day, $p > 0.05$; for all bins). A correlation matrix for the NREM sleep EEG power density during BL revealed significant positive relationships for 1 Hz bins within three frequency bands: SWA (1-4 Hz), 9-14 Hz and 15-25 Hz (all 1 Hz bin comparisons $p < 0.001$). In addition, a tendency for a negative relationship between SWA and higher frequencies (8-25 Hz) was observed. Under the 2h/2h protocol correlation levels remained intact within SWA, but increased in the higher frequency range where significant values were obtained within one extensive frequency band (8-25 Hz; $p < 0.001$).

Conclusions. In NREM sleep during BL three separate correlation clusters were found, which may represent slow-waves (1-4 Hz), spindle frequency activity in rats (7-14 Hz), and high frequencies (15-25 Hz) and which responded differently to repeated short-sleep deprivation. Under constant sleep pressure, the absence of changes in correlation level within SWA confirms its homeostatic component involved in sleep regulation, while a pronounced increase of correlation coefficients within 8-25 Hz depicts an endogenous circadian influence on higher frequencies activity in EEG.

Acknowledgements: This research was supported by the European Union (Grant LSHM-CT-2005-518189) and the Netherlands Organization for Scientific Research (NWO, grant 818.02.016).

26th International Summer School of Brain Research, Slow Brain Oscillations of Sleep, Resting State and Vigilance, Amsterdam, 29 June - 2 July 2010

**SLEEP-WAKE
Research in The Netherlands**

**Annual Proceedings of the NSWO
Volume 21, 2010**

**Dutch Society for Sleep-Wake Research
Members**

HONORARY MEMBERS

Dr. A.C. Declerck

Prof. Dr. R.H. van den Hoofdakker

Prof. Dr. H.A.C. Kamphuisen